

**Guidance for Correspondence with Allegers
Involving Security-Related Concerns**

Concern Category	Description	Response	Examples
I	Involves a potential generic industry security vulnerability.	Limited response, as outlined in AGM 2005-001. Letter to alleger will reiterate issues raised in sufficient detail, but staff actions and conclusions will not be described. Cognizant NSIR and/or regional security inspection management will concur on allegation closure letter.	*Failure, degradation or other deficiency in a model or brand of security equipment (e.g., intrusion detection system, contraband detection equipment) that affects multiple licensees.
II	If true, would constitute more than a minor finding or violation, as determined by applicable guidance or review panels.	Limited response. Letter to alleger will reiterate issues raised in sufficient detail, but staff actions and conclusions will not be described. Letter will state whether findings were identified and deficiencies corrected, but without specific detail. Response provided once required compensatory actions, if any, are in place. Cognizant NSIR and/or regional security inspection management will concur on allegation closure letter. If requested and the alleger is a security force member at the facility with normal access to such information, the staff will discuss agency actions and conclusions. Employment and position verification will not be sought without prior permission from the alleger.	*Failure to control work hour limits within requirements that results in a fitness-for-duty issue. *Failure to maintain a required number of armed responders. *Failure to install, test and implement a protected area intrusion detection system in accordance with the manufacturer or licensee design or performance specifications.
III	If true, would, at most, constitute a minor finding or violation, as determined by applicable guidance or review panels.	Response provided once required compensatory actions, if any, are in place. Response will describe staff actions and staff's conclusion regarding concern validity, but not a description of compensatory actions, if any are taken. Cognizant NSIR and/or regional security inspection management will concur on allegation closure letter. If requested, and the alleger is a security force member at the facility with normal access to such information, and a review of the concern resulted in a finding or violation requiring compensatory actions, the staff will discuss the compensatory actions with the alleger. Employment and position verification will not be sought without prior permission from the alleger.	(1) Not a finding: *Failure to provide security personnel coverage to a post that is not a regulatory committed position. (2) Minor finding: *Failure to meet height requirements in a section of the protected area fence, but the infraction is not a significant dimensional discrepancy.