



The Role of a Nuclear Regulator
The Honorable Peter B. Lyons
Commissioner
U.S. Nuclear Regulatory Commission

Young Professionals in Energy
Columbia University
New York
April 29, 2009

NRC Oversight



Uranium Mining



Uranium Conversion



Uranium Enrichment



Power Reactors



Transportation



Storage



Waste Disposal



Medical/Industrial



New Reactors 2

NRC Commissioners



Commissioner
Kristine Svinicki

Sworn In:
3/28/08
Term Ends:
6/30/12



Commissioner
Gregory Jaczko

Sworn In:
1/21/05
Term Ends:
6/30/13



Chairman
Dale Klein

Sworn In:
7/01/06
Term Ends:
6/30/11



Commissioner
Peter Lyons

Sworn In:
1/25/05
Term Ends:
6/30/09

NRC's Legislative Mandate

- **Atomic Energy Act (1954) as amended**
 - “Assure the adequate protection of public health and safety and the promotion of the common defense and security.”
- **National Environmental Policy Act (1969) as amended**
 - “...to create and maintain conditions under which man and nature can exist in productive harmony, and fulfill the social, economic, and other requirements of present and future generations of Americans.”

NRC Mission

- To license and regulate the nation's civilian use of byproduct, source, and special nuclear materials to ensure adequate protection of public health and safety, promote the common defense and security, and protect the environment.

Institute of Nuclear Power Operations

- INPO was formed by the U.S. nuclear industry following the Three Mile Island accident
 - Mission: To promote the highest levels of safety and reliability - to promote excellence - in the operation of nuclear electric generating plants.

Some Statistics

NUCLEAR PLANT STATISTICS – 2008

Number of Plants Operating	104
Number of Plants Under Construction (Watts Bar Unit 2)	1
Number of Plant Orders Canceled	120
Last Industry Order for a New Plant	1973
Last Construction Permit Issued	1978

The Outlook?

Global Warming Concerns

Energy Diversity/Resource Limitations

Inter-relationship of Energy Policy and
Foreign/Military Policy

New Licensing Process

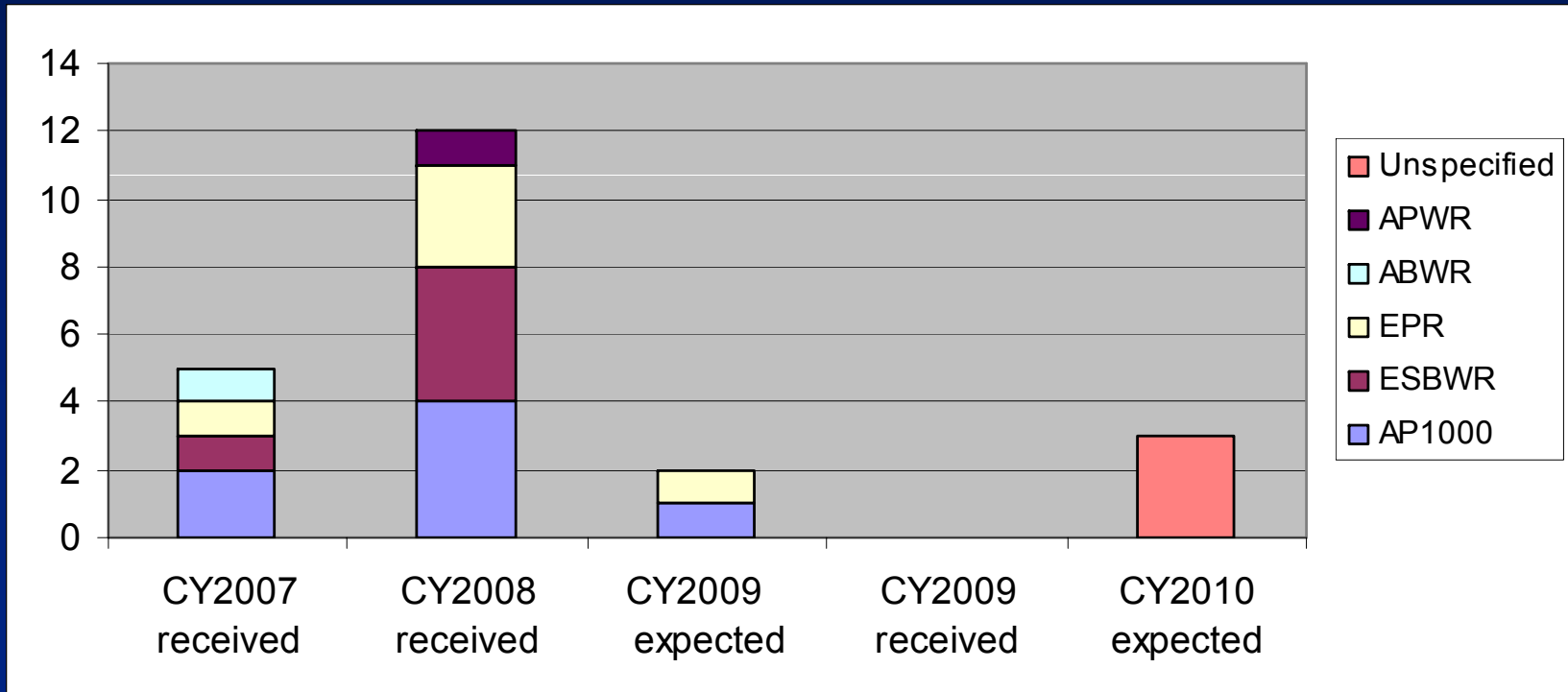
Increased Public Support

Government Policy – Energy Policy Act of 2005

Demand for New Electricity Generation

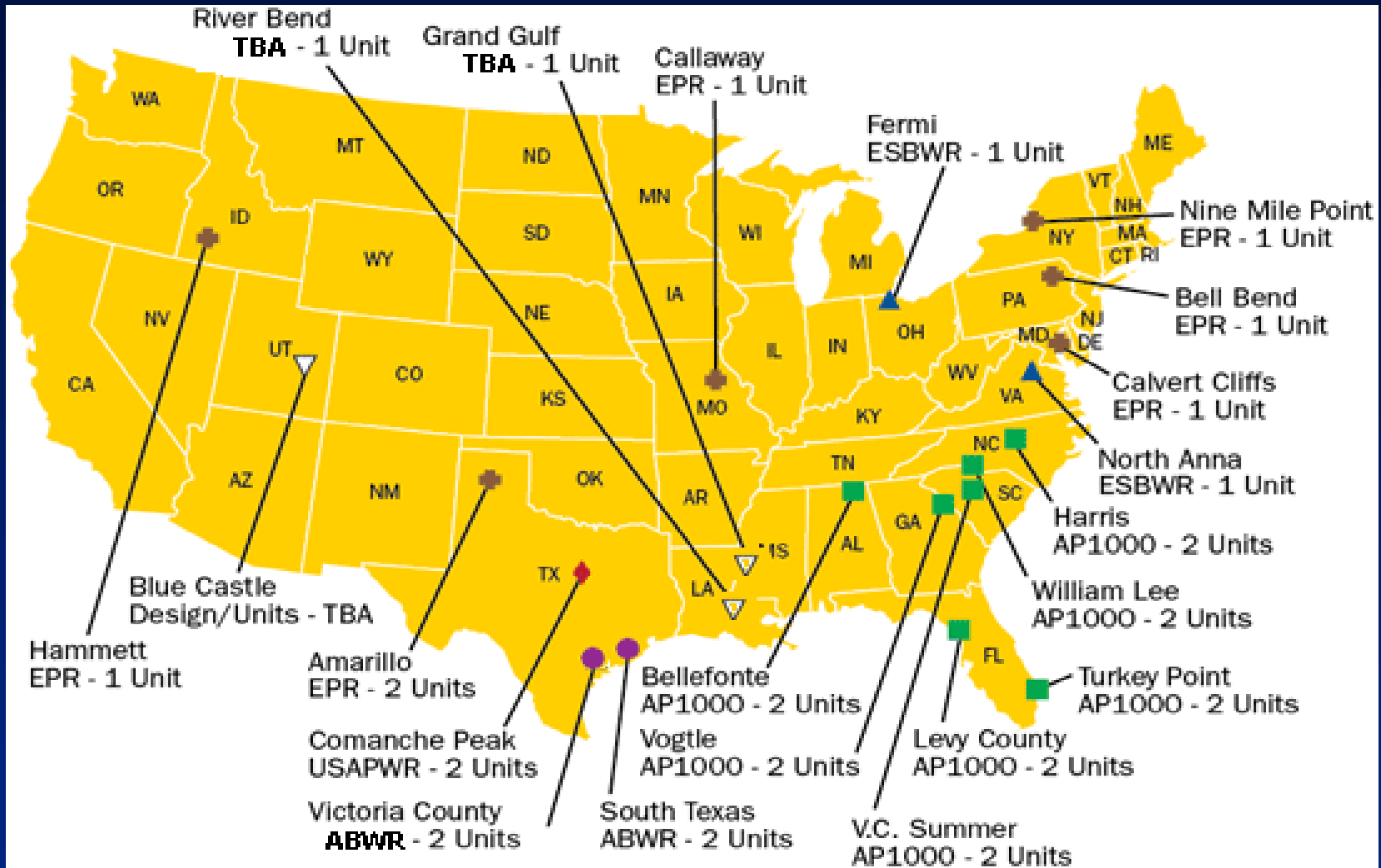
Cost of Electricity Production

COL Applications Expected & Received



Valid as of April 8, 2009

Possible New Plants



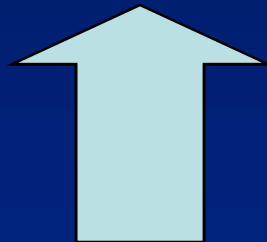
You may click on a design name to view the NRC's Web site for the specific design.

● ABWR
 ■ AP1000
 + EPR
 ▲ ESBWR
 ◆ USAPWR
 ▽ Design/Units - TBA

Nuclear Renaissance ?

Favorable Outlook for Increased Safe and
Secure Utilization of Nuclear Energy.....

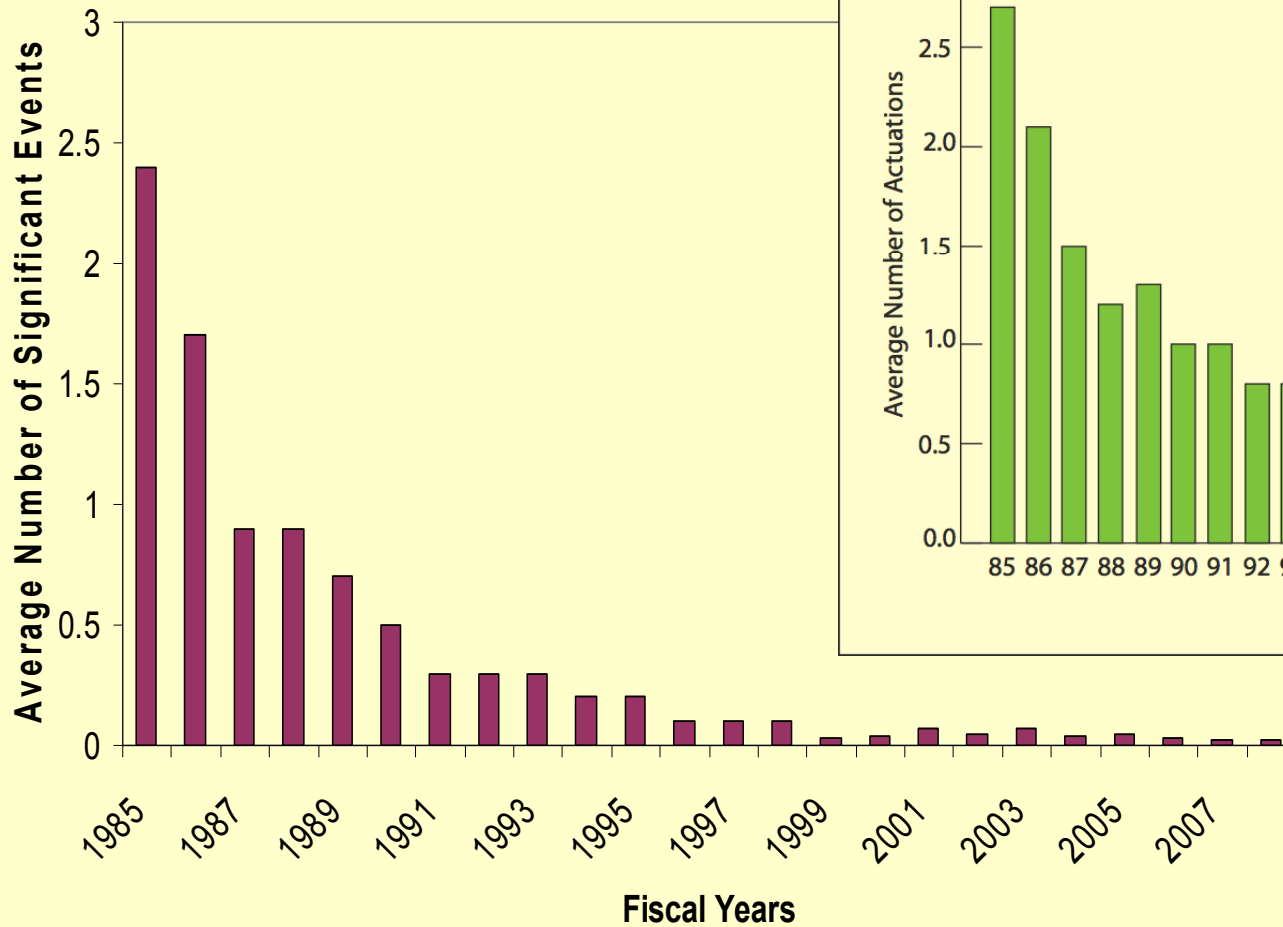
Depends on a
foundation of....



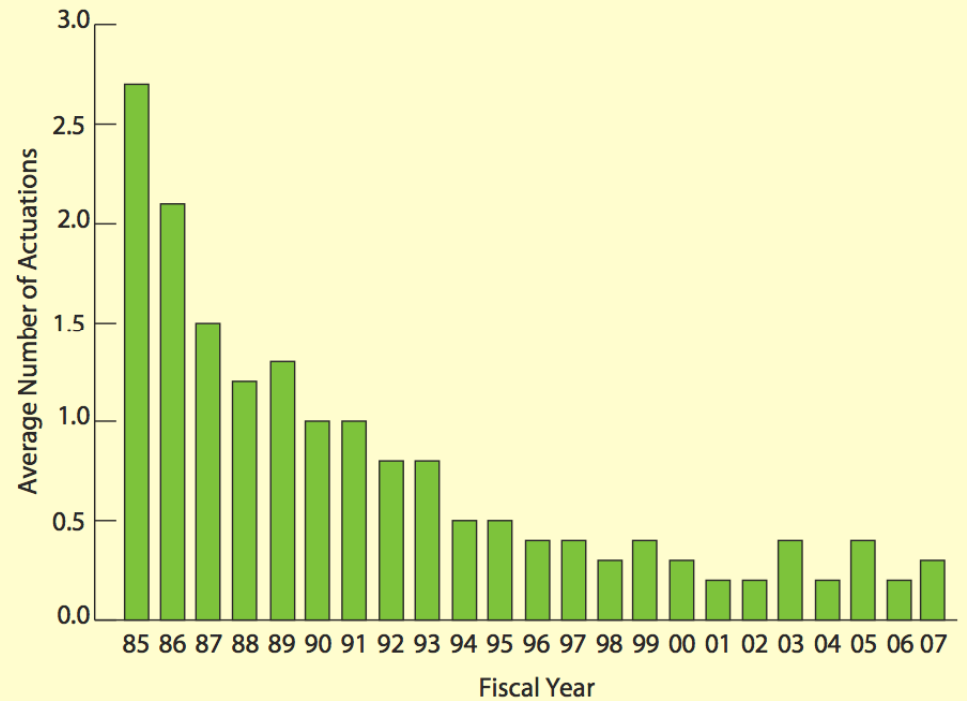
**Demonstrated Continued
Safe Operations**

Historical Trend in Indicators of Safety Since 1985

Significant Events

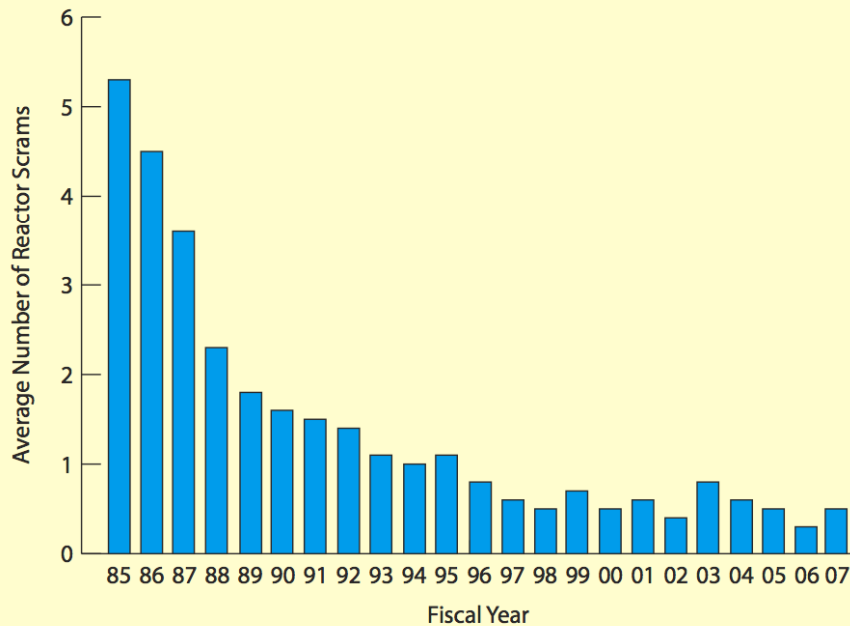


Safety System Actuations

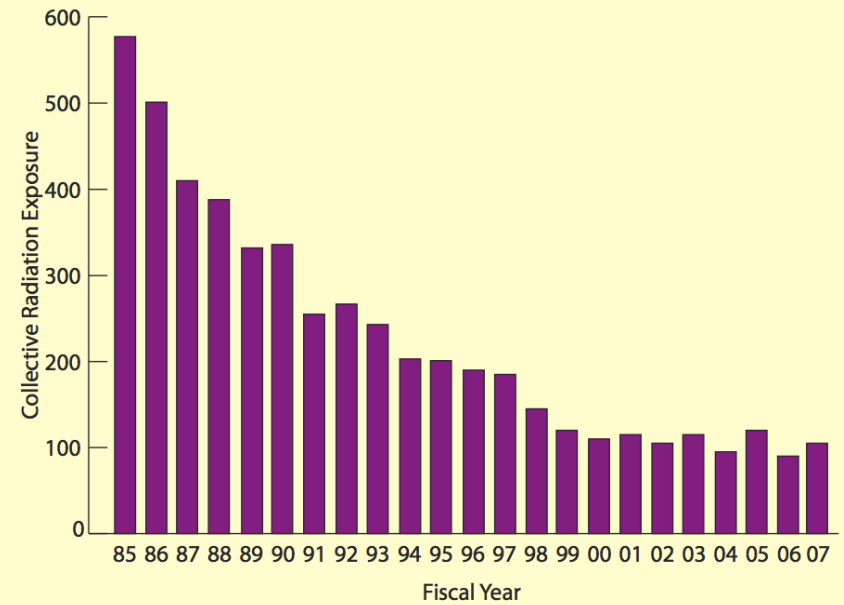


Safety Performance Trends continued

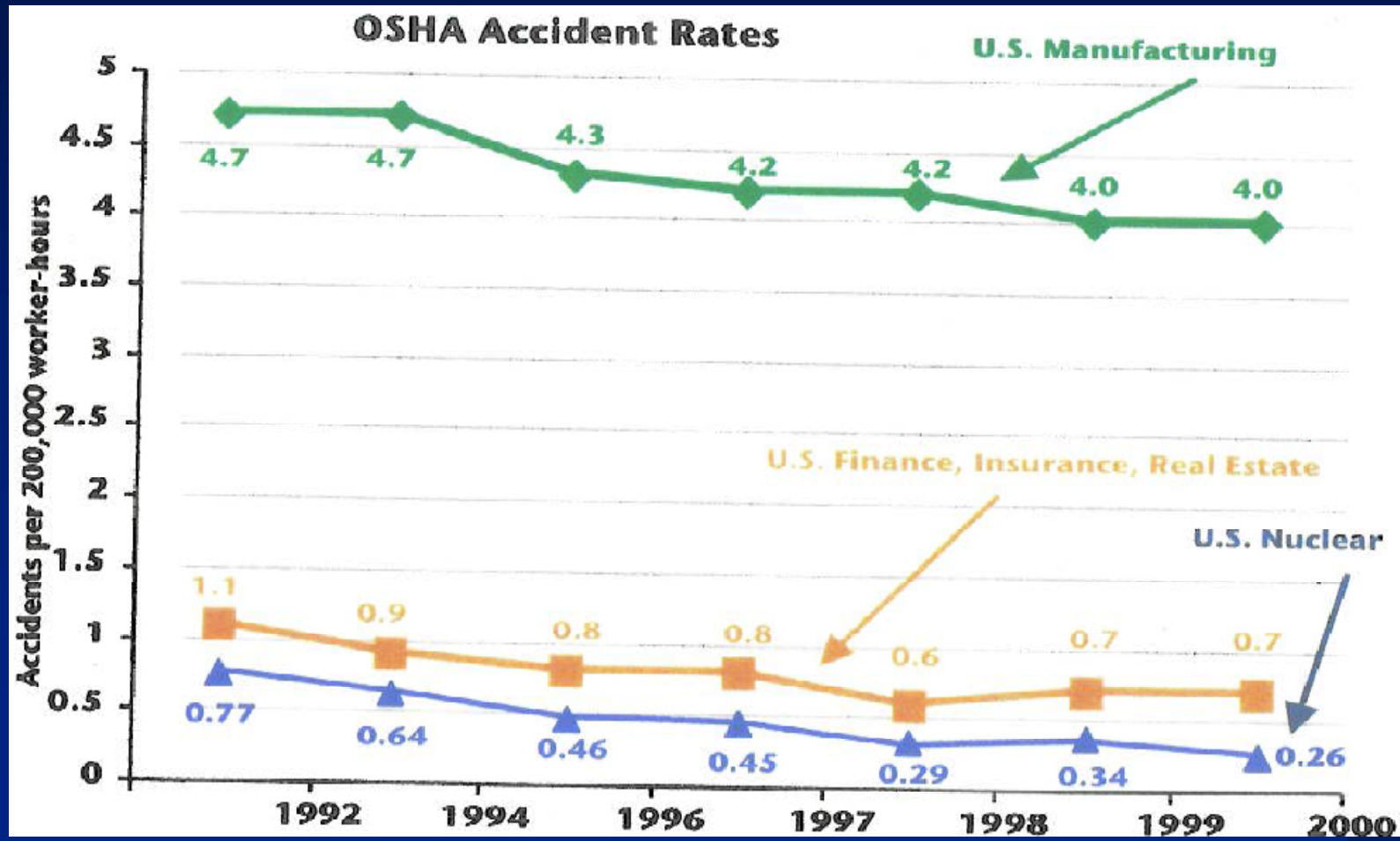
Automatic Scrams While Critical



Collective Radiation Exposure

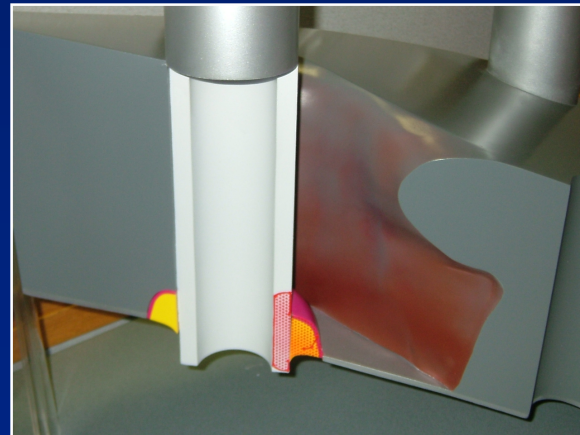
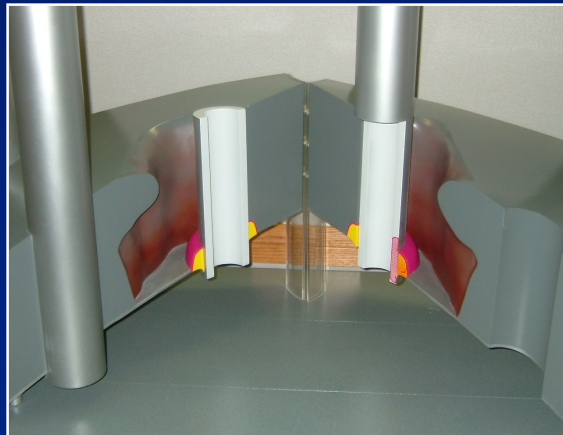
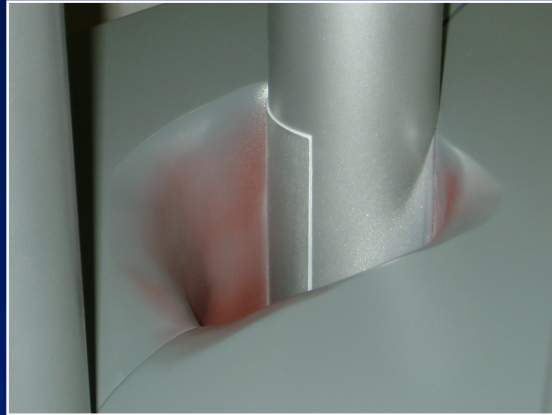


Occupational Accident Rates: An Indicator of Safety Consciousness?



Source: U.S. Bureau of Labor Statistics, quoted in *The GeoPolitics of Energy – Achieving a Just and Sustainable Energy Distribution by 2040*, by Judith Wright and James Conca, BookSurge Publishing, 2007

Davis-Besse Reactor Vessel Head Corrosion



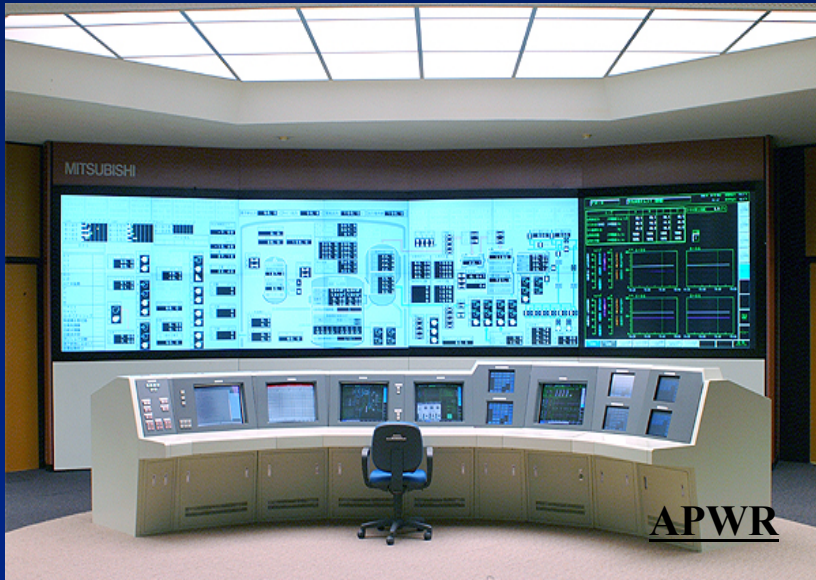
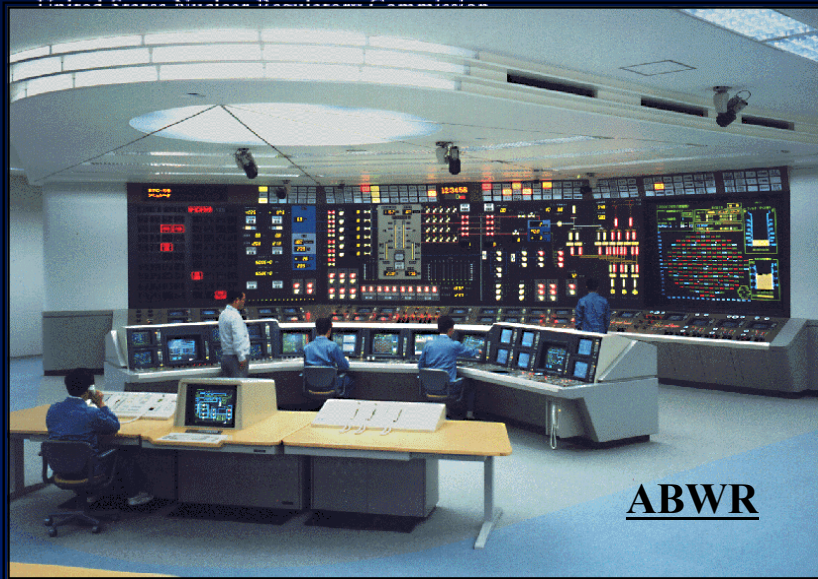
**Photos: Davis-Besse Head Corrosion Model
at USNRC HQ, Rockville, MD**

Challenges NRC Faces

- Technology
 - Current
 - Digital
- Communications
- Workforce

Current Technology





To be Seen as a Strong, Consistent, and Credible Regulator by our Stakeholders....

- Effective Communication is the Key
 - External Stakeholders
 - Internal Stakeholders

The Challenge of Informing the Public

“The professional person’s standing in the community depends, in the final analysis, on the public’s insight of his work, that is, on the educational level of the man in the street. **When specialized knowledge of professional people is incomprehensible to the average man, he is apt to flounder between frustrated suspicion and excessive awe**, leading him either to interfere unduly with professional independence or to accept naively every claim made by anyone who calls himself a professional.”

H. G. Rickover

Public Openness

- Essential to Regulatory Strength
- Opportunities for Public Comment
- Public Comments Addressed Openly
- Opportunities for Public Hearings
 - Licensing New Reactors
 - Renewing and Amending Licenses for Existing Reactors
- Balanced with Security Needs

“Risk” is perceived in many different ways

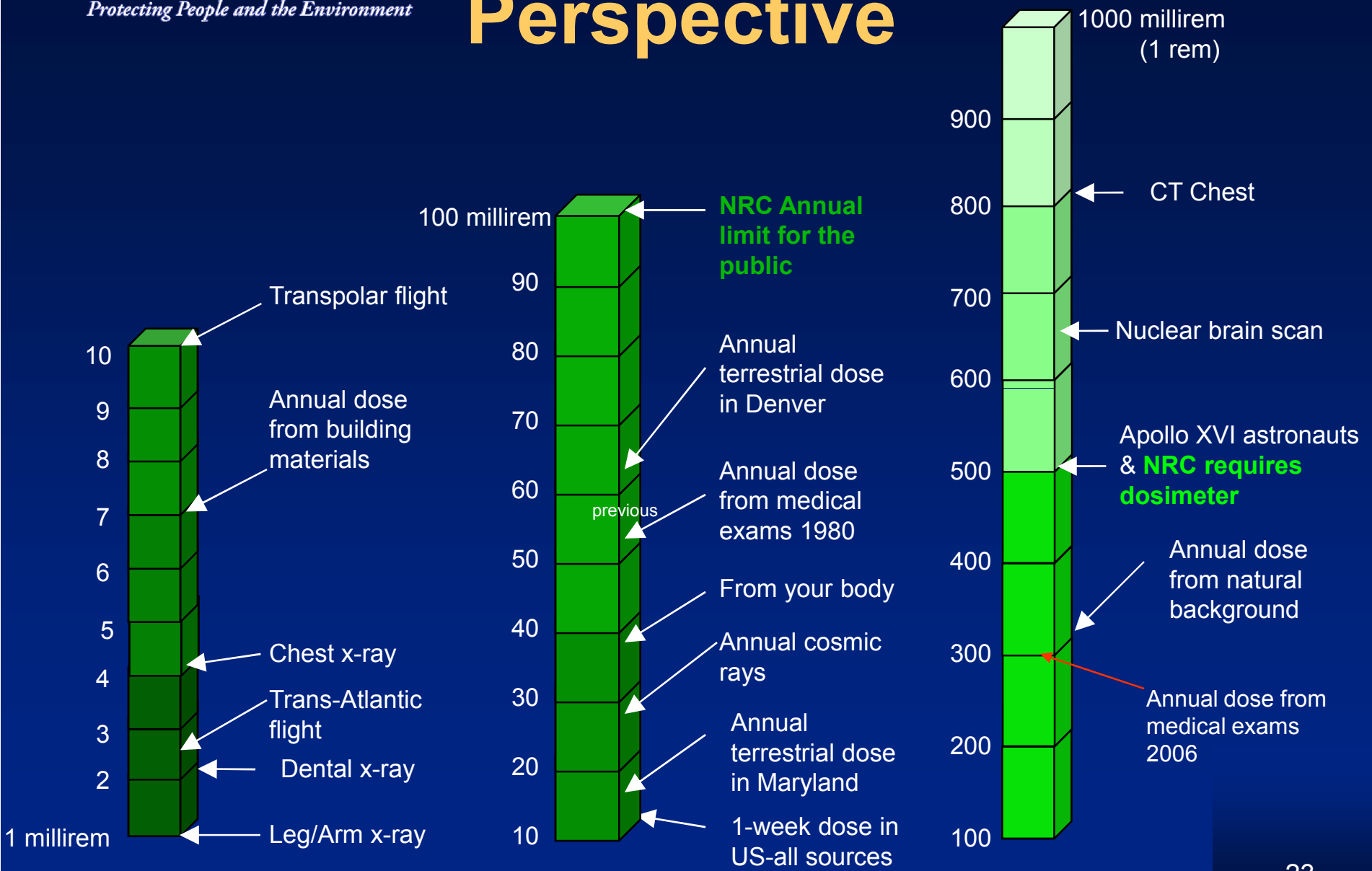
We must **COMMUNICATE** how NRC requirements adequately **MANAGE** the risk to acceptable levels – and how NRC ensures licensees are meeting those requirements

We must **communicate** with both internal and external stakeholders the **assumptions** underlying our risk analyses



We must **communicate** risk **concepts** to the **public** in **understandable** terms

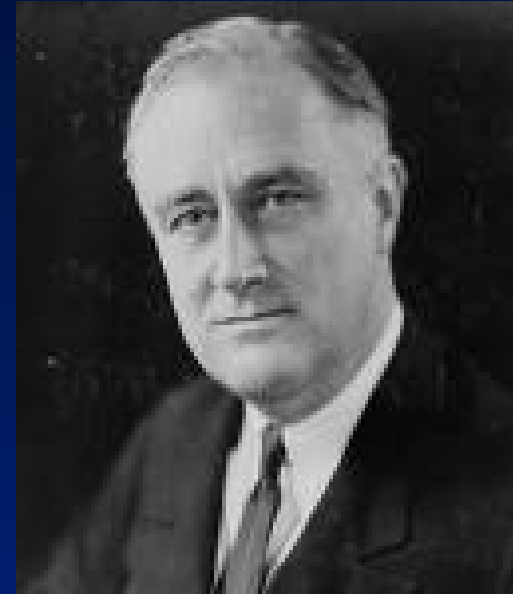
Radiation Doses in Perspective



ANOTHER EXTERNAL CHALLENGE- THE NATION'S TECHNICAL WORKFORCE

**WORKING TOGETHER,
WE NEED TO COMMUNICATE THE
SATISFACTION AND EXCITEMENT OF
A TECHNICAL CAREER**

Our Task as Educators



- “We cannot always build the future for our youth, but we can build our youth for the future.”

Franklin D. Roosevelt

Societal Importance of Education

“If you can solve the education problem, you don’t have to do anything else.

If you don’t solve it, nothing else is going to matter all that much.”

ALAN GREENSPAN, 2006

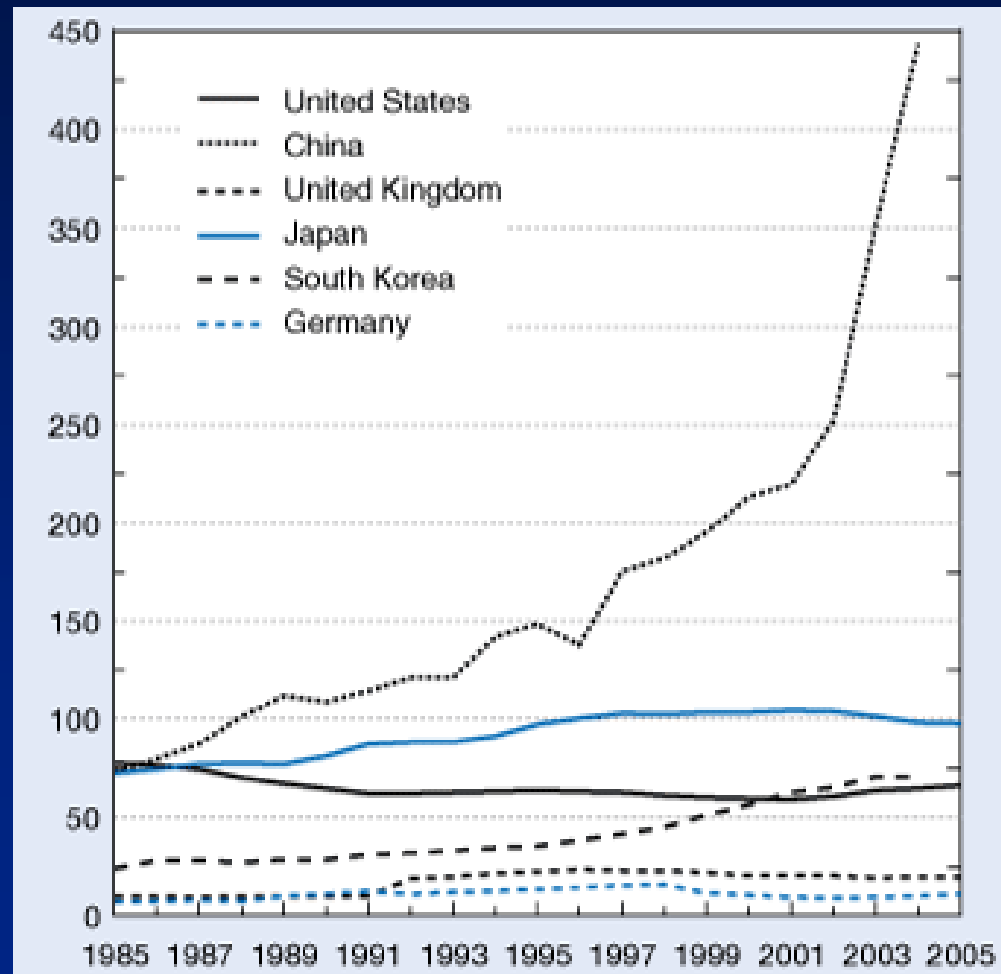
INTERNATIONAL MATH SCORE RANKINGS

- Top Five performers with the US ranking
 - Grade 4
 - Hong Kong
 - Singapore
 - Taiwan
 - Japan
 - Kazakhstan
 - 11th United States
 - Grade 8
 - Taiwan
 - South Korea
 - Singapore
 - Hong Kong
 - Japan
 - 9th United States

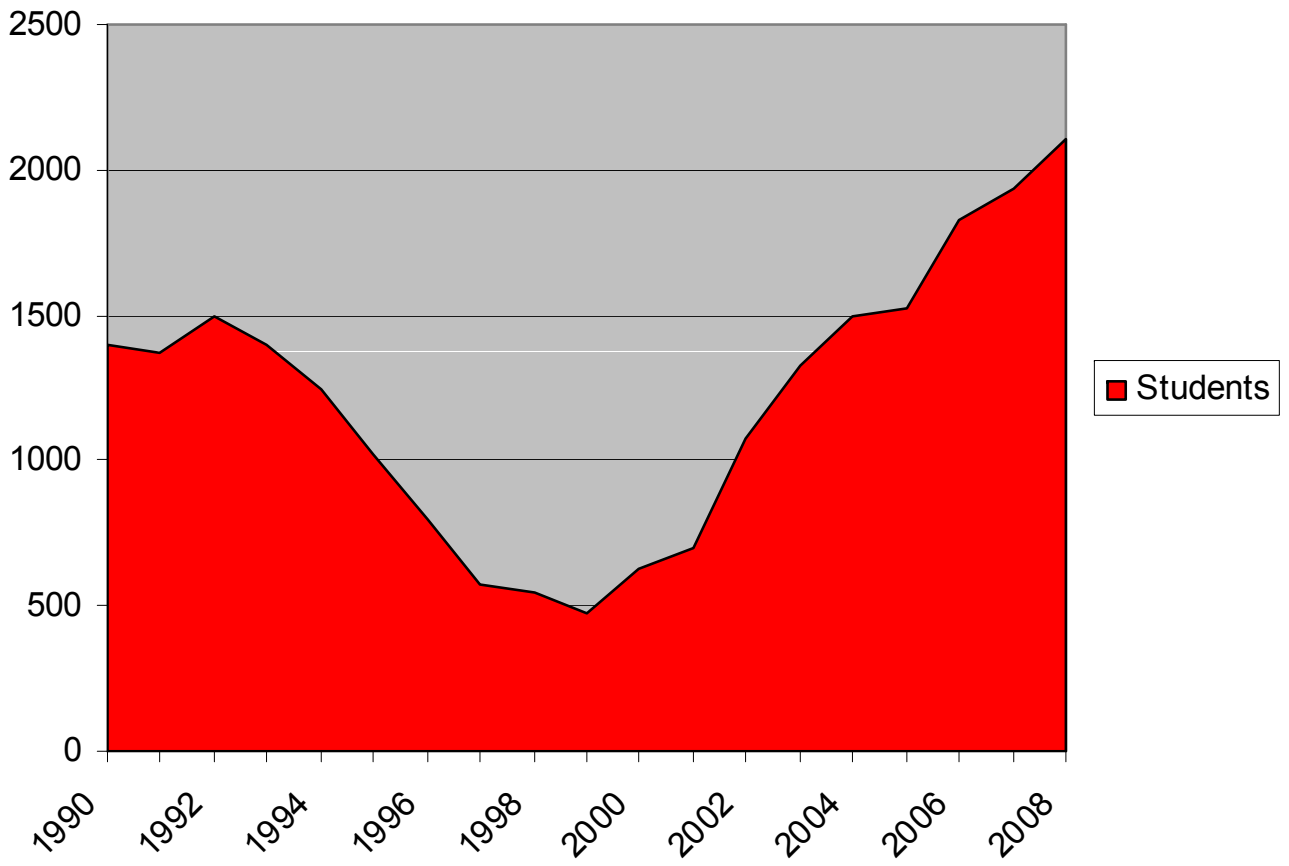
ENGINEERING

First University Degree

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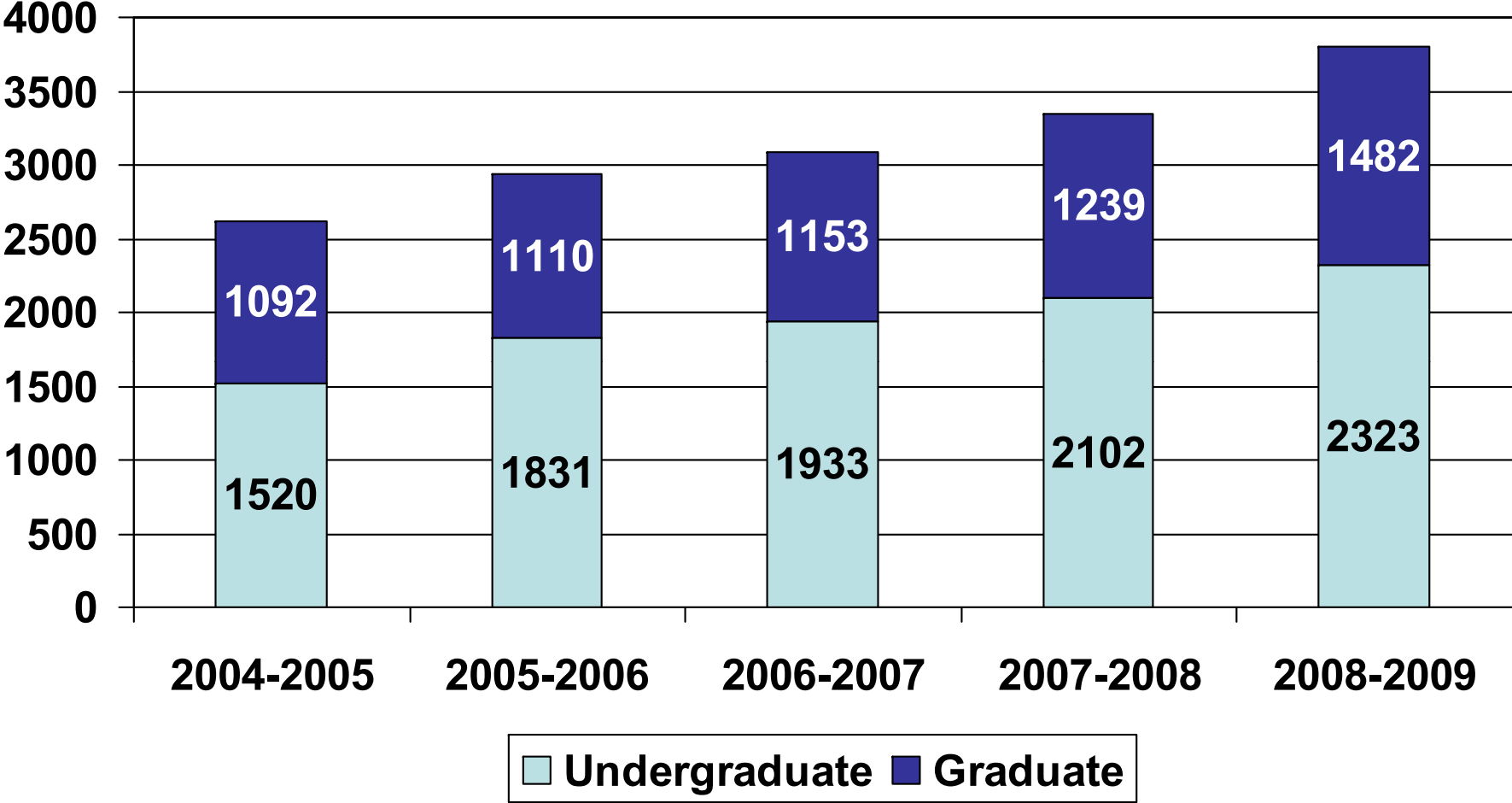


U.S. Nuclear Engineering Undergraduate Enrollment

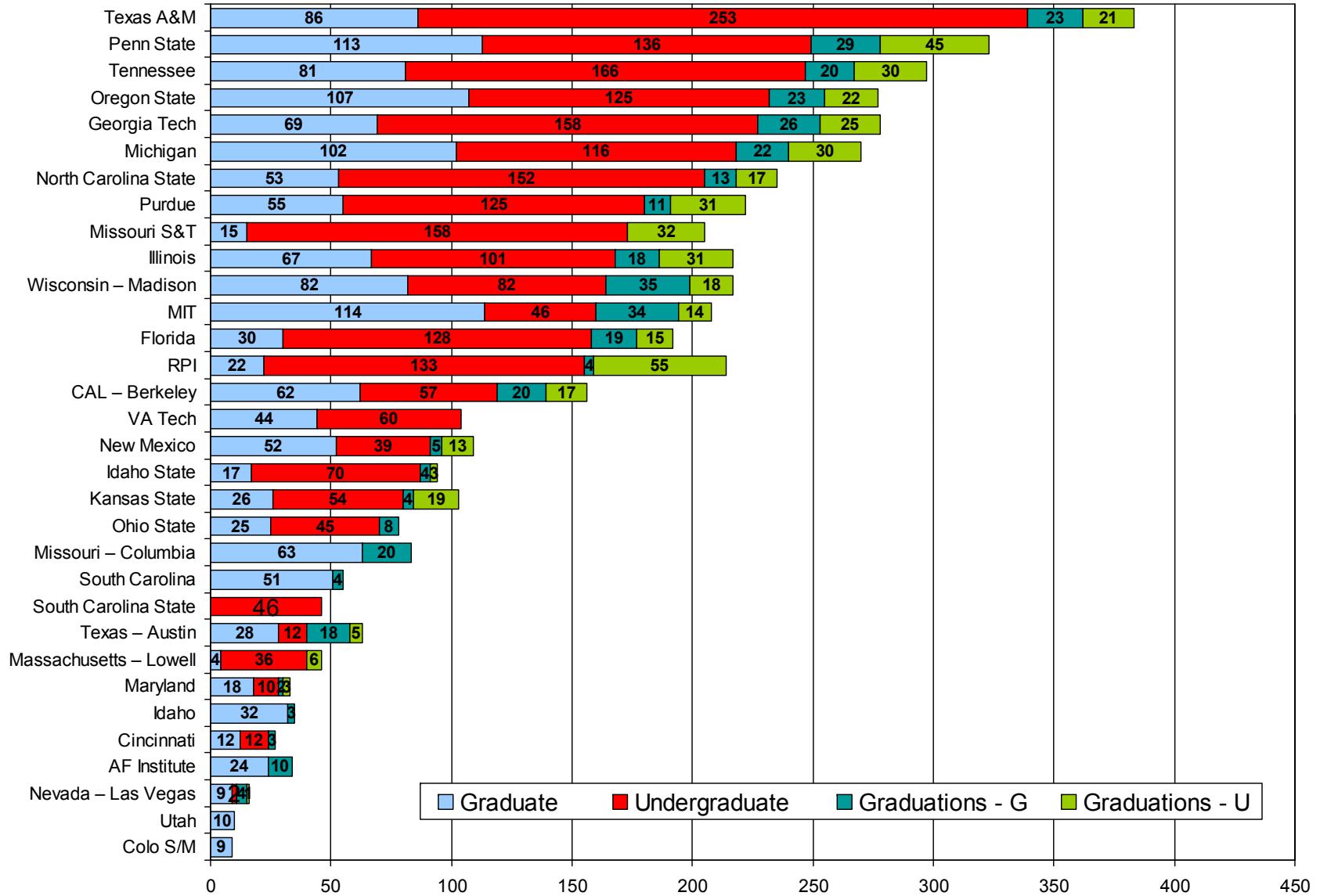


Source: DOE Survey, J. Gutteridge (2008)

NE Enrollment Trends (2004-2009)



Nuclear Engineering Enrollments and Graduations - 2008-09



NRC Hiring Trends

Goal – increase net staff by
200 per year

Accomplishments

Fiscal Year	2006	2007	2008
Hired	371	441	521
Attrition	211	222	208
Net Gain	160	219	313

NRC's Challenge

**Maintain recognition as one of the best
U.S. Federal agency workplaces!**



Institute for the Study of Public Policy Implementation (ISPPI) at
American University 2007 Best Places to Work in Federal
Government survey

Current Regulatory Issues of Interest

- **For New Reactor Designs**
 - Aircraft Crash Assessment Rulemaking
 - Digital Instrumentation and Controls
 - Small Reactors
- **For Operating Reactors**
 - Inattentive Security Guards
 - Fire Protection Closure
 - Digital Instrumentation and Controls
- **Materials Licensing**
 - Medical Isotope Supply
 - Cesium-137 Chloride continued use
- **Spent Fuel Management Policy**
 - Waste Confidence Policy/Rule
 - Repository Licensing

THE FUTURE IS NOW



Uranium Mining



Uranium Conversion



Uranium Enrichment



Power Reactors



Transportation



Storage



Waste Disposal



Medical/Industrial



New Reactors