



**UNITED STATES
NUCLEAR REGULATORY COMMISSION**
REGION IV
1600 E. LAMAR BLVD
ARLINGTON, TX 76011-4511

August 28, 2017

Mr. Michael R. Chisum, Vice President
Entergy Operations, Inc.
17265 River Road
Killona, LA 70057-0751

**SUBJECT: WATERFORD STEAM ELECTRIC STATION, UNIT 3 - NOTIFICATION OF NRC
DESIGN BASES ASSURANCE INSPECTION (TEAMS) (05000582/2017008)
AND INITIAL REQUEST FOR INFORMATION**

Dear Mr. Chisum:

On October 11, 2017, the NRC will begin a triennial baseline Design Bases Assurance Inspection at the Waterford Steam Electric Station, Unit 3. A six-person team will perform this inspection using NRC Inspection Procedure 71111, Attachment 21M, "Design Bases Assurance Inspection (Teams)."

The inspection focuses on the maintenance of component design bases and modifications made to structures, systems, and components. The samples reviewed during this inspection will be identified during an information gathering visit and during the subsequent in-office preparation week. In addition, a number of operating experience issues will also be selected for review.

The inspection will include an information gathering site visit by the team leader, and two weeks of onsite inspection by the team. The inspection will consist of three NRC inspectors, two contractors, and one operations examiner. The current inspection schedule is as follows:

Onsite Information Gathering Visit: October 11, 2017
Preparation Week: October 16-20, 2017
Onsite Weeks: October 23-27, 2017, and November 13-17, 2017

The purpose of the information gathering visit is to meet with members of your staff to identify potential risk-significant components and operator actions. The lead inspector will also request a tour of the plant with members of your operations staff and probabilistic safety assessment staff. During the onsite weeks, several days and administration will be needed on the plant-referenced simulator in order to facilitate the development of operator action-based scenarios. Additional information and documentation needed to support the inspection will be identified during the inspection, including interviews with engineering managers, engineers, and probabilistic safety assessment staff.

Our experience with these inspections has shown that they are extremely resource intensive, both for the NRC inspectors and the licensee staff. In order to minimize the inspection impact on the site and to ensure a productive inspection, we have enclosed a request for information needed for the inspection. The request has been divided into two groups. The first group lists information necessary for the information gathering visit and for general preparation. This information should be available to the regional office no later than October 4, 2017, unless otherwise stated. If possible, this information should be provided electronically to the lead inspector. Since the inspection will be concentrated on high risk/low margin components and modifications, calculations associated with your list of high risk components and modifications should be available to review during the information gathering visit to assist in our selection of components based on available design margin.

The second group of documents requested lists information necessary to aid the inspection team in tracking issues identified as a result of the inspection. It is requested that this information be provided to the lead inspector as the information is generated during the inspection. An additional request will be made by the team during the preparation week once the specific components and modifications have been selected. Additional requests by inspectors will also be made throughout the onsite weeks for specific documents needed to complete the review. It is important that all of these documents are up-to-date and complete in order to minimize the number of additional documents requested during the preparation and/or onsite portions of the inspection. In order to facilitate the inspection, we request that a contact individual be assigned to each inspector to ensure information requests, questions, and concerns are addressed in a timely manner.

The lead inspector for this inspection is Gerond George. We understand that our licensing engineer contact for this inspection is Ms. M. Zamber. If there are any questions about the inspection or the requested materials, please contact the lead inspector by telephone at 817-200-1562 or by e-mail at Gerond.George@nrc.gov.

This letter does not contain new or amended information collection requirements subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.). Existing information collection requirements were approved by the Office of Management and Budget, control number 3150-0011. The NRC may not conduct or sponsor, and a person is not required to respond to, a request for information or an information collection requirement unless the requesting document displays a currently valid Office of Management and Budget control number.

In accordance with 10 CFR 2.390 of the NRC's "Rules of Practice," a copy of this letter will be made available electronically for public inspection in the NRC Public Document Room or from the Publicly Available Records (PARS) component of NRC's document system (ADAMS).

ADAMS is accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html> (the Public Electronic Reading Room).

Sincerely,

/RA/

Thomas R. Farnholtz, Chief
Engineering Branch 1
Division of Reactor Safety

Docket No. 50-382
License No. NPF-38

Enclosure:
Design Bases Assurance Inspection (Teams)
Request for Information

Electronic Distribution for
Waterford Steam Electric Station, Unit 3

WATERFORD STEAM ELECTRIC STATION, UNIT 3 - NOTIFICATION OF NRC DESIGN BASES ASSURANCE INSPECTION (TEAMS) (05000582/2017008) AND INITIAL REQUEST FOR INFORMATION – August 28, 2017

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**Initial Request for Information
Design Bases Assurance Inspection (Teams)
Waterford Steam Electric Station, Unit 3**

Inspection Report: 05000382/2017008

Information Gathering Dates: October 11, 2017

Inspection Dates: October 23-27, 2017, and November 13-17, 2017

Inspection Procedure: IP 71111, Attachment 21M, "Design Bases Assurance Inspection (Teams)"

Lead Inspector: Gerond George, Senior Reactor Inspector

I. Information requested prior to information gathering visit (by October 4, 2017).

The following information (Section I of this enclosure) should be sent to the Region IV office in hard copy or electronic format (Certrec IMS preferred), to the attention of Gerond George by October 4, 2017, to facilitate the reduction in the items to be selected for a final list during the information gathering visit. The specific items selected from the lists shall be available and ready for review on the day indicated in this request. Please provide requested documentation electronically in pdf files, Excel, or other searchable formats, if possible. The information should contain descriptive names and be indexed and hyperlinked to facilitate ease of use. Information in lists should contain enough information to be easily understood by someone who has knowledge of pressurized water reactor technology. If requested documents are large and only hard copy formats are available, please inform the inspector and provide subject documentation during the first day of the onsite inspection.

1. An Excel spreadsheet of equipment basic events (with definitions), including importance measures sorted by risk achievement worth and Fussell-Vesely from your internal events probabilistic risk assessment. Include basic events with risk achievement worth value of 1.3 or greater.
2. A list of the top 50 cut-sets from your PRA.
3. Copies of probabilistic risk assessment "system notebooks" and the latest probabilistic risk assessment summary document.
4. An Excel spreadsheet of probabilistic risk assessment human action basic events or risk ranking of operator actions from your site-specific PSA sorted by risk achievement worth and Fussell-Vesely. Provide copies of your human reliability worksheets for these items.
5. If you have an external events or fire PSA model, provide the information requested in items 1-4 for external events and fire.

Enclosure

6. A list of high large early release frequency impact events and associated components.
7. Structures, systems, and components in the Maintenance Rule (a)(1) category.
8. A list of high risk maintenance rule systems/components and functions; based on engineering or expert panel judgment.
9. Site top 10 issues list, if available.
10. Any pre-existing list of components and associated calculations with low design margins.
11. A list of operating experience evaluations for the last 3 years.
12. A list of all time-critical operator actions in procedures.
13. A list of current "operator work arounds/burdens."
14. Procedures used to accomplish operator actions associated with the basic events credited in your PRA.
15. Lists of permanent and temporary modifications performed in the past 5 years to structures, systems, and components sorted by component identified in Item 1.
16. List of root cause evaluations associated with component failures or design issues initiated/completed in the last 5 years.
17. A list of any common-cause failures of components in the last 3 years.
18. A copy of any internal/external self-assessments and associated corrective action documents generated in preparation for this inspection.
19. A copy of engineering/operations-related audits completed in the last 2 years.
20. Electronic copies of the Technical Specifications, Technical Specifications Bases, and the Final Safety Analysis Report, as updated.
21. A copy of the Individual Plant Examination of External Events, if available electronically.
22. One-line drawings of emergency core cooling system, ultimate heat sink, emergency feedwater, safety-related electrical systems.
23. A list of licensee contacts for the inspection team with phone numbers.
24. A copy of the current management and engineering organizational charts.

II. Information requested to be provided for preparation week and throughout the inspection (by October 16, 2017, if possible)

1. Electronic copies of the design bases documents for selected components and modifications.
2. Electronic copies of the system health notebooks for selected components and modifications.
3. A list of the design calculations that provide the design margin information for selected components. (Calculations for selected components should be available during the information gathering visit.)
4. Calculations and drawings associated with selected components.
5. Modification documentation associated with modifications selected from Item I.15, this includes:
 - a. Post-modification testing, including performance characteristics affected, assumptions, and acceptance criteria associated with modifications selected.
 - b. Updated maintenance and surveillance procedures associated with modifications.
 - c. Updated operation procedures and training plans associated with the modifications.
6. Copies of any corrective action documents generated as a result of the team's questions or queries during this inspection.
7. Copies of the list of questions submitted by the team members and the status/resolution of the information requested (provide daily during the inspection to each team member).

Inspector Contact Information:

Gerond A. George
Senior Reactor Inspector
817-200-1562
Gerond.George@nrc.gov

Mailing Address:

U.S. NRC, Region IV
Attn: Gerond George
1600 East Lamar Blvd.
Arlington, TX 76011-4511