ML22314A075	
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Form 2.1-1 Examination	Preparation Checklist
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Facility:	I	Harris Date of Examination:Sept 202	22
Develop	ed by: \	Written: Facility 🛛 NRC 🗌 // Operating: Facility 🕅 NRC 🗌	
Target Date*		Task Description	Chief Examiner's Initials
-240		xamination administration date confirmed (A.1-5). For NRC-prepared examinations, rrangements are made for the facility licensee to submit reference materials (B.4, C.4.g and ).	<u>AT</u>
-210	2. N	RC examiners and facility licensee contact assigned (B.1 and C.1-3).	<u>AD</u>
-210	ap eli	acility licensee contact briefed on examination security and other requirements (D.4). As oplicable, the facility licensee contact submits to the NRC any prescreened K/As for imination from the written examination outline, with a description of the facility licensee's rescreening process (ES-4.1 A.1.a and ES-4.1 B.2).	-320
-210	4. Re	eference material due for NRC-prepared examinations (F).	N/A
-210	5. Ex	xamination kick-off call held (C.4). The NRC sends the corporate notification letter (A.5).	III -
-195		/ritten examination outline developed by the NRC and sent to the facility licensee contact nust be on the examination security agreement) (B.2, ES-4.1 A.1.b and ES-4.1 A.2.a).	N/A
-150	ap	perating test outlines and checklists due: Forms 1.3-1, 2.3-1, 3.2-1, 3.2-2, 3.3-1, and 3.4-1, as oplicable (B.6). Facility licensee provides a draft operating test administration schedule to the RC (B.18).	-310
-136		perating test outlines reviewed by the NRC and feedback provided to the facility licensee ES-2.3).	All
-100		RC-prepared examinations approved by the NRC supervisor and forwarded for facility censee review (ES-2.3).	N/A
-75	qu	roposed examinations (written, JPMs, and scenarios, as applicable) and outline forms; uality checklists and supporting documentation (including Forms 2.3-2 and 2.3-4 and any orm 2.3-1, 1.3-1, and 3.4-1 updates); and reference materials due.	ATT
-60	11. Pr	reliminary waiver/excusal requests due (ES-2.2 E.1).	III
-50		/ritten examination and operating test reviews completed (ES-2.3). The NRC supervisor's uthorization to proceed with the facility review granted (ES-2.3 D).	III
-50	13. E>	xamination review results discussed between the NRC and the facility licensee (B.14).	Alt
-35	14. E	xamination preparatory week conducted by the NRC and the facility licensee (I).	
-30	15. Pr	reliminary license applications, including any waiver/excusal requests, due (ES-2-2 C.1).	III
-14		inal license applications, including any waiver/excusal requests, due and Form 2.2-1 repared (ES-2.2 C and E).	<u>II</u>
-7	17. W	/ritten examinations and operating tests approved by the NRC supervisor (C.9)	ATT
-7	SU	acility licensee management feedback on the examination requested by the NRC upervisor (C.10).	200-
-7		inal applications reviewed; 10% of applications audited to confirm qualifications/eligibility ES-2.2 G); and examination approval letter (Letter 2.3-1) and waiver/excusal letters sent.	STI
-7	20. W	/ritten examination administration guidelines reviewed with the facility licensee (D.14).	STI -
-7	21. Ap	pproved scenarios and job performance measures distributed to NRC examiners (D.12).	
		re based on facility licensee-prepared examinations and the examination date identified in the error. These dates are for planning purposes and may be adjusted in coordination with the facility	

Form 2.3-1	Examination	Outline	Quality	Checklist
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		(Y)	)es /	(N)o						
Item	Task Description	а	b*	c#						
WRITTEN	a. The outline was systematically and randomly prepared in accordance with the instructions in Section B of ES-4.1, and all knowledge and ability (K/A) categories are appropriately sampled.	Y		Y						
	b. The outline does not overemphasize any systems, evolutions, or generic topics.	Y	Y	Y						
>	c. Justifications for deselected or rejected K/A statements are acceptable.	Y	Y	Y						
	a. Using Form 3.4-1, Events and Evolutions Checklist, verify that the proposed scenario set contains the required number of normal evolutions, reactivity evolutions, instrument and component failures, manual control evolutions, technical specifications, and major transients.									
SIMULATOR	b. There are enough scenarios (and spares) for the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity. Ensure that scenarios will not be repeated on subsequent days.									
SIN	<ul> <li>c. Ensure that all scenarios are new or significantly modified in accordance with ES-3.4 and that no scenarios are duplicated from the applicants' audit test(s).</li> </ul>	Y	Y	Y						
	<ul> <li>d. To the extent possible, assess whether the outline(s) conforms with the qualitative and quantitative simulator set criteria specified on Form 2.3-2.</li> </ul>	Y	Y	Y						
	a. Verify that the administrative outline meets the criteria specified in the instructions on Form 3.2-1 and that no tasks are duplicated from the applicants' audit test(s).	Y	Y	Y						
JPMS	b. Verify that the control room and in-plant systems outline meets the criteria specified in the instructions on Form 3.2-2 and that no tasks are duplicated from the applicants' audit test(s).	Y	Y	Y						
L	c. Determine whether the number of job performance measures (JPMs) and JPM types is sufficient for the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.	Y	Y	Y						
	<ul> <li>Assess whether the appropriate exam sections cover plant-specific priorities (including probabilistic risk assessment and individual plant examination insights).</li> </ul>	Y	Y	Y						
	<ul> <li>Assess whether the 10 CFR 55.41, 10 CFR 55.43, and 10 CFR 55.45 sampling is appropriate.</li> </ul>	Y	Y	Y						
GENERAL	<ul> <li>Check whether K/A importance ratings (except for plant-specific priorities) are greater than or equal to 2.5.</li> </ul>	Y	Y	Y						
GEI	d. Check for duplication and overlap across the exam and with the last two NRC exams.	Y	Y	Y						
	e. Check the entire exam for balance of coverage.	Y	Y	Y						
	f. Assess whether the exam fits the appropriate job level (reactor operator or senior Y Y Y Y Y reactor operator).									
	Printed Name/Signature Date James R. Gregitis / 1 4 4 4 4 9-6-2022									
a. Au	thor 9-6-2022 cility Reviewer (*) Scott Rua / 9-6-2022									
	C Reviewer (#) Jason Bundy / 9/9/20									
	Chief Examiner Jason Bundy / 9/9/20	22								
	Supervisor Thomas Stephen / Momana Liphon 9/12/2	022								

Page 1 of 3

Form ES-201-3

Examination Security Agreement

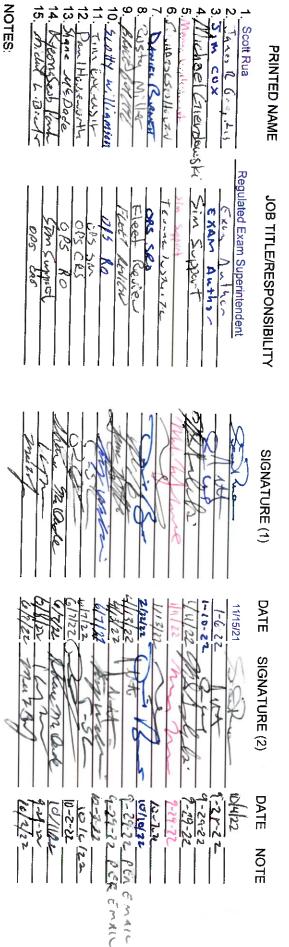
### Pre-Examination

ES-201

examiner any indications or suggestions that examination security may have been compromised examinations and/or an enforcement action against me or the facility licensee. does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, the week(s) of acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to I will immediately report to facility management or the NRC's chief

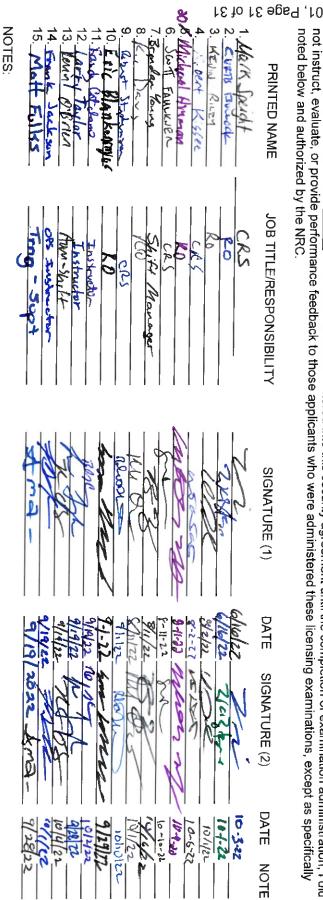
### 2. Post-Examination

not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC during the week(s) of 9/19 and 9/26/2022. From the date that I entered into this security agreement until the completion of examination administration, I did To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered



ES-201, Page 31 of 32

ES-201, Page 31 of 32



Page 2of 3

ES-201

**Examination Security Agreement** 

Form ES-201-3

### Pre-Examination

<u>.</u>

examiner any indications or suggestions that examination security may have been compromised. examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC's chief except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, the week(s) of (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for 9/19 and 9/26/2022 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to

### N Post-Examination

ES-201, Page 31 of 31 To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of <u>9/19 and 9/26/2022</u>. From the date that I entered into this security agreement until the completion of examination administration, I did

hije 3 of 3

# Form 1.3-1 Examination Security Agreement

### (1) <u>Preexamination</u>

or the NRC chief examiner any indications or suggestions that examination security may have been compromised. enforcement action by the NRC against me or the facility licensee, or both. I will immediately report to facility licensee management procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations, an the NRC. Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's examinations from this date until the completion of examination administration, except as specifically noted below or authorized by am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations scheduled for the week(s) of 9/19/2022 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing

## (2) Postexamination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 9/19/2022 From the date that I entered into this examination security a who were administered these licensing examinations, except as specifically noted below or authorized by the NRC. until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants \_\_. From the date that I entered into this examination security agreement

NOTES:	<u>13</u>	12.	=	10	9	œ	.7	.თ		.4	ယ	Ņ		
Π̈́S.								1 1	thank Smill	Store Cakill	JOSHLANGARSS	Kohard Sweer	Neil Von Holle	Print Name
									enes	TING MSI	OR Astructor	OPS INSTRUMAN	Engineer	Job Title
										4+44	> Stew wana	X	MT The	Signature (1)
									10 10 100	9/24/22	4/20/72	9/19/22	9/1/2022	Date
								the second secon	8	100-10	X within	when	Mar 1 2	Signature (2)
										9/29/22	179/77	10/3/22	9/22/22	Date
								0	2					Note

F	acility: Harris Nuclear Plant Date of Examination: 9/19/2022 Operating Tes			
E	acility: Harris Nuclear Plant Date of Examination: 9/19/2022 Operating Tes			
	General Criteria	(Y)e	es / (N	1
a.	The operating test meets the criteria on the associated test outline.	a	b* Y	C <sup>i</sup>
<u>u</u> .		Y	I	Y
b.	There are enough test items so that test items will not be repeated on more than 1 day of the operating test.	Y	Y	Y
c.	The operating test does not duplicate items from the applicants' audit test(s).	Y	Y	Y
d.	Overlap with the written examination and between different parts of the operating test is minimized.	Y	Y	Y
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	Y	Y	Y
	Walkthrough Criteria			
a.	Each job performance measure (JPM) includes the following, as applicable: task standard initial conditions initial conditions initiating cues references and tools, including associated procedures reasonable and validated time limits (average time allowed for completion) and specific designation if the facility licensee deems it to be time critical alternate path JPMs are labeled as "alternate path" operationally important specific performance criteria that include the following: - detailed expected actions with exact criteria and nomenclature - system response and other examiner cues - statements describing important observations to be made by the applicant - criteria for successful completion of the JPM task standard - identification of critical steps and their associated performance standards - restrictions on the sequence of steps, if applicable Ensure that any changes from the previously approved JPM outlines (Forms 3.2-1 and 3.2-2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last two NRC examinations) specified on those forms.	Y	Y	Y
	Simulator Scenario Set Criteria for Scenario Numbers: 1 / 2 / 3			
QL	JALITATIVE ATTRIBUTES			
1.	The initial conditions are realistic in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	Y	Y	Y
2.	The scenarios consist mostly of related events.	Y	Y	Y
3.	<ul> <li>Each event description consists of the following:</li> <li>the point in the scenario when it is to be initiated</li> <li>the malfunction(s) or conditions that are entered to initiate the event</li> <li>the symptoms/cues that will be visible to the crew</li> <li>the expected operator actions (by shift position)</li> <li>the event termination point (if applicable)</li> </ul>	Y	Y	Y

### Form 2.3-2 Operating Test Quality Checklist

				_				
	(Y	')es /	(N)o					
			а	b*	c#			
4. The events are valid	with regard to physics and thermodynamics.		Y	Y	Y			
	timing of events is reasonable and allows the applicant performance.	examination team to	Y	Y	Y			
	echniques are used, the scenario summary cl ient time to carry out expected activities witho given.		Y	Y	Y			
7. The simulator modelin	The simulator modeling is not altered.							
performance deficient	een validated. Pursuant to 10 CFR 55.46(d), cies or deviations from the referenced plant h fidelity is maintained while running the plann	ave been evaluated to	Y	Y	Y			
9. Scenarios are new or	significantly modified in accordance with ES-	3.4.	Y	Y	Y			
	<ul> <li>allow each applicant to be significantly invo events, and evolutions specified on the version enario set.</li> </ul>		Y	Y	Y			
11. Applicants are evalua scenarios, when poss	ted on a similar number of preidentified critica ible.	al tasks across	Y	Y	Y			
12. The level of difficulty i	s appropriate to support licensing decisions fo	or each crew position.	Y	Y	Υ			
TARGET QUANTITATIVE	ATTRIBUTES per Scenario (See ES-3.4)	Actual Attributes by Scenario No.	(Y)es / (N)o					
	· · · · · · · · · · · · · · · · · · ·	1 2 3	а	b*	c#			
1. Malfunctions after entry (1–2)	emergency operating procedure (EOP)	3 2 2	Y	Y	Y			
2. Abnormal events (	2-4)	6 / 5 / 6	Y	Y	Y			
3. Major transients (1	-2)	1 / 2 / 2	Y	Y	Y			
4. EOPs entered/requ	uiring substantive actions (12)	2 / 1 / 1	Y	Y	Y			
	gency EOP with substantive actions set; set is the entire set of scenarios cheduled exam)	0 1 1	Y	Y	Y			
6. Preidentified critica	al tasks ( <u>&gt;</u> 2)	2 / 2 / 2	Y	Y	Y			
	Printed Name/Signature		Da	ite				
a. Author	James R. Gregitis / Att	9	- 1	- 2	2			
b. Facility Reviewer (*)	Seatt Due / Olale							
c. NRC Reviewer (#)	NRC Reviewer (#) Jason Bundy / 9/9/2022							
NRC Chief Examiner	IRC Chief Examiner Jason Bundy 9/9/2022							
NRC Supervisor	Thomas Stephen Momon	2 Alephen	9/9/2	2022	2			
<ul> <li>* The facility licensee signature is not applicable for NRC-developed tests.</li> <li># An independent NRC reviewer performs the steps in column c. This may be the NRC Chief Examiner if he/she did not develop the operating test under review.</li> </ul>								

### Form 2.3-4 Written Examination Quality Checklist

	Facility: Harris Nuclear	Plant	Date of E	Exam: 9-27-2022	Exa	m Level: F	RO X	SF	RO [	Х
			Item Descr	ription					es/(	-
1.	Questions and answers an includes a technical reference		cally accurate a	and applicable to the	facility. E	ach questio	n	a Y	b* Y	c# Y
<ul> <li>a. All questions reference NRC knowledge and abilities (K/As) requirements.</li> <li>b. Facility learning objectives are referenced as available.</li> <li>c. All questions include an explanation of the correct answer explanation and a distractor analysis.</li> </ul>									Y	Y
3.	Senior reactor operator (SRO) questions test at the SRO license level.									
4.	The sampling process was two SRO questions were (100-question) retake exa operator licensing program	repeated mination	from the last t	wo NRC licensing ex	ams, incl	uding full		Y	Y	Y
<ul> <li>5. Question duplication from the licensee screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate:         <ul> <li>The audit exam was systematically and randomly developed.</li> <li>The audit exam was completed before the license exam was started.</li> <li>The examinations were developed independently.</li> <li>X The licensee certifies that there is no duplication.</li> <li>Other (explain):</li> </ul> </li> </ul>								Y		
6.	Bank use meets limits (no				Bank	Modified	New		$\geq$	$\langle$
10% new (at the comprehension/analysis level), and the rest new or modified); enter the actual RO/SRO-only question distribution >       41/10       5/2       29/13					Y	Y	Y			
7. Between 38 and 45 questions of the questions on the RO exam and at least 13 of the questions on the SRO-only portion of the exam							$\langle$			
are written at the comprehension/analysis level; enter the actual 34/8 41/17 RO/SRO-only question distribution >						Y	Y	Y		
8.	References/handouts prov	vided do	not give away	answers or aid in the	eliminati	on of distrac	tors.	Y	Y	Y
9.	Question content conform outline and is appropriate	s to spec for the K	cific K/A statem /A statements'	ents in the previous assigned tier; deviat	y approve ions are j	ed examinati ustified.	ion	Y	Y	Y
10.	Question psychometric qu							Y	Y	Y
11.	11. The exam contains the required number of one-point, multiple-choice items; the total is correct Y and agrees with the value on the cover sheet.							Y	Y	
		011 110 0		ted Name/Signature					Dat	te
a.	Author	Jam	nes R. Gregitis /	Mr. U. Lot					9-6-2	2022
b.	Facility Reviewer (*)	Sco	tt Rua	5 ha					9-6-2	2022
с.								9/9/	/202	
NRC Chief Examiner Jason Bundy									9/9	/202
			as Stephe	n Mirma	a -	Lepher	_			2/20
* T # /	C Regional Supervisor he facility licensee signatur An independent NRC review not develop the written exa	re is not a ver perfo	applicable for N	NRC-developed tests olumn c. This may be		9	niner if h	e/she	9/1	2/20

Form 5.1-1 Postexamination Check Sheet

			Postexamination Check Sheet					
Fa	cility:	Harris	Dates of Examination: September 19-22	and 27, 2022				
		A	ctivity Description	Date Complete				
1.		postexamina nplete. (ES-	ation package from facility licensee and 4.4)	11/4/2022				
2.	<ol> <li>*Reviewed and incorporated any necessary facility and applicant written examination comments. NRC grading of written examination completed. (ES-4.4)</li> </ol>							
3.	<ol> <li>*Reviewed and incorporated any necessary facility and applicant operating test comments. NRC grading of operating test completed. 11/4/2022 (ES-3.6)</li> </ol>							
4.	4. *Completed NRC chief examiner review of operating test and written examination grading. (ES-3.6 and ES-4.4) 11/4/2022							
5.	Completed	licensing of	ficial review.	11/4/2022				
6.	Mailed lice	nses, prelimi	nary results, and pass letters.	11/4/2022				
7.	Notified fac	cility licensee	of results.	11/4/2022				
8.			report (refer to Operator Licensing Manual Operator Licensing Examination Reports").	11/4/2022				
9.			terial after final resolution of any informal ring demands, or both.	11/4/2022				
10	"Operator l Manageme	Licensing Ex	recordkeeping activities (refer to OLMC 520, amination Records and Documentation," and 3.53, "NRC Records and Document ).	11/4/2022				
			able for a retake examination. If this activity do Complete column.	bes not apply,				



Thomas P. Haaf Site Vice President Harris Nuclear Plant 5413 Shearon Harris Rd New Hill NC 27562-9300

July 6, 2022 Serial: RA-22-0197

Mr. Thomas Stephen, Chief Operations Branch 1 U.S. Nuclear Regulatory Commission, Region II 245 Peachtree Center Ave. N.E., Suite 1200 Atlanta, GA 30303-1257

Shearon Harris Nuclear Power Plant, Unit 1 Docket No. 50-400

Subject: Reactor and Senior Reactor Operator Initial Examinations 05000400/2022301

Dear Mr. Stephen:

Enclosed are the operating and written examinations and supporting reference materials for the Reactor and Senior Reactor Operator Initial License Examinations to be administered at the Harris Nuclear Plant during the weeks of September 19 and September 26, 2022. This submittal complies with the requirement identified in your letter dated February 2, 2022, to furnish these materials by July 6, 2022. The enclosed materials shall be withheld from public disclosure until after the examination is complete.

If you have any questions regarding these materials, please contact Mr. Scott M. Rua at (984) 229-6388.

Sincerely,

Thomas P. Haaf

Enclosures: Written Tests, Operating Tests and Supporting Reference Materials

L. Dudes, NRC Regional Administrator, Region II (w/o Enclosure)
 J. Zeiler, NRC Senior Resident Inspector, HNP (w/o Enclosure)
 M. Mahoney, NRC Project Manager, HNP (w/o Enclosure)

bc: (w/o Enclosures)

Jim Cox James Gregitis Sarah McDaniel Becky Smith Rick Vandenberg

Nuclear Records

### **Jason Bundy**

From:	Rua, Scott Matthew <scott.rua@duke-energy.com></scott.rua@duke-energy.com>
Sent:	Monday, October 17, 2022 8:50 AM
То:	Jason Bundy
Subject:	[External_Sender] Fwd: [EXTERNAL] Post exam comments

Good morning Jason,

Aside from what we provided for TS 3.0.3 clarification, the station has no additional post exam comments.

Respectfully,

Scott Rua Regulated Exam Superintendent

### Get Outlook for iOS

From: Gregitis, James R <James.Gregitis@duke-energy.com>
Sent: Monday, October 17, 2022 8:45:42 AM
To: Rua, Scott Matthew <Scott.Rua@duke-energy.com>
Subject: FW: [EXTERNAL] Post exam comments

From: Jason Bundy <Jason.Bundy@nrc.gov>
Sent: Monday, October 17, 2022 8:44 AM
To: Gregitis, James R <James.Gregitis@duke-energy.com>
Subject: [EXTERNAL] Post exam comments

\*\*\* CAUTION! EXTERNAL SENDER \*\*\* STOP. ASSESS. VERIFY!! Were you expecting this email? Are grammar and spelling correct? Does the content make sense? Can you verify the sender? If suspicious report it, then do not click links, open attachments or enter your ID or password. Hi Jim,

I wanted to touch base and see if the facility is planning any post exam comments.

Respectfully, Jason

U.S. Nuclear Regulatory Commission, R2 Senior Operations Engineer Jason.Bundy@nrc.gov 404-997-4557