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Public Protection Notification

The NRC may not conduct nor sponsor, and a person is not required to respond to, a request for information or an information collection requirement unless the requesting document displays a currently valid OMB control number.

Please provide the attached information to the inspection team for in-office inspection and review to arrive at our regional office no later than <u>July 5, 2021</u>. Items may be provided via CERTREC (CERTREC Preferred Method), on disc, in hard copy, or a combination of all. NOTE: <u>DO NOT</u> forward any information on "flash drives" as current NRC procedures prohibit using this type of media unless issued by the NRC. Please properly package and mark SGI materials. If you have questions about this request, feel free to contact me at arnold.meyen@nrc.gov Thank you in advance for your assistance.

Send to: Attention, Arnie Meyen/Dave Hostetter
U.S. Nuclear Regulatory Commission
1600 E. Lamar Blvd.
Arlington TX 76011-4511

In an effort to keep the requested information organized please submit the information to us using the same lettering system below. For example, all document for Corrective Action Reports Summaries should be in a file/folder titled 1- A, Procedures in file/folder 1- B, etc.

General: Please provide: All Security Event Log entries since **January 28, 2021**. Please provide any additional Security Event Log entries that may be written after **January 28, 2021** while we are on site.
   1. Security Program Procedure indices for all procedures, instructions, desk-top guides, standing orders, etc.
   2. Please state what revision of your physical security plan you are currently using.
   3. Please provide the CAP procedure and the Safety Security Interface procedure.

Document Request List

1. Access Authorization (71130.01) (effective date 01/01/19)

A.   Corrective action report summaries that are any way associated with the Access Authorization program **November 08, 2017** to **July 5, 2021**. Please provide any additional Access Authorization CRs that may be written after **July 5, 2021** while we are on site.

B.   Provide any Access Authorization related policy, procedure, desk-top guide, or any other type of lower tier instruction type document. Include procedures for when and how to use PADS. Include BOP.

C.   A list of all personnel you received adverse information about through an outside source or who self-reported any type of arrests since **November 08, 2017**.

D.   A list of any personnel granted access using "name searches" instead of fingerprint submittal.

E.   A list of all personnel receiving access screening (for the period of **November 08, 2017 to July 5, 2021** for each of the below listed types of background investigations. Please include their organization affiliation, such as: Bechtel, Stone and Webster, etc.

- Initial Access
 - Reinstate 31 < 365
 - Reinstate Current
 - Reinstate < 30 days
 - Reinvestigations
 - Updated Access

F.   A list of all personnel currently assigned to your critical group. Please ensure the organization is identifiable, such as; Security, IT, I&C, etc.

G.   Any audits or program reviews associated with the Access Authorization (AA) program since **November 08, 2017**.

1. Access Control (71130.02), Dated 08/23/2018 (Effective Date 01/01/2019)

A   **Condition Reports** related to **Access Control** from **August 27, 2020** to present.

B   Any Access Control policy, procedure, desk-top guide, or any other type of lower tier instruction type document you may use, such as: access termination procedures, lock and key control procedures, and access control and search procedures for personnel, packages and vehicles.

C   Lock and key control documentation and procedures.

D   A list of all personnel denied access to your facility for reasons of trustworthiness and reliability that had access to protected area and/or vital area keys and cores, since **August 27, 2020**.

E   Security personnel staffing records. (Shift manning)

F   Security Program Reviews, audits, or self-assessments which address Access Control.

G   Search Procedures for personnel, vehicles and materials.

2. Performance Indicator (PIV)

A   For the previous 8 quarters. Include all documentation sent to the NRC on security performance indicators and all data that supports your PI calculations.

3. Security Plan Changes (71130.09) (effective date 01/01/2020)

        Please be prepared to provide the following items during the onsite inspection:

A.   The latest version of the Physical Security Plan.

B.   The security plan revision utilized **October 10, 2019** (last baseline inspection).

C.   All security plan changes that have been submitted to the NRC since **October 10, 2019.**

D.   Procedures associated with plan changes since **October 10, 2019**.

E. Training material (i.e. lesson plans, briefing materials, computer-based training) developed to provide training to personnel responsible for implementing the plan change(s) since ==October 10, 2019.==

 Additional Information upon arrival:

Completed PA Entry Logs

Completed VA Entry Logs

Job Aids if applicable

SGI Procedure

SGI Access list outside of Security (Roster)

Escort Responder Log (Emergency)

Unfavorable termination since 09/26/2019

Any sealed & locked container(s) for access to security keys outside of security group (Operations, etc.)

End of Request