

## SDP/EA REQUEST & STRATEGY FORM

<b>Case Data</b>		Disp <input type="checkbox"/>	Related Cases: _____
<b>SDP/EA No.:</b> _____		Number: <input type="checkbox"/>	Docket No.: _____
Request Date: _____	Region: _____	Case Type: _____	Small Entity: <input type="checkbox"/> No <input type="checkbox"/> Yes
Licensee: _____		Facility / City: _____	
License No.: _____		Last Day of Insp.: _____	
Insp. Rpt No.: _____	Keywords: _____		ES: _____
Facts (EATS):	<b>Apparent Violations</b>		

Discussion (if required):

<b>SDP</b>	<input type="checkbox"/> No <input type="checkbox"/> Yes
Assessment:	<input checked="" type="checkbox"/> <b>Green</b> <input type="checkbox"/> <b>White</b> <input type="checkbox"/> <b>Yellow</b> <input type="checkbox"/> <b>Red</b> <b>NOV</b> <input type="checkbox"/> Yes <input type="checkbox"/> No

<b>Wrongdoing</b>	<input type="checkbox"/> No <input type="checkbox"/> Yes	OI Rpt. No.: _____
DOJ Referral?	<input type="checkbox"/> No <input type="checkbox"/> Yes	Ref. Date: _____ Action Date: _____ <input type="checkbox"/> Decline <input type="checkbox"/> Accept
	<input type="checkbox"/> Awaiting DOJ	<input type="checkbox"/> Needs coordination with DOJ
Resolution:	Does not meet the NRC <input type="checkbox"/> Legal <input type="checkbox"/> Policy threshold for taking enforcement action regarding	
	<input type="checkbox"/> Deliberate Misconduct	<input type="checkbox"/> Careless Disregard <input type="checkbox"/> Non-willful violation(s)

<b>Escalated Action</b>	Date of Violation: _____
Consequence:	<input type="checkbox"/> Actual <input type="checkbox"/> Potential <input type="checkbox"/> Reg. Impact <input type="checkbox"/> Willfulness
Prior Esc. Action?	<input type="checkbox"/> No <input type="checkbox"/> Yes EA: _____ Date: _____
ID Credit?	<input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> TBD SL: _____
CA Credit?	<input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> TBD Supp: _____
CP?	<input type="checkbox"/> No CP <input type="checkbox"/> Base <input type="checkbox"/> Double Base <input type="checkbox"/> Other: _____

<b>Discretion or Order?</b>	<input type="checkbox"/> No <input type="checkbox"/> Yes Explain: _____
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<b>Future Action</b>	Conference? <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> Open <input type="checkbox"/> Closed Additional Info: _____
Action?	<input type="checkbox"/> No Violation <input type="checkbox"/> Re-panel <input type="checkbox"/> PEC Letter <input type="checkbox"/> Choice Letter <input type="checkbox"/> Choice Call <input type="checkbox"/> SL IV <input type="checkbox"/> Re-caucus
	<input type="checkbox"/> Region Issue Esc. <input type="checkbox"/> Full Package Review by HQ <input type="checkbox"/> DEDR Review <input type="checkbox"/> Commission <input type="checkbox"/> NCV <input type="checkbox"/> Offer ADR
	Other Action? _____

Participants: Region \_\_\_\_\_

OE \_\_\_\_\_ OGC/OI \_\_\_\_\_

Program Office \_\_\_\_\_ Other \_\_\_\_\_

Remarks/Comments/Lessons Learned: **Apparent Violations**

**EATS** - Please ensure that the text in the EATS "Facts" and "Remarks" sections state only the phrase, "Apparent Violations." The "NON-EATS" text below should remain on the strategy form only. **ADAMS** - Please make this document "Sensitive" and "Non-Public" in ADAMS.

**NON-EATS FACTS:**

**NON-EATS REMARKS/COMMENTS/LESSONS LEARNED:**

Approved, Dir. OE:  
Date: