

CHAPTER 9

AUXILIARY SYSTEMS

9.1 Fuel Storage and Handling

9.1.1 New Fuel Storage

9.1.1.1 Design Bases

New fuel is stored in a high density rack which includes integral neutron absorbing material to maintain the required degree of subcriticality. The rack is designed to store fuel of the maximum design basis enrichment. The rack in the new fuel pit consists of an array of cells interconnected to each other at several elevations and to supporting grid structures at the top and bottom elevations. This rack module is not anchored to the pit floor, but lateral bracing to the pit wall structures is provided.

The new fuel rack includes storage locations for 56 fuel assemblies. The rack array center-to-center spacing is shown in Figure 9.1-1. This spacing provides a minimum separation between adjacent fuel assemblies which is sufficient to maintain a subcritical array even in the event the building is flooded with unborated water or fire extinguishant aerosols or during any design basis event. The design of the rack is such that a fuel assembly can not be inserted into a location other than a location designed to receive an assembly. An assembly can not be inserted into a full location. Surfaces that come into contact with the fuel assemblies are made of annealed austenitic stainless steel.

The requirements of ANS 57.1 are addressed in subsection 9.1.4. The rack is designed to withstand nominal operating loads and safe shutdown earthquake seismic loads defined in Table 9.1-1. The new fuel storage rack is designed to meet seismic Category I requirements of Regulatory Guide 1.29. Refer to subsection 1.9.1 for compliance with Regulatory Guides. The rack is also designed to withstand the maximum uplift force of the fuel handling jib crane.

AP600 equipment, seismic and ASME Code classifications are discussed in Section 3.2. The requirements of ASME Code Section III, Division I, Article NF3000 are used as the criteria for evaluation of stress analysis. The materials are procured in accordance with ASME Code Section III, Division I, Article NF2000. Criticality analyses are performed in accordance with the requirements of ANSI N16.1-75, Nuclear Criticality Safety in Operations with Fissionable Materials Outside Reactors (Reference 1); and analysis codes are validated against the requirements of ANSI N16.9-75, Validation of Computational Methods for Nuclear Criticality Safety (Reference 2).

9.1.1.2 Facilities Description

The new fuel storage facility is located within the seismic Category I auxiliary building fuel handling area. The facility is protected from the effects of natural phenomena such as earthquakes, wind, tornados, floods, and external missiles by the external walls of the auxiliary building. See Section 3.5 for additional discussion on protection from missiles. The facility is designed to maintain its structural integrity following a safe shutdown earthquake and to perform its intended function following a postulated event such as fire, internal missiles, or pipe break. The walls surrounding the fuel handling area and new fuel storage pit protect the fuel from missiles generated inside the auxiliary building. The fuel handling area does not contain a credible source of missiles. Refer to subsection 1.2.4.3 for a discussion of the auxiliary building. Refer to Section 3.8 for a discussion of the structural design of the new fuel storage area. Refer to subsection 3.5.1 for a discussion of missile sources and protection.

The dry, unlined, approximately 15.5-foot deep reinforced concrete pit is designed to provide support for the new fuel storage rack. The rack is supported by the pit floor and laterally supported as required at the rack top grid structure by the pit wall structures. The walls of the new fuel pit are seismic Category I. The new fuel pit is normally covered to prevent foreign objects from entering the new fuel storage rack. Since the only crane that can access the new fuel pit does have the capacity to lift heavy objects, as defined in subsection 9.1.5, the new fuel pit cover is not designed to protect the fuel assemblies from the effects of dropped heavy objects. Figures 1.2-7 through 1.2-10 show the relationship between the new fuel storage facility and other features of the fuel handling area.

The new fuel storage pit is drained by gravity drains that are part of the radioactive waste drain system (subsection 9.3.5), draining to the waste holdup tanks which are part of the liquid radwaste system (Section 11.2). These drains preclude flooding of the pit by an accidental release of water.

Nonseismic equipment in the vicinity of the new fuel storage racks is evaluated to confirm that its failure could not result in an increase of K_{eff} beyond the maximum allowable K_{eff} . Refer to subsection 3.7.3.13 for a discussion of the nonseismic equipment evaluation.

A jib crane is used to load new fuel assemblies into the new fuel rack and transfer new fuel assemblies from the new fuel pit into the spent fuel pool. The capacity of the jib crane is limited to 2000 lbs. The new fuel pit is not accessed by the fuel handling machine or by the cask handling crane. This precludes the movement of loads greater than fuel components over stored new fuel assemblies.

During fuel handling operations, a ventilation system removes gaseous radioactivity from the atmosphere above the new fuel pit. Refer to subsection 9.4.3 for a discussion of the fuel handling area HVAC system and Section 11.5 for process radiation monitoring. Security for the new fuel assemblies is described in Section 13.6.

9.1.1.2.1 New Fuel Rack Design

A. Design and Analysis of the New Fuel Rack

The new fuel storage racks are purchased equipment. The purchase specification for the new fuel storage racks will require the vendor to perform confirmatory dynamic and stress analyses. The seismic and stress analyses of the new fuel rack will consider the various conditions of full, partially filled, and empty fuel assembly loadings. The rack will be evaluated for the safe shutdown earthquake condition against the seismic Category I requirements. A stress analysis will be performed to verify the acceptability of the critical load components and paths under normal and faulted conditions. The rack rests on the pit floor and is braced as required to the pit wall structures.

The dynamic response of the fuel rack assembly during a seismic event is the condition which produces the governing loads and stresses on the structure. The new fuel storage rack is designed to meet the seismic Category I requirements of Regulatory Guide 1.29.

Loads and Load Combinations

The applied loads to the new fuel rack are:

- Dead loads
- Live loads - effect of lifting the empty rack during installation
- Seismic forces of the safe shutdown earthquake
- Fuel assembly drop accident
- Fuel handling jib crane uplift - postulated stuck fuel assembly

Table 9.1-1 shows loads and load combinations considered in the analyses of the new fuel rack.

The margins of safety for the rack in the multi-direction seismic event are produced using loads obtained from the seismic analysis based on the simultaneous application of three statistically independent, orthogonal accelerations.

B. Fuel Handling Jib Crane Uplift Analysis

An analysis will be performed to demonstrate that the racks can withstand a maximum uplift load of 2000 pounds. This load will be applied to a postulated stuck fuel assembly. Resultant rack stresses will be evaluated against the stress limits and will be demonstrated to be acceptable. It will also be demonstrated that there is no change in rack geometry of a magnitude which causes the criticality criterion to be violated.

C. Fuel Assembly Drop Accident Analysis

In the unlikely event of dropping a fuel assembly, accidental deformation of the rack will be determined and evaluated in the criticality analysis to demonstrate that it does not cause the criticality criterion to be violated. The analysis considers only the case of a dropped new fuel assembly.

For the analysis of a dropped fuel assembly, two accident conditions are postulated. The first accident condition conservatively assumes that the weight of a fuel assembly and handling tool (1625 pounds total) impacts the top of the fuel rack from a drop height of 3 feet. Both a straight drop and an inclined drop will be included in the assessment. Calculations will be performed which demonstrate that the impact energy is absorbed by the dropped fuel assembly, the rack cells, and the rack base plate assembly.

The second accident condition assumes that the dropped assembly and tool (1625 pounds) falls straight through an empty cell and impacts the rack base plate from a drop height of 3 feet above the top of the rack. An analysis will be performed that will demonstrate the impact energy is absorbed by the fuel assembly and the rack base plate. The resulting rack deformations will be evaluated in the criticality analysis to demonstrate that the criticality criteria are not violated.

D. Failure of the Fuel Handling Jib Crane

The fuel handling jib crane is a seismic Category II component. The crane and the attachment to the building structure is evaluated to show that the crane does not fall into the new fuel storage pit during a seismic event.

E. Internally Generated Missiles

The fuel handling area does not contain any credible sources of internally generated missiles.

Stress analyses will be performed by the vendor using loads developed by the dynamic analysis. Stresses will be calculated at critical sections of the rack and compared to acceptance criteria referenced in ASME Section III, Division I, Article NF3000.

9.1.1.3 Safety Evaluation

The rack, being a seismic Category I structure, is designed to withstand normal and postulated dead loads, live loads, loads resulting from thermal effects, and loads caused by the safe shutdown earthquake event.

The design of the rack is such that K_{eff} remains less than or equal to 0.95 with new fuel of the maximum design basis enrichment. For a postulated accident condition of flooding of the new fuel storage area with unborated water, K_{eff} does not exceed 0.98.

The new fuel storage racks are purchased equipment. The purchase specification for the new fuel storage racks will require the vendor to perform a criticality analysis of the new fuel storage racks. The criticality evaluation will consider the inherent neutron absorbing effect of the materials of construction, including fixed neutron absorbing "poison" material.

The new fuel rack is located in the new fuel storage pit, which has a cover to protect the new fuel from debris. No loads are required to be carried over the new fuel storage pit while the cover is in place. The cover is designed such that it will not fall and damage the fuel or fuel rack during a seismic event. Administrative controls are utilized when the cover is removed for new fuel transfer operations to limit the potential for dropped object damage.

The racks are also designed with adequate energy absorption capabilities to withstand the impact of a dropped fuel assembly from the maximum lift height of the fuel handling jib crane. Handling equipment (spent fuel shipping cask crane) capable of carrying loads heavier than fuel components is prevented from traveling over the fuel storage area. The fuel storage racks can withstand an uplift force greater than or equal to the uplift capability of the fuel handling jib crane (2000 pounds).

Materials used in rack construction are compatible with the storage pit environment, and surfaces that come into contact with the fuel assemblies are made of annealed austenitic stainless steel. Structural materials are corrosion resistant and will not contaminate the fuel assemblies or pit environment. Neutron absorbing "poison" material used in the rack design has been qualified for the storage environment. Venting of the neutron absorbing material is accomplished through the open corner design of the retaining "wrapper" plate.

The new fuel assemblies are stored dry. The rack structure is designed to maintain a safe geometric array for normal and postulated accident conditions. The fixed neutron absorbing "poison" material maintains the required degree of subcriticality for normal and postulated accident conditions such as flooding with pure water and low density optimum moderator "misting."

A discussion of the methodology used in the criticality analysis is provided in subsection 4.3.2.6.

9.1.2 Spent Fuel Storage

9.1.2.1 Design Bases

Spent fuel is stored in high density racks which include integral neutron absorbing material to maintain the required degree of subcriticality. The racks are designed to store fuel of the maximum design basis enrichment. Each rack in the spent fuel pool consists of an array of cells interconnected to each other at several elevations and to supporting grid structures at the top and bottom elevations. These rack modules are free-standing, neither anchored to the pool floor nor braced to the pool wall. The rack arrays center-to-center spacing is shown in Figures 9.1-2 and 9.1-3.

The spent fuel storage racks include storage locations for 619 fuel assemblies. The modified 10 x 7 rack module contains integral storage locations for five defective fuel storage containers as shown in Figure 9.1-4. The design of the rack is such that a fuel assembly can not be inserted into a location other than a location designed to receive an assembly. An assembly can not be inserted into a full location.

AP600 equipment, seismic and ASME Code classifications are discussed in Section 3.2. The requirements of ASME Section III, Division I, Article NF3000 are used as the criteria for evaluation of stress analyses. The materials are procured in accordance with ASME Section III, Division I, Article NF2000. Criticality analyses are performed in accordance with the requirements of ANSI N16.1-75, Nuclear Criticality Safety in Operations with Fissionable Materials Outside Reactors (Reference 1); analysis codes are validated against the requirements of ANSI N16.9-75, Validation of Calculational Methods for Nuclear Criticality Safety (Reference 2); and overall requirements for fuel storage are in accordance with ANSI N210-76, Design Objectives for Light Water Reactor Spent Fuel Storage Facilities at Nuclear Power Stations (Reference 3).

The spent fuel pool is designed to preclude inadvertent draining of the water from the pool.

9.1.2.2 Facilities Description

The spent fuel storage facility is designed to the guidelines of ANS 57.2 (Reference 4). The spent fuel storage facility is located within the seismic Category I auxiliary building fuel handling area. The walls of the spent fuel pool are an integral part of the seismic Category I auxiliary building structure. The facility is protected from the effects of natural phenomena such as earthquakes (subsection 3.7.2), wind and tornados (Section 3.3), floods (Section 3.4), and external missiles (Section 3.5).

The facility is designed to maintain its structural integrity following a safe shutdown earthquake and to perform its intended function following a postulated event such as a fire. Refer to subsection 1.2.4.3 for further discussions of the auxiliary building fuel handling area.

Nonseismic equipment in the vicinity of the spent fuel storage racks is evaluated to confirm that its failure could not result in an increase of K_{eff} beyond the maximum allowable K_{eff} . Refer to subsection 3.7.3.13 for a discussion of the nonseismic equipment evaluation.

The spent fuel pool provides storage space for spent fuel. The pool is approximately 41 feet deep and constructed of reinforced concrete and concrete filled structural modules as described in subsection 3.8.4. The portion of the structural modules in contact with the water in the pool is stainless steel and the reinforced concrete portions are lined with a stainless steel plate. The normal water volume of the pool is about 176,000 gallons of borated water (including racks without fuel at a water level 2 foot 6 inches below the operating deck) with a nominal boron concentration of 2500 ppm. Figures 1.2-7 through 1.2-10 show the spent fuel pool and other features of the fuel handling area.

The connections for the drain and makeup lines are located to preclude the draining of the spent fuel pool due to a break in a line or failure of a pump to stop. The connection for the spent fuel cooling pumps' suction is located below normal water level and above the level needed to provide sufficient water for shielding and for cooling of the fuel if the spent fuel pool cooling system is unavailable. Skimmers that normally follow the water level surface do not travel below the level of the spent fuel cooling suction. Connections for suction to the chemical volume and control system are located between the normal water level and the spent fuel cooling system pumps' suction connection level. Pipes which discharge into the spent fuel pool include a siphon break between the normal water level and the level of the spent fuel cooling system pumps' suction connection.

The piping which returns the water to the spent fuel pool from the spent fuel pool cooling system enters the pool at the opposite end from the spent fuel pool cooling system pumps' suction connection. The piping arrangement and location ensure thorough mixing of the cooled water into the pool to prevent stagnant or hot regions.

A gated opening connects the spent fuel pool and fuel transfer canal. The fuel transfer canal is connected to the in-containment refueling cavity by a fuel transfer tube. The spent fuel transfer operation is completed underwater, and the waterways are of sufficient depth to maintain a minimum of 10 feet of shielding water above the spent fuel assemblies. A metal gate with gasket assembly separates the spent fuel pool and fuel transfer canal. This allows the fuel transfer canal to be drained without reducing the water level in the spent fuel pool. During normal operation, this gate remains open and is only closed to drain the canal. The bottom of the fuel transfer canal has a drain connected to safety-related piping and isolation valves which prevents inadvertent draining after a seismic event. Subsection 9.1.3 further addresses the minimum water level in the spent fuel pool.

Next to the spent fuel pool and accessible by another gated, gasketed opening is a cask loading pit. The cask pit is a lined reinforced concrete structure of the auxiliary building handling area. It is provided for underwater loading of fuel into a shipping cask and cask draining/decontamination prior to cask transshipment from the AP600 site. The bottom of the cask loading pit has a drain connected to safety-related piping and isolation valve which prevents inadvertent draining after a seismic event. The gate between the spent fuel pool and the cask loading pit is normally closed and opened only for cask loading options.

The fuel handling machine traverses the spent fuel pool, the fuel transfer canal, and the cask loading pit. It is used in the movement of both new and spent fuel assemblies. A jib crane is used to transfer new fuel assemblies from the new fuel pit into the spent fuel pool. A new fuel elevator in the spent fuel pool lowers the new fuel to an elevation accessible by the fuel handling machine.

The cask handling crane is used for operations involving the spent fuel shipping cask. The cask handling crane traverses the auxiliary building and a portion of the fuel handling area. The cask handling crane's path is designed such that the cask cannot pass over the spent fuel pool, new fuel pit, or fuel transfer canal. This precludes the movement of loads greater than fuel components over stored fuel in accordance with Regulatory Guide 1.13.

During fuel handling operations, a ventilation system removes gaseous radioactivity from the atmosphere above the spent fuel pool. Refer to subsection 9.4.3 for a discussion of the radiologically controlled area ventilation system, Section 11.5 for process radiation monitoring, subsection 9.1.3 for the spent fuel pool cooling system, and subsection 12.2.2 for airborne activity levels in the fuel handling area.

9.1.2.2.1 Spent Fuel Rack Design

A. Design and Analysis of Spent Fuel Racks

The spent fuel storage racks are purchased equipment. The purchase specification for the spent fuel storage racks will require the vendor to perform confirmatory dynamic and stress analyses. The seismic and stress analyses of the spent fuel racks will consider the various conditions of full, partially filled, and empty fuel assembly loadings. The racks will be evaluated for the safe shutdown earthquake condition and seismic Category I requirements. A detailed stress analysis will be performed to verify the acceptability of the critical load components and paths under normal and faulted conditions. The racks rest on the pool floor and are evaluated to determine that under loading conditions they do not impact each other nor do they impact the pool walls.

The dynamic response of the fuel rack assembly during a seismic event is the condition which produces the governing loads and stresses on the structure.

Loads and Load Combinations

The applied loads to the spent fuel racks are:

- Dead loads
- Live loads - effect of lifting the empty rack during installation
- Seismic forces of the safe shutdown earthquake
- Fuel assembly drop analysis
- Fuel handling machine uplift - postulated stuck fuel assembly
- Thermal loads

Table 9.1-1 shows loads and load combinations that are considered in the analyses of the spent fuel racks including those given in Reference 5.

The margins of safety for the racks in the multi-direction seismic event are produced using loads obtained from the seismic analysis based on the simultaneous application of three statistically independent, orthogonal accelerations.

B. Fuel Handling Machine Uplift Analysis

An analysis will be performed to demonstrate that the racks can withstand a maximum uplift load of 5000 pounds. This load will be applied to a postulated stuck fuel assembly. Resultant rack stresses will be evaluated against the stress limits and will be

demonstrated to be acceptable. It will also be demonstrated that there is no change in rack geometry of a magnitude which causes the criticality criterion to be violated.

C. Fuel Assembly Drop Accident Analysis

In the unlikely event of dropping a fuel assembly, accidental deformation of the rack will be determined and evaluated in the criticality analysis to demonstrate that it does not cause the criticality criterion to be violated. The analysis will consider only the case of a dropped spent, irradiated fuel assembly in a flooded pool and will take credit for dissolved boron in the water.

For the analysis of a dropped fuel assembly, two accident conditions are postulated. The first accident condition conservatively assumes that the weight of a fuel assembly, control rod assembly, and handling tool (2800 pounds total) impacts the top of the fuel rack from a drop height of 3 feet above the top of the rack. Both a straight drop and an inclined drop will be included in the assessment. Calculations will be performed which demonstrate that the impact energy is absorbed by the dropped fuel assembly, the rack cells, and the rack base plate assembly. Under these faulted conditions, credit is taken for dissolved boron in the pool water.

The second accident condition assumes that the dropped assembly and handling tool (2800 pounds) falls straight through an empty cell and impacts the rack base plate from a drop height of 3 feet above the top of the rack. The analysis will be performed which will demonstrate that the impact energy is absorbed by the fuel assembly and the rack base plate. At an interior rack location, base plate deformation is limited so that the pool liner is not impacted. At a support pad location, the stresses developed in the pool liner will be evaluated to be within allowable limits such that the liner integrity is maintained. Under these faulted conditions, credit is taken for dissolved boron in the pool water.

D. Fuel Rack Sliding and Overturning Analysis

Consistent with the criteria of Reference 5, the racks will be evaluated for overturning and sliding displacement due to earthquake conditions under the various conditions of full, partially filled, and empty fuel assembly loadings.

E. Failure of the Fuel Handling Jib Crane

The fuel handling jib crane is a seismic Category II component. The crane is evaluated to show that it does not collapse into the spent fuel pool as a result of a seismic event.

Stress analyses will be performed by the vendor using loads developed by the dynamic analysis. Stresses will be calculated at critical sections of the rack and compared to acceptance criteria referenced in ASME Section III, Division I, Article NF3000.

9.1.2.3 Safety Evaluation

The design and safety evaluation of the spent fuel racks is in accordance with Reference 5. The racks, being Equipment Class 3 and seismic Category I structures, are designed to withstand normal and postulated dead loads, live loads, loads resulting from thermal effects, and loads caused by the safe shutdown earthquake event.

The design of the racks is such that K_{eff} remains less than or equal to 0.95 under design basis conditions, including fuel handling accidents. Because of the close spacing of the cells, it is impossible to insert a fuel assembly in other than design locations. Inadvertent insertion of a fuel assembly between the rack periphery and the pool wall or placement of a fuel assembly across the top of a fuel rack is considered a postulated accident, and as such, realistic initial conditions such as boron in the pool water are assumed. These accident conditions have an acceptable K_{eff} of less than 0.95. The spent fuel storage racks are purchased equipment. The purchase specification for the spent fuel storage racks will require the vendor to perform a criticality analysis of the spent fuel storage racks. The criticality evaluation will consider the inherent neutron absorbing effect of the materials of construction, including fixed neutron absorbing "poison" material.

The racks are also designed with adequate energy absorption capabilities to withstand the impact of a dropped fuel assembly from the maximum lift height of the fuel handling machine. Handling equipment (cask handling crane) capable of carrying loads heavier than fuel components is prevented by design from carrying loads over the fuel storage area. The fuel storage racks can withstand an uplift force greater than or equal to the uplift capability of the fuel handling machine (5000 pounds).

Materials used in rack construction are compatible with the storage pool environment, and surfaces that come into contact with the fuel assemblies are made of annealed austenitic stainless steel. Structural materials are corrosion resistant and will not contaminate the fuel assemblies or pool environment. Neutron absorbing "poison" material used in the rack design has been qualified for the storage environment. Venting of the neutron absorbing material is accomplished through the open corner design of the retaining "wrapper" plate.

Design of the spent fuel storage facility is in accordance with Regulatory Guide 1.13. A discussion of the methodology used in the criticality analysis is provided in subsection 4.3.2.6.

9.1.3 Spent Fuel Pool Cooling System

The spent fuel pool cooling system (SFS) is designed to remove decay heat which is generated by stored fuel assemblies from the water in the spent fuel pool. This is done by pumping the high temperature water from within the fuel pool through a heat exchanger, and then returning the water to the pool. A secondary function of the spent fuel pool cooling system is clarification and purification of the water in the spent fuel pool, the transfer canal, and the refueling water. A listing of the major functions of the spent fuel pool cooling system and the corresponding modes of operation is provided below:

- **Spent fuel pool cooling** - Remove heat from the water in the spent fuel pool during operation to maintain the pool water temperature within acceptable limits.
- **Spent fuel pool purification** - Provide purification and clarification of the spent fuel pool water during operation.
- **Refueling cavity purification** - Provide purification of the refueling cavity during refueling operations.
- **Water transfers** - Transfer water between the in-containment refueling water storage tank (IRWST) and the refueling cavity during refueling operations.
- **In-containment refueling water storage tank purification** - Provide purification and cooling of the in-containment refueling water storage tank during normal operation.

9.1.3.1 Design Basis

9.1.3.1.1 Safety Design Basis

The spent fuel pool cooling system has the safety-related function of containment isolation. See subsection 6.2.3 for the containment isolation system. Safety-related makeup to the spent fuel pool is discussed in subsection 9.1.3.4.3.

9.1.3.1.2 Power Generation Basis

The principal functions of the spent fuel pool cooling system are outlined above. The spent fuel pool cooling system is designed to perform its function in a reliable and failure tolerant manner. This reliability is achieved with the use of rugged and redundant equipment. The spent fuel pool cooling system is not a safety-related system and is not required to operate following events such as earthquake, fire, passive failures or multiple active failures.

9.1.3.1.3 Spent Fuel Pool Cooling

9.1.3.1.3.1 Partial Core

The spent fuel pool cooling system is designed to remove heat from the spent fuel pool such that the spent fuel pool water temperature will be $\leq 120^{\circ}\text{F}$ following a partial core fuel shuffle refueling. The system is designed to perform this function based on the following:

- The assumed heat load is based on the decay heat generated by the accumulated fuel assemblies stored in the fuel pool for 10 years plus 1/3 of a core being placed into the pool beginning at 120 hours after shutdown.
- The spent fuel pool cooling system is assumed to have a single failure leading to loss of one train of normal cooling.

- The component cooling water system (CCS) supply temperature to the spent fuel pool cooling system heat exchangers is based on a service water system heat sink 1 percent exceedance ambient design wet bulb temperature of 80°F.

9.1.3.1.3.2 Full Core Off-Load

The AP600 normal refueling basis heat load is from a full core off-load. The spent fuel pool cooling system is designed to remove heat from the spent fuel pool such that the spent fuel pool water temperature will be $\leq 140^\circ\text{F}$ following a full core off-load based upon a service water heat sink 1 percent exceedance of 80°F ambient wet bulb temperature. The system is designed to perform this function based on the following:

- The assumed heat load is based on the decay heat generated by the accumulated fuel assemblies stored in the fuel pool for 10 years (including 1/3 core from the most recent refueling) plus one full core placed in the pool beginning at 120 hours after shutdown. Fuel transfer to the spent fuel pool is completed in 72 hours. This assumption is a realistic maximum heat load for the spent fuel pool cooling system. The time during the plant operating cycle at which the full core off-load occurs is chosen to maximize the required spent fuel pool cooling system heat load.
- The spent fuel pool cooling system is assumed to function with its full set of equipment available, or in the event of a single failure in the spent fuel pool cooling system, the spent fuel pool cooling may be performed by one train of the normal residual heat removal system connected to the spent fuel pool as described in subsection 5.4.7.4.5.
- The component cooling water system supply temperature to the spent fuel pool cooling system heat exchangers is based on a service water system heat sink 1 percent exceedance ambient design wet bulb temperature of 80°F.

9.1.3.1.4 Spent Fuel Pool Purification

The spent fuel pool cooling system removes radioactive corrosion products, fission products and dust to maintain low spent fuel pool (SFP) activity levels and to maintain water clarity during all modes of plant operation. The spent fuel pool cooling system purification capability are such that the occupational radiation exposure (ORE) is minimized to support as-low-as-reasonably-achievable (ALARA) goals. The spent fuel pool cooling system clarification capability is sufficient to permit necessary operations that must be conducted in the spent fuel pool area. The spent fuel pool cooling system is designed to perform its purification function in accordance with the following additional criteria:

- The spent fuel pool cooling system is designed to limit exposure rates at the surface of the spent fuel pool to less than 2.5 millirem per hour. This corresponds to an activity level in the water of approximately 0.005 microcurie per gram for the dominant gamma-emitting isotopes at the time of refueling.

- The spent fuel pool cooling system flow rate for one train shall be more than that necessary to provide two water volume changes in 24 hours for the spent fuel pool water.

9.1.3.1.5 Refueling Cavity Purification

The spent fuel pool cooling system removes radioactive corrosion products, fission products and dust to maintain low refueling cavity activity levels and to maintain water clarity during refueling operations. The spent fuel pool cooling system purification capability is such that the occupational radiation exposure is minimized to support ALARA goals. Furthermore, the spent fuel pool cooling system clarification capability is sufficient to permit necessary refueling operations that must be conducted in the refueling cavity. The spent fuel pool cooling system is designed to perform its purification function in accordance with the following additional criterion:

- The spent fuel pool cooling system is designed to limit exposure rates at the surface of the refueling cavity to less than 2.5 millirem per hour. This corresponds to an activity level in the water of approximately 0.005 microcurie per gram for the dominant gamma-emitting isotopes at the time of refueling.

9.1.3.1.6 Water Transfers

The spent fuel pool cooling system is designed to transfer water from the in-containment refueling water storage tank to the refueling cavity prior to a refueling and then back to the in-containment refueling water storage tank upon completion of the refueling operations. The spent fuel pool cooling system is designed to perform this function in accordance with the AP600 refueling schedule.

9.1.3.1.7 In-Containment Refueling Water Storage Tank Purification

The spent fuel pool cooling system removes radioactive corrosion products and fission ions to maintain low in-containment refueling water storage tank activity levels during normal plant operation prior to a scheduled refueling. The spent fuel pool cooling system is designed to maintain the water in the in-containment refueling water storage tank consistent with activity requirements of the water in the refueling cavity during a refueling.

9.1.3.1.8 Spent Fuel Pool Water Tritium Concentration Control

The concentration of tritium in the spent fuel pool water is maintained at less than 0.5 $\mu\text{Ci/g}$ to provide confidence that the airborne concentration of tritium in the fuel handling area is within 10 CFR 20, Appendix B limits (see subsection 12.2.2). The tritium concentration in the spent fuel pool is reduced, if necessary, by transferring a portion of the spent fuel pool water to the liquid radwaste system for discharge and replacing it with non-tritiated water.

9.1.3.2 System Description

The spent fuel pool cooling system is a non-safety-related system. The safety-related function of cooling and shielding the fuel in the spent fuel pool is performed by the water in the pool. A simplified sketch of the spent fuel pool cooling system is included as Figure 9.1-5. The piping and instrumentation diagram for the spent fuel pool cooling system is Figure 9.1-6.

The spent fuel pool cooling system consists of two mechanical trains of equipment. Each train includes one spent fuel pool pump, one spent fuel pool heat exchanger, one spent fuel pool demineralizer and one spent fuel pool filter. The two trains of equipment share common suction and discharge headers. In addition, the spent fuel pool cooling system includes the piping, valves, and instrumentation necessary for system operation.

The spent fuel pool cooling system is designed such that either train of equipment can be operated to perform any of the functions required of the spent fuel pool cooling system independently of the other train. One train is continuously cooling and purifying the spent fuel pool while the other train is available for water transfers, in-containment refueling water storage tank purification, or aligned as a backup to the operating train of equipment.

Each train is designed to process spent fuel pool water. Each pump takes suction from the common suction header and discharges directly to its respective heat exchanger. The outlet piping branches into parallel lines. The purification branch is designed to process one third of the cooling flow while the bypass branch passes the remaining two thirds.

Each purification branch is routed directly to a spent fuel pool demineralizer. The outlet of the demineralizer is to a spent fuel pool filter. The outlet of the filter is then connected to the bypass branch which forms a common line that connects to the discharge header.

The spent fuel pool cooling system suction header is connected to the spent fuel pool at two locations. The main suction line connects to the spent fuel pool at an elevation 2 feet below the normal water level of the pool. Two skimmer connections take suction from the water surface of the spent fuel pool. This suction arrangement prevents the spent fuel pool from inadvertently being drained below a level that would prevent the water in the spent fuel pool from performing its safety-related function. This arrangement also eliminates the need for a separate skimmer circuit arrangement.

The spent fuel pool pump suction header is connected to the in-containment refueling water storage tank and the refueling cavity. This enables purification of the in-containment refueling water storage tank or the refueling cavity and allows for the transfer of water between the in-containment refueling water storage tank and the refueling cavity.

The spent fuel pool pump suction header is also connected to the fuel transfer canal and the cask loading pit. These connections are provided primarily for the transfer of water from the fuel transfer canal to the cask loading pit. Water that is normally stored in the fuel transfer canal can be sent to the cask loading pit and vice versa.

The spent fuel pool is initially filled for use with water having a boron concentration of approximately 2500 ppm. Demineralized water can be added for makeup purposes, including replacement of evaporative losses, from the demineralized water transfer and storage system. Boron may be added to the spent fuel pool from the chemical and volume control system.

The spent fuel pool water may be separated from the water in the transfer canal by a gate. The gate enables the transfer canal to be drained to permit maintenance of the fuel transfer equipment. The water in the transfer canal may be transferred to the cask loading pit by the spent fuel pool cooling pumps. The water may then be returned directly to the transfer canal by the spent fuel pool cooling pumps, when required.

9.1.3.3 Component Description

The general descriptions and summaries of the design requirements for the spent fuel pool cooling system components are provided below. See Table 9.1-2. The key equipment parameters for the spent fuel pool cooling system components are contained in Table 9.1-3. Additional information regarding the applicable codes and classifications is also available in Section 3.2.

9.1.3.3.1 Spent Fuel Pool Pumps

Two spent fuel pool pumps are provided. These pumps are single stage, horizontal, centrifugal pumps having a coupled pump motor shaft driven by an ac powered induction motor. A mechanical seal is used to prevent leakage to the atmosphere. The pumps have flanged suction and discharge nozzles.

Each pump is sized to provide the flow required by its respective heat exchanger for removal of its design basis heat load. The pumps are redundant for normal refueling heat loads.

9.1.3.3.2 Spent Fuel Pool Heat Exchangers

Two spent fuel pool heat exchangers are installed to provide redundant spent fuel heat removal capability for normal refueling heat loads. These heat exchangers are plate type heat exchangers constructed of austenitic stainless steel. Spent fuel pool water circulates through one side of the heat exchanger while component cooling water (CCW) circulates through the other side.

9.1.3.3.3 Spent Fuel Pool Demineralizers

Two mixed bed type demineralizers are provided to maintain spent fuel pool purity. The demineralizers are initially charged with a hydrogen type cation resin and hydroxyl type anion resin to remove fission and corrosion products. The demineralizers will be borated during initial operation with boric acid. Each demineralizer is sized to accept the maximum purification flow from its respective cooling train. The vessels are constructed of austenitic stainless steel.

9.1.3.3.4 Spent Fuel Pool Filters

Two spent fuel pool filters are provided, one downstream of each demineralizer in the purification branch line of each mechanical train. The filters are sized to collect small particulates and resin fines passed by the demineralizer. They are also sized to pass the maximum design purification flow. The filter assembly is constructed of austenitic stainless steel with disposable filter cartridges.

9.1.3.3.5 Spent Fuel Pool Cooling System Valves

Spent fuel pool cooling system valves operate in low temperature and pressure service. Commercially available valves are used in accordance with the codes and standard of Section 3.2. The basic material of construction is stainless steel.

9.1.3.3.5.1 Locked-In-Position Valves

Refueling Cavity Drain Isolation Valve

There is one locked-open valve in the line from the refueling cavity to the steam generator 2 compartment. This valve is provided so that water in the refueling cavity cannot be trapped and be unavailable for passive recirculation cooling by the passive core cooling system (PXS) following an accident. This valve is locked-closed during refueling operations when the refueling cavity is flooded.

Fuel Transfer Canal Drain Valve

There is one locked-closed valve in the bottom connection to the fuel transfer canal. This valve is provided to prevent inadvertent lowering of the spent fuel pool water level in the event that the gate between the fuel transfer canal and spent fuel pool is open during a seismic event that causes a break in the downstream piping.

9.1.3.3.5.2 Remotely-Operated Valves

Containment Isolation Valves

The spent fuel pool cooling system contains two lines which penetrate containment. They are the lines from the refueling cavity/in-containment refueling water storage tank to the spent fuel pool cooling system suction header and the return line to the refueling cavity/in-containment refueling water storage tank. Two remotely operated valves, one located inside and one outside containment, are provided in the line to the suction header. One remotely operated valve located outside containment and one check valve located inside containment are provided in the return line. These valves are normally closed and are opened only for purification or water transfers between the in-containment refueling water storage tank and the refueling cavity. They are controlled from the main control room. See subsection 6.2.3.

9.1.3.3.6 Piping Requirements

Spent fuel pool cooling system piping is made of austenitic stainless steel. Piping joints and connections are welded, except where flanged connections are required as indicated on the spent fuel pool cooling system piping and instrumentation diagram (Figure 9.1-6).

9.1.3.3.7 Reactor Cavity Seal Ring

The AP600 reactor cavity seal ring is part of the fuel handling system and is a permanent welded seal ring used to provide the seal between the vessel flange and the refueling cavity floor. The reactor cavity seal ring does not use pneumatic seals and is not subject to a gross failure due to loss of a seal.

Leakage is not expected with this design. Leakage past or through the seal would not significantly affect the water level in the refueling canal and would be detected as an increase in water level in the containment sump. Water level in the sump is a key parameter in reactor coolant leak detection.

9.1.3.4 System Operation and Performance

The operation of the spent fuel pool cooling system for the pertinent phases of plant operation are described in the following paragraphs.

9.1.3.4.1 Normal Operation

During normal plant operation, one spent fuel pool cooling system mechanical train of equipment is operating. The operating train is aligned to provide spent fuel pool cooling and purification. The other train is available to perform the other functions of the spent fuel pool cooling system such as water transfers or in-containment refueling water storage tank purification.

9.1.3.4.1.1 Ion Exchange Media Replacement

The initial and subsequent fill of ion exchange media is made through a resin fill nozzle on the top of the ion exchange vessel. When the media is ready to be transferred to the solid radwaste system, the vessel is isolated from the process flow. The flush water line is opened to the sluice piping and demineralized water is pumped into the vessel through the normal process outlet connection upward through the media retention screen. The media fluidizes in the upward, reverse flow. When the bed has been fluidized, the sluice connection is opened and the bed is sluiced to the spent resin tanks in the solid radwaste system (WSS). Demineralized water flow continues until the bed has been removed and the sluice lines are flushed clean of spent resin.

9.1.3.4.1.2 Filter Cartridge Replacement

Replacement of spent filter cartridges is performed as described in subsection 11.4.2.3.2.

9.1.3.4.2 Refueling

Both spent fuel pool mechanical trains are in operation during refueling. One train is aligned for spent fuel pool cooling and purification throughout the refueling. The other train performs various support functions during the refueling.

Initially the standby mechanical train is used to purify the water in the in-containment refueling water storage tank to prepare for the refueling. When the refueling cavity is ready to be flooded, the pump aligned for in-containment refueling water storage tank purification is stopped and valves are aligned to gravity drain the in-containment refueling water storage tank to the refueling cavity. Eventually the drain rate slows down and the in-containment refueling water storage tank and the refueling cavity have the same water level. At this time, the standby spent fuel pool pump is aligned to transfer the additional in-containment refueling water storage tank water into the refueling cavity.

This water transfer method improves water clarity in the refueling cavity during refueling operations as compared to conventional pressurized water reactors that have performed this function with their residual heat removal system by flooding up through the reactor vessel into the refueling cavity.

Once the refueling cavity is flooded, the standby mechanical train is re-aligned to cool and purify the refueling cavity. This mode of operation continues as needed. If the heat load is such that both pumps and heat exchangers are needed to cool the spent fuel pool, then the spent fuel pool cooling system can be aligned for that operation.

At the completion of the refueling, the standby spent fuel pool pump is used to transfer the water in the refueling cavity back to the in-containment refueling water storage tank. Once this is complete, the standby train can be aligned to cool the spent fuel pool or may be placed in standby.

9.1.3.4.3 Abnormal Conditions

The AP600 spent fuel pool cooling system is not required to operate to mitigate design basis events. In the event the spent fuel pool cooling system is unavailable, spent fuel cooling is provided by the heat capacity of the water in the pool. Connections to the spent fuel pool are made at an elevation to preclude the possibility of inadvertently draining the water in the pool to an unacceptable level.

In the unlikely event of an extended loss of normal spent fuel pool cooling, a water level is maintained above the spent fuel assemblies for at least 7 days. Initial spent fuel pool water level is controlled by technical specifications. The amount of safety-related makeup required to provide the 7 day capability depends on the decay heat level of the fuel in the spent fuel pool and is provided as follows:

- When the calculated decay heat level in the spent fuel pool is less than 2.15 MWt, no makeup is needed to achieve spent fuel pool cooling for at least 7 days.

- When the calculated decay heat level in the spent fuel pool is greater than or equal to 2.15 MWt and less than or equal to 2.77 MWt, makeup from either the cask washdown pit or the passive containment cooling water storage tank is sufficient to achieve spent fuel pool cooling for at least 7 days. A minimum level of 13.75 feet in the cask washdown pit or minimum 400,000 gallons in the passive containment cooling water storage tank is provided for this purpose. Availability of the makeup source is controlled by technical specifications.
- When calculated decay heat level in the spent fuel pool is greater than 2.77 MWt, makeup from the passive containment cooling water storage tank is sufficient to achieve spent fuel pool cooling for at least 7 days. A minimum 400,000 gallons in the passive containment cooling water storage tank is provided for this purpose. Availability of the makeup source is controlled by technical specifications.

Table 9.1-4 provides the calculated timing and spent fuel pool water levels for several limiting event scenarios which would require safety-related makeup to the spent fuel pool.

Decay heat level in the spent fuel pool above 2.77 MWt corresponds to refueling operations when the decay heat level in the reactor is less than 6 MWt, at which time the passive containment cooling water storage tank is not needed for containment cooling.

Alignment of the cask washdown pit is accomplished by positioning manual valves located in the mid annulus access room (12345) in the auxiliary building. Alignment of the passive containment cooling water storage tank is accomplished by positioning manual valves located in the mid annulus access room (12345) and in the passive containment cooling valve room in the upper shield building. Because these alignments are made by positioning manual valves, they are not susceptible to active failures.

Gravity driven flow from the cask washdown pit to the spent fuel pool is provided as the cask washdown pit water level will follow the spent fuel pool level. Figures 9.1-5 and 9.1-6 show the connection of the cask washdown pit to the spent fuel pool.

Gravity driven flow from the passive containment cooling water storage tank is controlled by a manual throttle valve with local flow indication which is set to achieve the desired flow when the makeup is initiated. Figure 6.2.2-1 shows the flow path from the passive containment cooling water storage tank leading to the spent fuel pool and the tie-in to the spent fuel pool is also shown in Figure 9.1-6.

Spent fuel pool level instrumentation is discussed in Subsection 9.1.3.7.

9.1.3.4.3.1 Failure of a Spent Fuel Pool Cooling System Pump

If a spent fuel pool cooling system pump fails when only one pump is operating, an alarm is actuated. Due to the heat capacity of the water in the spent fuel pool, sufficient time exists for the operators to manually align the standby spent fuel pool cooling system train of equipment (pump / heat exchanger) to cool the spent fuel pool.

9.1.3.4.3.2 Leakage from the Spent Fuel Pool Cooling System

The connections from the spent fuel pool cooling system to the pool are such that leakage in the spent fuel pool cooling system will not result in the pool water level falling to unacceptable levels. The heat capacity of the water in the pool is sufficient to allow the operators enough time to locate the leak and repair it. In the most probable scenario, cooling will be maintained by operation of the standby train of equipment. However, if spent fuel pool cooling must be terminated, sufficient time exists to allow for repairs of a leak in the system.

9.1.3.4.3.3 Loss of Offsite Power

The spent fuel pool cooling system pumps are automatically loaded on the respective onsite standby diesel generator in the event of a loss of offsite power. The spent fuel pool cooling system is capable of providing spent fuel pool cooling following this event.

9.1.3.4.3.4 Station Blackout

Following a loss of ac power (off-site power and both standby diesel generators), the heat capacity of the water in the pool is such that cooling of the fuel is maintained. Table 9.1-4 provides the times before boiling would occur in the pool following station blackout for various scenarios as well as the minimum levels of water that would be reached. Water vapor that evaporates from the surface of the spent fuel pool is vented to the outside environment through an engineered relief panel. This vent path maintains the fuel handling area at near atmospheric pressure conditions. Activity releases due to pool boiling are analyzed. The release concentrations at the site boundary are small fractions of the limits specified in 10 CFR 20, Appendix B with no credit for removal of activity by building ventilation systems (which are not available during loss of ac power situations). The equipment in the fuel handling area, rail car bay/filter storage area, and spent resin equipment and piping areas exposed to elevated temperature and humidity conditions as a result of pool boiling does not provide safety-related mitigation of the effects of spent fuel pool boiling or station blackout. The fuel handling area, rail car bay, and spent resin area do not have connecting ductwork with other areas of the radioactively controlled area of the auxiliary building and connecting floor drains have a water seal which prevents steam migration. The environment in these other areas during spent fuel pool steaming is mild with respect to safety-related equipment qualification and affords access for post-accident actions.

Spent fuel pool makeup for long term station blackout can be provided through seismically qualified safety-related makeup connections from the passive containment cooling system. These connections are located in an area of the auxiliary building that can be accessed without exposing operating personnel to excessive levels of radiation or adverse environmental conditions during boiling of the pool. Operating personnel are not required to enter the fuel handling area when normal cooling is not available, and are not required to enter the area to recover normal cooling.

9.1.3.5 Safety Evaluation

The only spent fuel pool cooling system safety-related functions are containment isolation and emergency makeup connections to the spent fuel pool. Containment isolation evaluation is described in subsection 6.2.3. The following provides the evaluation of the design of the spent fuel pool as well as the spent fuel pool cooling system:

- The spent fuel pool is designed such that a water level is maintained above the spent fuel assemblies for at least 7 days following a loss of the spent fuel pool cooling system, using only safety-related makeup (see Table 9.1-4). The minimum water level to achieve sufficient cooling is the sub-cooled, collapsed level (without vapor voids) required to cover the top of the fuel assemblies.
- The heat load is assumed to be the heat load for a full core off load.
- The spent fuel pool cooling system includes safety-related connections from the passive containment cooling system water storage tank and from the installed long term makeup within the passive containment cooling system to establish safety-related makeup to the spent fuel pool following a design basis event including a seismic event.

Radiation shielding normally provided by the water above the fuel is not required when normal spent fuel pool cooling is not available. Personnel are not permitted in the area when the level in the pool is below the minimum level.

The acceptability of the design of the spent fuel pool cooling system is based on specific General Design Criteria (GDCs) and Regulatory Guides as described in Sections 3.1 and 1.9.

9.1.3.6 Inspection and Testing Requirements

9.1.3.6.1 Preoperational Testing, Analysis, and Inspection

9.1.3.6.1.1 Pump Flow Capability Testing

Each spent fuel pool cooling system pump will be tested. The flow paths will be aligned for normal spent fuel pool cooling by one train of spent fuel pool cooling system components. The flow delivered to each spent fuel pool cooling system heat exchanger will be measured by a flow instrument at the spent fuel pool cooling system pump discharge. The testing confirms that the pumped flow is equal to or greater than the minimum value shown in Table 9.1-3. This is the minimum value for the spent fuel pool cooling system to meet its functional requirement of normal spent fuel pool cooling. The flow delivered to each spent fuel pool cooling system heat exchanger will be measured by a flow instrument at the spent fuel pool cooling system pump discharge. The testing confirms that the pumped flow is equal to or greater than the minimum value shown in Table 9.1-3. This is the minimum value for the spent fuel pool cooling system to meet its functional requirement of normal spent fuel pool cooling.

9.1.3.6.1.2 Heat Transfer Capability Analysis

An analysis will be performed on the spent fuel pool cooling system heat exchangers during heat exchanger design. The analysis is to confirm that the product of the overall heat transfer coefficient and effective heat transfer area, UA, of each heat exchanger is equal to or greater than the minimum value shown in Table 9.1-3. This is the minimum value for the spent fuel pool cooling system to meet its functional requirement of normal spent fuel pool cooling.

9.1.3.6.1.3 Dimensional Inspections

The contained volumes of water in the spent fuel pool, fuel transfer canal and the cask washdown pit are used for cooling the spent fuel by boiling after a prolonged loss of normal spent fuel pool cooling. The inspections are to confirm that the contained volumes are equal to or greater than the minimum values shown in Table 9.1-2. These are the minimum values for the spent fuel pool cooling system to meet its safety-related requirement of spent fuel pool cooling for 7 days after loss of normal cooling.

9.1.3.6.2 Routine Testing

Active components of the spent fuel pool cooling system are either in continuous or intermittent use during normal system operation. Periodic visual inspection and preventive maintenance are conducted.

No specific equipment tests are required since system components are normally in operation when spent fuel is stored in the fuel pool. Sampling of the fuel pool water for gross activity, tritium and particulate matter is conducted periodically.

9.1.3.7 Instrumentation Requirements

The instrumentation provided for the spent fuel pool cooling system is discussed in the following paragraphs. Alarms and indications are provided as noted.

A. Temperature

Instrumentation is provided to measure the temperature of the water in the spent fuel pool and to give indication as well as annunciation in the main control room when normal temperatures are exceeded.

Instrumentation is also provided to give indication of the temperature of the spent fuel pool water as it leaves either heat exchanger.

B. Pressure

Instrumentation is provided to measure and give indication of the pressures in the spent fuel pool pump suction and discharge lines. Instrumentation is also provided at locations upstream and downstream from the spent fuel pool filter and demineralizer so that

pressure differential across this equipment can be determined. High differential pressure across the spent fuel pool filter and demineralizer is annunciated in the main control room.

C. Flow

Instrumentation is provided to measure and give remote indication of the spent fuel pool cooling loop flow downstream of the spent fuel pool pumps. Purification flow is also continuously measured.

D. Level

Safety-related instrumentation is provided to give an alarm in the main control room when the water level in the spent fuel pool reaches either the high-level or low-level setpoint. This instrumentation is used for post-accident monitoring on the spent fuel pool level. (See Table 7.5-1)

9.1.4 Light Load Handling System (Related to Refueling)

The fuel handling and refueling system consists of equipment and structures used for conducting the refueling operation. This system conforms to General Design Criteria as defined in Section 3.1. The light load handling system meets the guidelines of American Nuclear Society (ANS) 57.1 (Reference 6). Figures 1.2-9 and 1.2-14 indicate the relationship between the light load handling system and the fuel handling areas.

9.1.4.1 Design Basis

9.1.4.1.1 Safety Design Basis

The following safety design basis apply to the light load handling system:

- A. Fuel handling devices have provisions to avoid dropping or jamming of fuel assemblies during transfer operation.
- B. Handling equipment has provisions to avoid dropping of fuel handling devices during the fuel transfer operation.
- C. Handling equipment used to raise and lower spent fuel has a limited maximum lift height so that the minimum required depth of water shielding is maintained.
- D. The fuel transfer system, where it penetrates the containment, has provisions to preserve the integrity of the containment pressure boundary.
- E. Criticality during fuel handling operations is prevented by the geometrically safe configuration of the fuel handling equipment.

- F. In the event of a safe shutdown earthquake (SSE), handling equipment cannot fail in such a manner as to prevent required function of seismic Category 1 equipment.
- G. The inertial loads imparted to the fuel assemblies or core components during handling operations are less than potential damage causing loads.
- H. Physical safety features are provided for personnel who operate handling equipment.

9.1.4.1.2 Power Generation Design Basis

Design criteria for the light load handling system are as follows:

- A. The primary design requirement of the equipment is reliability. A conservative design approach is used for load bearing parts. Throughout the design, consideration is given to the fact that the equipment spends long idle periods stored in an atmosphere of approximately 100°F and 100-percent humidity.
- B. The refueling machine and fuel handling machine are designed and constructed in accordance with applicable portions of the Crane Manufacturers Association of America, Inc. (CMAA), Specification 70 for Class A-1 service (Reference 7).
- C. The static design loads for the crane structures and lifting components are normal dead and live loads plus the fuel assembly weight.
- D. The allowable stresses for the refueling machine and fuel handling machine structures supporting the weight of a fuel assembly are as specified in the American Institute of Steel Construction (AISC) Manual.
- E. The design load on the wire rope hoisting cables does not exceed 0.20 times the average breaking strength. Two independent cables are used, and each is assumed to carry one half the load.
- F. Components critical to the operation of the equipment are assembled with the fasteners restrained from loosening under vibration.

9.1.4.2 System Description

The light load handling system consists of the equipment and structures needed for the refueling operation. This equipment is comprised of fuel assemblies, core component and reactor component hoisting equipment, handling equipment, and a dual basket fuel transfer system. The structures associated with the fuel handling equipment are the refueling cavity, the transfer canal, the fuel transfer tube, the spent fuel pool, the cask loading area, the new fuel storage area, and the new fuel receiving and inspection area.

9.1.4.2.1 Fuel Handling Description

The fuel handling equipment is designed to handle the spent fuel assemblies underwater from the time they leave the reactor vessel until they are placed in a container for shipment from the site. Underwater transfer of spent fuel assemblies provides an effective and transparent radiation shield, as well as a reliable cooling medium for removal of decay heat. The boric acid concentration in the water is sufficient to preclude criticality.

The associated fuel handling structures may be generally divided into two areas: the refueling cavity which is flooded only during plant shutdown for refueling, and the spent fuel pool and transfer canal, which is kept full of water. See subsection 9.1.1.3 for new fuel assembly storage. The new and spent fuel storage areas are accessible to operating personnel. The refueling cavity and the fuel storage area are connected by the fuel transfer tube which is fitted with a quick opening hatch on the canal end and a valve on the fuel storage area end. The hatch is in place except during refueling to provide containment integrity. Fuel is carried through the tube on an underwater transfer car.

Fuel is moved between the reactor vessel and the fuel transfer system by the refueling machine. The fuel transfer system is used to move up to two fuel assemblies at a time between the containment building and the auxiliary building fuel handling area. After a fuel assembly is placed in the fuel container, the lifting arm pivots the fuel assembly to the horizontal position for passage through the fuel transfer tube. After the transfer car transports the fuel assembly through the transfer tube, the lifting arm at that end of the tube pivots the assembly to a vertical position so that the assembly can be lifted out of the fuel container.

In the fuel handling area, fuel assemblies are moved about by the fuel handling machine. Initially, a short tool is used to handle new fuel assemblies, but the new fuel elevator must be used to lower the assembly to a depth at which the fuel handling machine can place the new fuel assemblies into or out of the spent fuel storage racks.

Decay heat, generated by the spent fuel assemblies in the fuel pool is removed by the spent fuel pool cooling and cleanup system. After a sufficient decay period, the spent fuel assemblies are removed from the fuel racks and loaded into a spent fuel shipping cask for removal from the site.

9.1.4.2.2 Refueling Procedure

New fuel assemblies received for refueling are removed one at a time from the shipping container and moved into the new fuel assembly inspection area. After inspection, the accepted new fuel assemblies are stored in the new fuel storage racks. For the initial core load, some new fuel assemblies may be stored in the spent fuel pool.

Prior to initiating the refueling operation, the reactor coolant system (RCS) is borated and cooled down to refueling shutdown conditions as specified in the Technical Specifications. Criticality protection for refueling operations is specified in the Technical Specifications. The following significant points are addressed by the refueling procedure:

- The refueling water and the reactor coolant contain approximately 2500 ppm boron. This concentration is sufficient to keep the core five percent $\Delta k/k$ subcritical during the refueling operations.
- The water level in the refueling cavity is high enough to keep the radiation levels within acceptable limits when the fuel assemblies are removed from the core. Radiation monitoring is described in Section 11.5.
- Continuous communications are established and maintained between the main control room and the personnel engaged in fuel handling operations. One or more of the systems described in subsection 9.5.2 are used for this communication.

The refueling operation is divided into four major phases: preparation, reactor disassembly, fuel handling, and reactor assembly. A general description of a typical refueling operation through these phases is provided below.

9.1.4.2.2.1 Phase I - Preparation

The reactor is shut down, borated, and cooled to refueling conditions ($\leq 140^\circ\text{F}$) with a final k_{eff} less than 0.95 (all rods in). Following a radiation survey, the containment building is entered. At this time, the coolant level in the reactor vessel is lowered to a point slightly below the vessel flange. The refueling machine console is removed from storage and placed on the refueling machine and cables are connected. Then, the fuel transfer equipment and refueling machine are checked for operation (subsection 9.1.4.4).

9.1.4.2.2.2 Phase II - Reactor Disassembly

Head cables are disconnected at the integrated head package (IHP) connector plate to allow removal of the vessel head. See subsection 3.9.7 for a discussion of the integrated head package. The refueling cavity is prepared for flooding by checking the underwater lights, tools, and fuel transfer system; closing the refueling cavity drain lines; and removing the hatch from the fuel transfer tube. With the refueling cavity prepared for flooding, the vessel head is unseated and raised above the vessel flange using the containment polar crane. See subsection 9.1.5 for requirements for the polar crane. Water from the in-containment refueling water storage tank (IRWST) is transferred into the refueling cavity by gravity and the spent fuel pool cooling system (See subsection 9.1.3). The vessel head and the water level in the refueling cavity are raised, keeping the water level just below the vessel head. When the water reaches a safe shielding depth (subsection 9.1.4.3.7), the vessel head is taken to its storage pedestal. The control rod drive shafts are disconnected. The internals lift rig is installed and the upper internals are removed from the vessel. See subsection 9.1.5 for discussion of lifting rig requirements and design. The fuel assemblies are now free from obstructions, and the core is ready for refueling.

9.1.4.2.2.3 Phase III - Fuel Handling

The refueling sequence is started with the refueling machine. The positions of partially spent assemblies are changed, and new assemblies are added to the core.

The general fuel handling sequence is as follows:

1. The refueling machine is positioned over a fuel assembly in the core.
2. The refueling machine mast is lowered over a fuel assembly and engages it.
3. The refueling machine withdraws a spent fuel assembly from the core and raises it to a pre-determined height sufficient to clear the vessel flange and still leave sufficient water covering the fuel assembly.
4. The fuel transfer system car containing a new fuel assembly in the fuel basket (which contains up to two fuel assemblies) is moved into the refueling cavity from the fuel storage area, and the fuel basket is pivoted to the vertical position by the lifting arm.
5. The refueling machine is moved to line up the fuel assembly with the empty fuel basket.
6. The refueling machine loads the spent fuel assembly into the empty fuel basket of the transfer car.
7. The refueling machine then moves to the new fuel assembly (in the remaining fuel basket) and withdraws it from the fuel transfer system.
8. The refueling machine then moves back over the core area and inserts the fuel assembly into the open location in the core prepared in step 3.
9. The fuel basket is pivoted to the horizontal position and the fuel transfer system container is moved through the fuel transfer tube to the fuel handling area by the transfer car and pivoted to the vertical position.
10. A new fuel assembly is taken from a storage rack and loaded into the empty fuel basket by the fuel handling machine. Note that new fuel was put in spent fuel racks prior to the start of refueling (new fuel elevator).
11. The spent fuel assembly is then unloaded from the fuel basket by the fuel handling machine.
12. The spent fuel assembly is placed in the spent fuel storage rack.
13. The fuel basket is pivoted to the horizontal position, moved back into the containment building and pivoted to the vertical position.

14. The refueling machine, which was concurrently relocating partially spent fuel in the vessel engages a spent fuel assembly, which is to be discharged, and returns to the fuel transfer system.
15. This procedure is repeated until refueling is completed.

9.1.4.2.2.4 Phase IV - Reactor Reassembly

Reactor reassembly, following refueling, is achieved by reversing the operations given in Phase II - Reactor Disassembly.

During a reassembly of the reactor, the vessel head and the water are lowered simultaneously until the vessel head engages the guide studs. At this point of the reassembly, the water is lowered to the top of the reactor vessel flange.

9.1.4.2.3 Spent Fuel Cask Loading

The spent fuel assemblies are normally stored in the spent fuel pool, until fission product activity is low enough to permit shipment. The spent fuel assemblies are then transferred to a shipping cask which is designed to shield radiation. Provisions for handling the spent fuel cask are discussed in subsection 9.1.5.

The following procedure briefly outlines the typical steps of this operation, assuming that the cask loading pit has been previously filled with water and the gate between the cask loading pit and the spent fuel pool has been removed:

1. A clean, empty cask is brought into the cask washdown pit and washed with demineralized water. The cask lid is removed and stored while the remainder of the cask is washed.
2. The clean empty cask is then properly positioned in the flooded cask loading pit.
3. The fuel handling machine is positioned over the specific fuel assembly to be shipped out of the spent fuel storage rack. The fuel assembly is picked up and transported into the cask loading pit. During the transfer process the fuel assembly is always maintained with the top of the active fuel at least 10 feet below the water surface. This provides confidence that the direct radiation from the fuel at the surface of the water is minimal.
4. Once the fuel transfer process is complete, the lid is placed on top of the cask to provide the required shielding.
5. The cask is then moved to the washdown pit and cleaned with demineralized water. Decontamination procedures can be started at this time.
6. When the cask is satisfactorily decontaminated, it is lifted out of the cask washdown pit and prepared for shipping.

During the operations, sufficient water is maintained between plant personnel and fuel assemblies that are being moved to limit dose levels to those acceptable for continuous occupational exposure.

9.1.4.2.4 Component Description

A. Fuel Transfer Tube

The fuel transfer tube penetrates the containment and spent fuel area and provides a passageway for the conveyor car during refueling. During reactor operation, the fuel transfer tube is sealed at the containment end and acts as part of the containment pressure boundary. See subsection 3.8.2.1.5 for discussion of the fuel transfer penetration.

B. Fuel Handling Machine

The fuel handling machine performs fuel handling operations in the fuel handling area. It also provides a means of tool support and operator access for long tools used in various service and handling functions.

C. New Fuel Assembly Handling Tool

The new fuel assembly handling tool is used to lift and transfer new fuel assemblies from the new fuel shipping containers to the new fuel storage racks. The tool is also used to transfer new fuel assemblies from the new fuel storage racks to the new fuel elevator.

D. Spent Fuel Assembly Handling Tool

The spent fuel assembly handling tool is used to lift and transfer spent fuel assemblies from the fuel transfer system to the spent fuel racks.

E. New Fuel Elevator Hoist

The new fuel elevator lowers new fuel assemblies from the fuel handling area operating floor into the spent fuel pool where they can be picked up by the fuel handling machine.

F. New Rod Cluster Control Handling Tool

The new rod cluster control handling tool is used to lift and transfer new control rods from their shipping containers to the new fuel assemblies, and between new assemblies in their storage racks.

G. Refueling Machine

The refueling machine performs fuel handling operations in the containment building. It also provides a means of tool support and operator access for long tools used for service, control rod latching and unlatching, and for various handling functions.

H. Burnable Poison Rod Assembly Handling Tool

The burnable poison rod assembly handling tool is used to lift and transfer burnable poison rod assemblies between assemblies and /or storage fixtures.

I. Burnable Poison Rod Assembly Rack Insert

The burnable poison rod assembly rack insert is used to store burnable poison rod assemblies or control rods in the spent fuel storage racks.

J. Fuel Transfer System

The fuel transfer system conveys fuel assemblies between the containment building and the fuel handling area.

K. Rod Cluster Control Storage Station

The rod cluster control (RCC) storage station is mounted on the reactor cavity wall and provides storage space for one rod cluster control. The rod cluster control assembly can be rotated for inspection purposes by an operator standing on the operating floor.

L. Control Rod Drive Shaft Unlatching Tool

The control rod drive shaft unlatching tool is used to latch and unlatch the control rod drive shafts from the rod cluster control assemblies. It is operated from the refueling machine walkway.

M. Control Rod Drive Shaft Handling Tool

The control rod drive shaft handling tool is used to latch and unlatch the control rod drive shafts (CRDS) from the rod cluster control assemblies.

N. Irradiation Sample Handling Tool

The irradiation sample handling tool is used to remove irradiated reactor vessel surveillance capsules in the holders located in the reactor internals. It is also used for removing and installing the irradiation sample access plugs in the reactor internals.

O. Irradiation Tube End Plug Seating Jack

The irradiation tube end plug seating jack is used to push the irradiation samples into the specimen guides for the last few inches.

P. Control Rod Drive Shaft Storage Racks

The control rod drive shaft storage racks are located on the refueling cavity wall and are used to store spare control rod drive shafts and any ones that might be removed from the upper internals during refueling.

Q. Jib Crane

The new fuel jib crane is located in the fuel handling area. It is a standard commercial jib crane with an "L" shaped frame and an electric operated hoist. It is used to move the new fuel from the new fuel storage position to the new fuel elevator. The jib crane is positioned so that it cannot reach the spent fuel storage positions. The jib crane capacity is limited to 2000 pound load.

9.1.4.3 Safety Evaluation

9.1.4.3.1 Refueling Machine

The refueling machine design includes the following provisions to provide for safe handling of fuel assemblies:

A. Safety Interlocks

Operations which could endanger the operator or damage the fuel, designated below by an asterisk (*), are prevented by mechanical or failure tolerant electrical interlocks or by redundant electrical interlocks. Other interlocks are intended to provide equipment protection and may be implemented either mechanically or by electrical interlock and are not required to be fail safe.

Fail safe electrical design of a control system interlock is applied according to the following rules:

1. Fail safe operation of an electrically operated brake is such that the brake engages on loss of power.
2. Fail safe operation of a relay is such that the de-energized state of the relay inhibits unsafe operation.
3. Fail safe operation of a switch, termination, or wire is such that breakage or high resistance of the circuit inhibits unsafe operation. The dominant failure mode of the mechanical operation of a cam-operated limit switch is sticking of the plunger in

its depressed position. Therefore, use of the plunger-extended position (on the lower part of the operating cam) to energize a relay is consistent with fail safe operation.

Those parts of a control system interlock which are not or cannot be operated in a fail safe mode as defined in the preceding rules are supplemented by a redundant component or components to provide the requisite protection. Required fail safe operations are:

- *1. The refueling machine can only place a fuel assembly in the core or fuel transfer system.
- *2. When the refueling machine gripper is engaged, the machine can not traverse unless the gripper is fully withdrawn into the mast.
- *3. When the refueling machine gripper is disengaged, the machine can not traverse unless the gripper is withdrawn into the mast.
- *4. Simultaneous traversing and hoisting operations are prevented.
- *5. The refueling machine is restricted to raising a fuel assembly or core component to a height at which the water provides a safe radiation shield.
- *6. When a fuel assembly is raised or lowered, interlocks provide confidence that the refueling machine can only apply loads which are within safe operating limits.
- *7. The fuel gripper is monitored by devices to confirm operation to the fully engaged or fully disengaged position. Alarms are actuated if both engage and disengage switches are actuated at the same time or if neither is actuated.
8. Lowering of the gripper is not permitted if slack cable exists in the hoist.
9. The gripper tube is prevented from lowering completely out of the mast.
10. Before the fuel gripper can release a fuel assembly, the fuel gripper must be in its down position in the core or in the fuel transfer system.
- *11. The weight of the fuel assembly must be off the gripper before the fuel gripper can release a fuel assembly.
12. The fuel transfer system container is prevented from moving unless the engaged gripper is in the full up position or the disengaged gripper is withdrawn into the mast or unless the refueling machine is out of the fuel transfer zone. An interlock is provided from the refueling machine to the fuel transfer system to accomplish this.

B. Bridge and Trolley Hold-Down Devices

Both refueling machine bridge and trolley are horizontally restrained on the rails by guide rollers on either side of the rail. Hold down devices are used to prevent the bridge or trolley from leaving the rails in the event of a seismic event.

C. Main Hoist Braking System

The main hoist is equipped with two independent braking systems. The winch has a mechanically-operated load brake to prevent overhauling, and a solenoid activated motor brake. Both brakes are rated at 125 percent of the hoist design load.

D. Fuel Assembly Support System.

The main hoist system is supplied with redundant paths of load support such that failure of any one component will not result in free fall of the fuel assembly. Two wire ropes are anchored to the winch drum and carried to a load equalizing mechanism on the top of the gripper tube.

The fuel assembly gripper has four fingers gripping the fuel, any two of which will support the fuel assembly weight.

During each refueling outage and prior to removing fuel, the gripper and hoist system are routinely load tested to 125 percent of the maximum setting on the hoist load limit switch.

9.1.4.3.2 Fuel Transfer System

The following safety features are provided for in the fuel transfer system:

A. Transfer Car Permissive Switch

The transfer car controls are located in the fuel handling area, and conditions in the containment are therefore not visible to the operator. The transfer car permissive switch allows the fuel transfer system containment operator to exercise some control over car movement if conditions visible to him warrant such control.

B. Lifting Arm - Transfer Car Position

An interlock on the fuel transfer system prevents the upender from being moved from the horizontal to the vertical position if the transfer car has not reached the end of its travel.

C. Transfer Car - Valve Open

An interlock on the transfer tube valve permits transfer car operation only when the transfer tube valve position switch indicates the valve is fully open.

D. Fuel Container - Refueling Machine

The fuel transfer system is interlocked with the refueling machine. Whenever the transfer car is located in the refueling cavity, the fuel transfer system cannot be operated unless the refueling machine mast is in the fully retracted position, the refueling machine is over the core, or the gripper is released and inside the core.

E. Lifting Arm - Fuel Handling Machine

On the spent fuel pool side, the fuel transfer system is interlocked with the fuel handling machine. The fuel transfer system cannot be operated until the fuel handling machine is moved away from the fuel transfer system area.

9.1.4.3.3 Fuel Handling Machine

The fuel handling machine is the same design as the refueling machine and includes the same safety features.

9.1.4.3.4 Fuel Handling Tools and Equipment

Fuel handling tools and equipment handled over an open reactor vessel are designed to prevent inadvertent decoupling from machine hooks; i.e., lifting rigs are pinned to the machine hook, and safety latches are provided on hook supporting tools.

Tools required for handling internal reactor components are designed with fail safe features that prevent disengagement of the component in the event of operating mechanism malfunction. These safety features apply to the following tools:

A. Control Rod Drive Shaft Unlatching Tool

The air cylinders actuating the gripper mechanism are equipped with backup springs which close the gripper in the event of loss of air to the cylinder. Air-operated valves are equipped with safety locking rings to prevent inadvertent actuation.

B. New Fuel Assembly Handling Tool

When the fingers are latched, the actuating handle is positively locked, preventing inadvertent actuations. The tool is preoperationally tested at 125 percent of the weight of one fuel assembly.

9.1.4.3.5 Seismic Considerations

The equipment classifications for fuel handling and storage equipment are listed in Section 3.2, which provides criteria for the seismic design of the various components.

For safety and non-safety equipment, design for the safe shutdown earthquake (SSE) is considered if failure might adversely affect safety-related equipment.

9.1.4.3.6 Containment Pressure Boundary Integrity

The fuel transfer tube which connects the refueling cavity (inside the containment) and the fuel storage area (outside the containment) is closed on the refueling cavity side by a hatch except during refueling operations. Two seals are located around the periphery of the hatch with leak-check provisions between them.

9.1.4.3.7 Radiation Shielding

During spent fuel transfer, the gamma dose rate at the surface of the water is 20 millirem/hour or less. This is accomplished by maintaining a minimum of 10 feet of water above the top of the active fuel height during handling operations.

The three fuel handling devices used to lift spent fuel assemblies are the refueling machine, fuel handling machine, and the spent fuel handling tool. Both the refueling machine and fuel handling machine contain positive stops which prevent the fuel assembly from being raised above a safe shielding height.

9.1.4.4 Inspection and Testing Requirements

The test and inspection requirements for the equipment in the light load handling system are as follows:

A. Fuel Handling Machine, Refueling Machine, and New Fuel Elevator

The minimum acceptable tests include the following:

- Hoist and cable are load tested at 125 percent of the rated load.
- The equipment is assembled and checked for function and operation.

The following maintenance and checkout tests are recommended to be performed prior to refueling:

- Visual inspection for loose or foreign parts; maintenance to keep free of dirt and grease.
- Lubrication of exposed gears with proper lubricant.

- Visual inspection of hoist cables for worn or broken strands.
- Visual inspection of limit switches and limit switch actuators for any sign of damaged or broken parts.
- Inspection of the equipment for function and operation.

B. New Fuel Assembly Handling Tool

The minimum acceptable tests are as follows:

- The tool shall be load tested to 125 percent of the rated load.
- The tool is assembled and checked for operation.

The following maintenance and checkout tests are recommended to be performed prior to use of the tools:

- Visual inspection of the tool for dirt and loose hardware and for any signs of damage such as nicks and burrs.
- Check of the tool for operation.

C. Fuel Transfer System

The minimum acceptable test is that the system is assembled and checked for function and operation.

The following maintenance and checkout tests are recommended to be performed prior to refueling:

- Visual inspection for loose or foreign parts; maintenance to keep free of dirt and grease.
- Lubrication of exposed gears.
- Visual inspection of limit switches and limit switch actuators for any sign of damaged or broken parts.
- Check of system for function and operation.

9.1.5 Overhead Heavy Load Handling Systems

Heavy load handling systems consist of equipment which lift loads whose weight is greater than the combined weight of a single spent fuel assembly and its handling device. This equipment is part of the mechanical handling system (MHS) and is located throughout the

plant. The principal equipment is the containment polar crane and the spent fuel shipping cask crane. Other such equipment includes the reactor coolant pump handling machine, bridge cranes, miscellaneous monorail hoists and fixed hoists. Table 9.1-5 lists the heavy load handling systems located in the safety-related areas of the plant, specifically the nuclear island.

For AP600, a heavy load is a load whose weight is greater than the combined weight of a fuel assembly with rod cluster control, and the associated handling device, consisting of the inner mast of the fuel handling machine and the fuel gripper assembly. This combined weight is about 2800 pounds. Thus, a heavy load is defined as a load weighing more than 2800 pounds.

9.1.5.1 Design Basis

9.1.5.1.1 Safety Design Basis

Section 3.2 identifies safety and seismic classifications for mechanical handling system equipment. Heavy load handling systems are generally classified as nonsafety-related, nonseismic systems. The components of single-failure-proof systems necessary to prevent uncontrolled lowering of a critical load are classified as safety-related.

The polar crane and the equipment hatch hoist are single-failure-proof systems and are classified as seismic Category I. They are designed to support a critical load during and after a safe shutdown earthquake, but are not required to be operational after the event.

A critical load is a heavy load that, if dropped, could cause unacceptable damage to reactor fuel elements, or loss of safe shutdown or decay heat removal capability. The consequences of a postulated load drop are considered to be acceptable when the four evaluation criteria of NUREG-0612 (Reference 8), Paragraph 5.1, are satisfied.

Heavy loads handled in safety-related areas of the plant are classified as critical loads unless the consequences of a load drop have been evaluated and found to be within acceptable limits. (See subsection 9.1.5.3.)

Plant arrangement and the design of heavy load handling systems are based on the following criteria :

- To the extent practicable, heavy loads are not carried over or near safety-related components, including irradiated fuel and safe shutdown components. Safe load paths are designated for heavy load handling in safety-related areas.
- The likelihood of a load drop is extremely small (that is, the handling system is single failure proof), or the consequences of a postulated load drop are within acceptable limits.
- Single-failure-proof systems can stop and hold a critical load following the credible failure of a single component.

- Single-failure-proof systems can support a critical load during and after a safe shutdown earthquake.

9.1.5.1.2 Codes and Standards

The mechanical handling system conforms to the applicable codes and standards listed in Section 3.2. Overhead cranes are designed according to ASME NOG-1 (Reference 12). Other cranes and hoists handling heavy loads are designed according to the applicable ANSI standard.

NUREG-0612 references ANSI B30.2 (Reference 9) and CMAA-70 (Reference 7) for the design of cranes in safety-related areas, and references NUREG-0554 (Reference 11) for the design of single-failure-proof cranes. The design of AP600 cranes is based on ASME NOG-1 (Reference 12) and complies with the requirements of NUREG-0612. ASME NOG-1 also provides design guidance consistent with that provided by NUREG-0554 for the design of single-failure-proof cranes.

The spent fuel shipping cask crane is designed according to the requirements of ASME NOG-1 for a Type III crane. The spent fuel shipping cask crane is also designed to meet the applicable requirements of ANSI/ANS-57.1 (Reference 6) and ANSI/ANS-57.2 (Reference 4), except as described in Table 9.1.5-1.

9.1.5.2 System Description

Table 9.1-5 lists heavy load handling systems in the nuclear island. The polar crane is designed according to the requirements of ASME NOG-1 for a Type I, single-failure-proof crane. A description of the polar crane is provided in this subsection. The equipment hatch hoist system incorporates single-failure-proof features based on NUREG-0612 guidelines. Based on the conservative design of these heavy load handling systems and associated special lifting devices, slings and load lift points (See subsection 9.1.5.2.3), a load drop of the critical loads handled by the polar crane or the equipment hatch hoist is unlikely. Except for the containment polar crane and the equipment hatch hoist the heavy load handling systems are not single-failure-proof.

9.1.5.2.1 General Description

The containment polar crane is a bridge crane mounted on a circular runway rail supported by the containment structure. The bridge consists of two welded steel box girders held together with structural end beams. The two end beams are supported by wheeled trucks that travel on top of the runway rail.

The trolley is mounted on wheeled trucks which move by tractive power over rails secured to the crane girders. The trolley provides structural support for the crane hoisting machinery. Devices are installed to preclude derailment of the bridge or trolley under seismic loading.

Two electric-powered hoists are provided, a main hoist and an auxiliary hoist. Each hoist raises and lowers loads by reeving wire rope through upper and lower sheaves. The lower sheaves are an integral part of the load block. A hook is attached to each load block.

9.1.5.2.2 System Operation

The polar crane lifts a variety of loads for refueling and maintenance, such as the reactor vessel integrated head package, reactor internals, and the reactor coolant pump components. The crane is designed to withstand the containment environmental conditions during all modes of plant operation, including pressurization and depressurization of the containment. The crane is designed to operate only during shutdown periods.

Movements of the bridge, trolley, main, and auxiliary hoists can be controlled from the operator's cab or from a pendant suspended from the crane. Both the pendant and cab controls include a main power control switch. The pendant is equipped with a keylock switch that inhibits control from the cab. Motion control push buttons in the cab and on the pendant return to the OFF position when released.

Bridge, trolley, and hoist speeds, and speed controls are in accordance with ASME NOG-1. All speeds are variable. Speed controls permit precise positioning of the load.

The crane can be used for steam generator replacement. The structural design of the bridge is sufficient to support the steam generator, which is a noncritical load. A special hoist on a temporary trolley may be used for the steam generator replacement. Steam generator replacement is not intended to be accomplished with single-failure-proof equipment.

9.1.5.2.3 Component Descriptions

The polar crane is designed according to ASME NOG-1. Table 9.1.5-3 lists the design characteristics of these cranes. This subsection describes how the code requirements are implemented in the design of key safety-related components. Associated lifting devices and load lift points are also described.

Main Hoist Systems

The hoisting rope is wound around the drum in a single layer. If the rope becomes dislodged from its proper groove, the crane drives are automatically shut down and the brakes are set. Features are also provided to contain the drum and prevent disengagement of the gearing in the event of drum shaft or bearing failure. A control brake and two redundant holding brakes are provided.

Two separate, redundant reeving systems are used, so that a single rope failure will not result in the dropping of the load. Two wire ropes are reeved side-by-side through the upper and lower sheaves. Each cable passes through an equalizer that adjusts for unequal cable length. The equalizer is also a load transfer safety system, eliminating sudden load displacement and

shock to the crane in the unlikely event of a cable break. In the event of hook overtravel to the point where the load block contacts the crane structure, the ropes cannot be cut or crushed.

The load block provides two separate load attachment points; the main hook is a two-pronged, sister hook with safety latches.

Auxiliary Hoist System

The auxiliary hoist system is similar to that of the main hoist.

Special Lifting Devices

Special lifting devices for critical and non-critical loads are designed to meet the applicable requirements of ANSI N14.6 (Reference 14). The stress design safety factors are based on the combined maximum static and dynamic loads that could be imparted to the handling device, based on the characteristics of the crane. Special lifting devices used for the handling of critical loads are listed in Table 9.1.5-2.

Lifting Devices Not Specially Designed

Slings or other lifting devices not specially designed are selected in accordance with ANSI B30.9 (Reference 15), except that the load rating is based on the combined maximum static and dynamic loads that could be imparted to the sling.

For the handling of critical loads, dual or redundant slings are used, or a sling having a load rating twice that required for a non-critical load is used.

Load Lift Points

The design stress safety factors for heavy load lift points, such as lifting lugs or cask trunnions, are consistent with the safety factors used for special lifting devices. The design of lift points for critical loads is in accordance with NUREG-0612, Paragraph 5.1.6.(3).

9.1.5.2.4 Instrumentation Applications

Limit switches are used to initiate protective responses to:

- Hoist overtravel
- Hoist overspeed
- Hoist overload or unbalanced load
- Improper winding of hoist rope on the drum
- Bridge or trolley overtravel

Redundant limit switches are used with the main hoist and the auxiliary hoists to limit the extent of travel in both the hoisting and lowering directions. The primary protection for each hoist in each direction is a limit switch which interrupts power to the hoist motor via the

control circuitry. Interruption of power to the hoist motor causes the hoist brakes to set. The hoist may be operated in the safe direction to back out of the overtravel condition.

The secondary protection for each hoist in the raising direction is a block-actuated limit switch which directly interrupts power to the hoist motor and the hoist brakes, causing the brakes to set. The secondary protection for each hoist in the lowering direction is a limit switch which is mechanically and electrically independent of the primary switch but also interrupts power to the hoist motor via the control circuitry. Actuation of the secondary limit switches prevent further hoisting or lowering until specific corrective action is taken.

A centrifugal-type limit switch, located on the drum shaft, provides overspeed protection for each hoist. Hoist speeds in excess of 115 percent of the rated lowering speed for a critical load cause the hoist motor to stop and the holding brakes to set.

A load-sensing system is used to detect overloading of the hoists. Hoisting motion is stopped when the overload setpoint is exceeded. Similarly, an unbalanced load is detected by a system that stops the hoist motion when there is excessive movement of the equalizer mechanism.

A level wind limit switch is provided to detect improper threading of the hoist rope in the drum grooves. This switch stops crane drive motors and sets the brakes. Further hoisting or lowering is prevented until specific corrective action is taken.

End-of-travel limit switches are provided for the trolley. These switches are set to trip just before the trolley comes into contact with the bumper, thus providing confidence that the kinetic energy of the trolley is within the energy-absorbing capacity of the bumpers.

9.1.5.3 Safety Evaluation

The design and arrangement of heavy load handling systems promotes the safe handling of heavy loads by one of the following means:

- A single-failure-proof system is provided so that a load drop is unlikely.
- The arrangement of the system in relationship to safety-related plant components is such that the consequences of a load drop are acceptable per NUREG 0612. Postulated load drops are evaluated in the heavy loads analysis.

The polar crane and the equipment hatch hoist systems are single failure proof. These systems stop and hold a critical load following the credible failure of a single component. Redundancy is provided for load bearing components such as the hoisting ropes, sheaves, equalizer assembly, hooks, and holding brakes. These systems are designed to support a critical load during and after a safe shutdown earthquake. They are not required to remain operational following the event. The polar crane is designed to withstand rapid pressurization of the containment during a design basis loss of coolant accident or main steam line break, without collapsing.

The spent fuel shipping cask storage pit is separated from the spent fuel pool. The spent fuel shipping cask crane cannot move over the spent fuel pool because the crane rails do not extend over the pool. Mechanical stops prevent the spent fuel shipping cask crane from going beyond the ends of the rails.

A heavy loads analysis is performed to evaluate postulated load drops from heavy load handling systems located in safety-related areas of the plant, specifically the nuclear island. No evaluations are required for critical loads handled by the containment polar crane or the equipment hatch hoist, since a load drop is unlikely.

The heavy loads analysis is to confirm that a postulated load drop does not cause unacceptable damage to reactor fuel elements, or loss of safe shutdown or decay heat removal capability.

9.1.5.4 Inservice Inspection/Inservice Testing

Preoperational inspection and testing of overhead cranes is governed by ASME NOG-1. Tests include operational testing with 100 percent load to demonstrate function and speed controls for bridge, trolley, and hoist drives and proper functioning of limit switches, locking, and safety devices. A rated load test is performed with a 125 percent load.

Following plant startup, inservice inspection of overhead cranes is governed by site-specific procedures in accordance with ANSI B30.2. Testing of crane modifications is governed by ASME NOG-1. Inservice inspection and testing of other cranes and hoists is in accordance with manufacturer's recommendations and applicable industry standards.

In-service inspection and testing of special lifting devices and slings used in safety-related areas of the plant are in accordance with ANSI N14.6 and ANSI B30.9.

9.1.6 Combined License Information for Fuel Storage Handling

The Combined License applicant is responsible for a confirmatory structural dynamic and stress analysis for the new fuel rack, as described in subsection 9.1.1.2.1.

The Combined License applicant is responsible for a confirmatory criticality analysis for the new fuel rack, as described in subsection 9.1.1.3.

The Combined License applicant is responsible for a confirmatory structural dynamic and stress analysis for the spent fuel racks, as described in subsection 9.1.2.2.1. This includes reconciliation of loads imposed by the spent fuel racks on the spent fuel pool structure described in subsection 3.8.4.

The Combined License applicant is responsible for a confirmatory criticality analysis for the spent fuel racks, as described in subsection 9.1.2.3.

The Combined License applicant is responsible for a program for the inservice inspection of cranes which can carry equipment over the new or spent fuel site in accordance with

procedure ANSI B30.2. This program should include the inspection and testing found in subsections 9.1.3.6 and 9.1.4.4.

The Combined License applicant/holder is responsible to ensure an operating radiation monitor is mounted on any crane or fuel handling machine when it is handling fuel.

9.1.7 References

1. ANSI N16.1-75, Nuclear Criticality Safety in Operations with Fissionable Materials Outside Reactors.
2. ANSI N16.9-75, Validation of Calculational Methods for Nuclear Criticality Safety.
3. ANSI N210-76, Design Objectives for Light Water Reactor Spent Fuel Storage Facilities at Nuclear Power Stations.
4. ANS 57.2-1983, Design Requirements for Light Water Reactor Spent Fuel Storage Facilities at Nuclear Power Plants.
5. Nuclear Regulatory Commission letter to All Power Reactor Licensees, from B. K. Grimes, "OT Position for Review and Acceptance of Spent Fuel Storage and Handling Applications," April 14, 1978.
6. ANS 57.1-1980, Design Requirements for Light Water Reactor Fuel Handling Systems.
7. Specifications for Electric Overhead Travelling Cranes CMAA, Specification 70 - Revised 1994.
8. USNRC, "Control of Heavy Loads at Nuclear Power Plants," NUREG-0612, July, 1980.
9. "Overhead and Gantry Cranes," ANSI/ASME B30.2-1990.
10. Deleted.
11. USNRC, "Single-Failure-Proof Cranes for Nuclear Power Plants," NUREG-0554, May, 1979.
12. "Rules for Construction of Overhead and Gantry Cranes (Top Running Bridge, Multiple Girder)," ASME NOG-1-1989.
13. Deleted.
14. "Special Lifting Devices for Shipping Containers Weighing 10,000 Pounds or More," ANSI N14.6-1993.
15. "Slings," ASME/ANSI B30.9-1990.

Table 9.1-1

LOADS AND LOAD COMBINATIONS FOR FUEL RACKS**Load Combination**

D + L

D + L + T_OD + L + T_O + P_fD + L + T_a + E'**Notes:**

1. The abbreviations in the table above are those used in NUREG-0800, Section 3.8.4 of the Standard Review Plan (SRP) where each term is defined except for T_a and P_f.

The term T_a is defined here as the thermal loads due to highest temperature associated with the postulated abnormal design conditions. The term P_f is the uplift force on the rack caused by a postulated stuck fuel assembly accident condition.

2. For the faulted load combination, thermal loads will be neglected when they are secondary and self limiting in nature and the material is ductile.

Table 9.1-2

**SPENT FUEL POOL COOLING AND PURIFICATION SYSTEM
DESIGN PARAMETERS**

| | |
|--|---------------------------------|
| Spent fuel pool storage capacity | 10 yrs spent fuel plus one core |
| Spent fuel pool water volume (including racks without fuel at water level of 2 foot 6 inch below the operating deck) (gallons) | 176,000 |
| Fuel transfer canal, including gate, water volume (gallons) | 64,700 |
| Minimum combined volume of spent fuel pool and fuel transfer canal above fuel to elevation 6 feet below the operating deck) (gallons) | 46,700 |
| Minimum volume of the cask washdown pit (gallons) | 30,900 |
| Nominal boron concentration of water (ppm) | 2500 |
| Maximum normal refueling case | |
| Water temperature with one cooling train in operation (°F) | <120 |
| Maximum emergency core unload case | |
| Water temperature with both cooling trains in operation (°F) | <140 |

Table 9.1-3 (Sheet 1 of 2)

**COMPONENT DATA -
SPENT FUEL POOL COOLING AND PURIFICATION SYSTEM**

Spent Fuel Pool Pump

| | |
|--|-----------------|
| Number | 2 |
| Design pressure (psig) | 150 |
| Design temperature (°F) | 250 |
| Nominal flow (gallons/minute) | 750 |
| Minimum flow to support normal cooling (gpm) | 675 |
| Material | Stainless Steel |

Spent Fuel Pool Heat Exchangers

| | |
|--|--------------------|
| Number | 2 |
| Type | Plate |
| Design heat transfer (Btu/hour) | 5.7×10^6 |
| Design capacity (Btu/hour-°F) | 16.4×10^5 |
| Minimum capacity to support normal cooling (Btu/hour-°F) | 14.8×10^5 |

| | Side 1 | Side 2 |
|------------------------------------|-------------------------|-----------------------|
| Design pressure (psig) | 150 | 150 |
| Design temperature (°F) | 250 | 250 |
| Nominal flow (pounds/hour) | 3.96×10^5 | 3.71×10^5 |
| Inlet temperature (°F), typ. | 87 | 120 |
| Outlet temperature (°F), typ. | 112.8 | 92.5 |
| Fluid circulated | Component cooling water | Spent fuel pool water |
| Material | Stainless steel | Stainless steel |

Spent Fuel Pool Demineralizers

| | |
|---|-----------------|
| Number | 2 |
| Design pressure (psig) | 150 |
| Design temperature (°F) | 200 |
| Nominal flow (gallons/minute) | 250 |
| Nominal resin volume (cubic feet) | 75 |
| Material | Stainless steel |

Table 9.1-3 (Sheet 2 of 2)

**COMPONENT DATA -
SPENT FUEL POOL COOLING AND PURIFICATION SYSTEM**

Spent Fuel Pool Filter

| | |
|-------------------------------|---------------------------------------|
| Number | 2 |
| Design pressure (psig) | 150 |
| Design temperature (°F) | 250 |
| Nominal flow (gallons/minute) | 250 |
| Filtration requirement | 98% retention of particles above 5 µm |
| Material, vessel | Stainless steel |

Table 9.1-4

STATION BLACKOUT/SEISMIC EVENT TIMES⁽¹⁾

| Event | Time to Saturation⁽¹⁾ (hours) | Height of Water Above Fuel at 72 Hours⁽⁴⁾ (feet) | Height of Water Above Fuel at 7 Days⁽⁴⁾ (feet) |
|--|---|--|--|
| Seismic Event ⁽²⁾ - Power Operation Immediately Following a 1/3 Core Refueling | 20.1 | 13.8 | 6.7 |
| Seismic Event ⁽²⁾ - Refueling, Immediately Following a 1/3 Core Offload ⁽³⁾ | 14.6 | 12.0 | 0.5 |
| Seismic Event ⁽²⁾ - Refueling, Full Core Off-Load ⁽³⁾ Immediately Following a 1/3 Core Refueling | 4.6 | 8.3 ⁽⁵⁾ | 8.3 ⁽⁵⁾ |

Notes:

1. Times calculated neglect heat losses to the passive heat sinks in the fuel area of the auxiliary building.
2. Seismic event assumes water in the pool is initially drained to the level of the spent fuel pool cooling system connection simultaneous with a station blackout. Fuel cooling water sources are spent fuel pool, fuel transfer canal, gate, and cask washdown pit.
3. Fuel movement complete, 150 hours after shutdown.
4. See subsection 9.1.3.5 for minimum water level.
5. Alignment of PCS water storage for supply of makeup water permits maintaining pool level at any elevation above this level.

Table 9.1-5

NUCLEAR ISLAND HEAVY LOAD HANDLING SYSTEMS⁽¹⁾

| Name | Crane/Hoist Type | Location (Building) | Maximum Load Rating (tons) |
|--------------------------------|-------------------------|--------------------------------|---|
| Containment Polar Crane | Overhead bridge | Containment | 240 ⁽²⁾ |
| Equipment Hatch Hoist | Fixed hoist | Containment | 25 |
| Maintenance Hatch Hoist | Fixed hoist | Containment | 10 |
| Spent Fuel Shipping Cask Crane | Overhead bridge | Auxiliary | 150 |
| MSIV Monorails Hoist A | Monorail hoists | Auxiliary | 2 |
| MSIV Monorails Hoist B | Monorail hoists | Auxiliary | 2 |

Notes:

1. Nuclear island elevators are discussed in the heavy loads analysis.
2. Maximum load rating for a critical load.

Table 9.1.5-1 (Sheet 1 of 2)

**SPENT FUEL SHIPPING CASK CRANE
COMPLIANCE WITH ANSI/ANS-57.1 AND ANSI/ANS-57.2**

The design of the spent fuel shipping cask crane meets the applicable provisions of ANSI/ANS-57.1-1980 and ANSI/ANS-57.2-1983, except as described below.

| ANSI/ANS-57.1 | Exceptions and Clarifications |
|--|--|
| 6.1.e Minimum industry standards | The cask crane is designed in accordance with current industry standards as described in subsection 9.1.5.1.2. |
| 6.2.1.1 Interlock Protection | Interlocks are provided in accordance with the applicable provisions of ASME NOG-1 for a Type III crane. |
| 6.2.1.6 Emergency shutdown capability | A motor power circuit disconnect device is provided. |
| 6.2.3.1 Parts retainers | Retaining devices are generally not provided because the crane cannot travel over the spent fuel pool. |
| 6.2.3.2 Fastener locking devices | Fastener locking devices are provided in accordance with the applicable provisions of ASME NOG-1. |
| 6.2.3.10 Lubricant Collection | Methods for lubricant collection are provided in accordance with the applicable provisions of ASME NOG-1. |
| 6.2.3.22 Materials and design stresses | Material selection and allowable design stresses are in accordance with the applicable provisions of ASME NOG-1. |
| 6.2.3.23 Joint and weld details | Joint and weld details are in accordance with the applicable provisions of ASME NOG-1. |
| 6.2.4.1.9 Overload switch reset | Overload switch function not provided. |
| 6.3.2.1.9 Wiring connections | Electrical wiring connections are provided in accordance with the applicable provisions of ASME NOG-1. |

Table 9.1.5-1 (Sheet 2 of 2)

**SPENT FUEL SHIPPING CASK CRANE
COMPLIANCE WITH ANSI/ANS-57.1 AND ANSI/ANS-57.2**

| ANSI/ANS-57.2 | Exceptions and Clarifications |
|---|---|
| 6.2.1 Codes and standards | The cask crane is designed in accordance with later industry standards as described in subsection 9.1.5.1.2. |
| 6.2.2.8 Height limits | The height of a postulated cask drop is limited by the crane configuration. A hoist high limit switch is also provided. |
| 6.2.4.1 Testing, maintenance & inspection | Applicable codes for inspection and testing are described in subsection 9.1.5.4. |
| 6.2.5 Design documentation | Documentation maintenance and verification are in accordance with the applicable provisions of ASME NOG-1. |
| 6.5.2.9 Spent fuel pool interlocks | The spent fuel shipping cask crane cannot move over the spent fuel pool because the crane rails do not extend over the pool. Mechanical stops prevent the spent fuel shipping cask crane from going beyond the ends of the rails. |

Table 9.1.5-2

**SPECIAL LIFTING DEVICES USED FOR THE
HANDLING OF CRITICAL LOADS**

| Polar Crane Special Lifting Devices | Description |
|--|---|
| Integrated head package (IHP) | The IHP combines several separate components into an integral unit. It incorporates the lifting device that provides the interface between the polar crane and the reactor vessel head. |
| Reactor internals lifting rig | The reactor internals lifting rig is a three-legged carbon steel and stainless steel structure that is attached to the main hook for handling of the upper and lower reactor internals packages. |
| Reactor coolant pump (RCP) handling machine | The RCP handling machine is used for removal of the RCP motor and hydraulic elements. It incorporates a lifting device to allow the machine and its load to be handled by the polar crane auxiliary hook. |

Table 9.1.5-3

POLAR CRANE COMPONENT DATA**Bridge**

| | |
|------------------------|--------------------------------|
| Bridge span | See Figure 1.2-12 |
| Travel speed | See Note 1 |
| Braking systems (type) | Service, parking and emergency |

Trolley

| | |
|------------------------|--------------------------------|
| Travel speed | See Note 1 |
| Braking systems (type) | Service, parking and emergency |

Main Hoist

| | |
|-------------------------------------|-----------------------------|
| Approximate capacity | See Table 9.1-5 |
| Hook speed | See Note 1 |
| Approximate hook travel (elevation) | To reactor vessel internals |
| Load brakes (type and number) | Electric (one) |
| Holding brakes (type and number) | Friction (two) |

Auxiliary Hoist

| | |
|-------------------------------------|-------------------------|
| Approximate capacity | 40 tons |
| Hook speed | See Note 1 |
| Approximate hook travel (elevation) | To reactor coolant pump |
| Load brakes (type and number) | Electric (one) |
| Holding brakes (type and number) | Friction (two) |

Notes:

1. Bridge, trolley and hoist speeds are within the recommended ranges of ASME NOG-1.

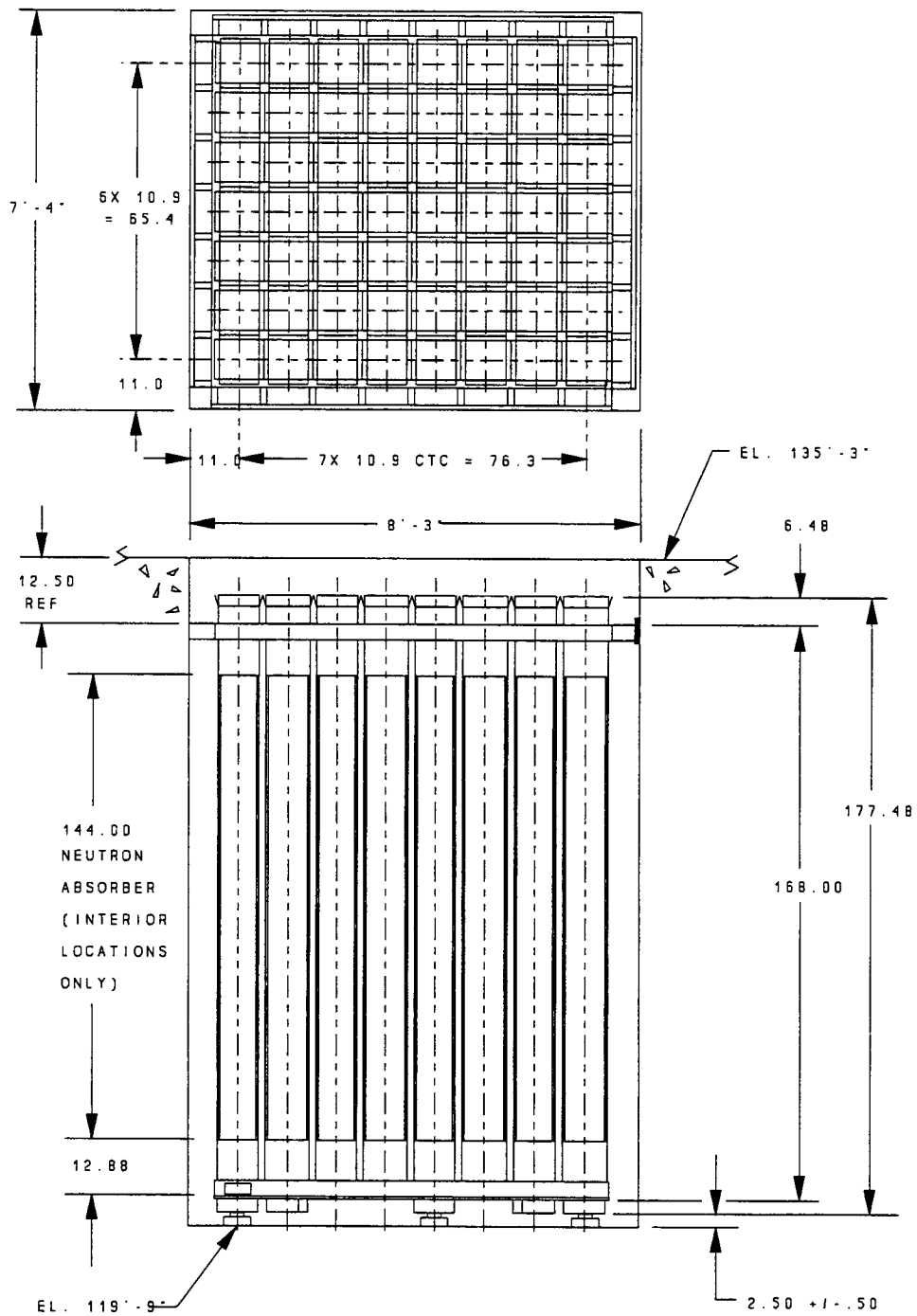


Figure 9.1-1

New Fuel Storage Rack Layout

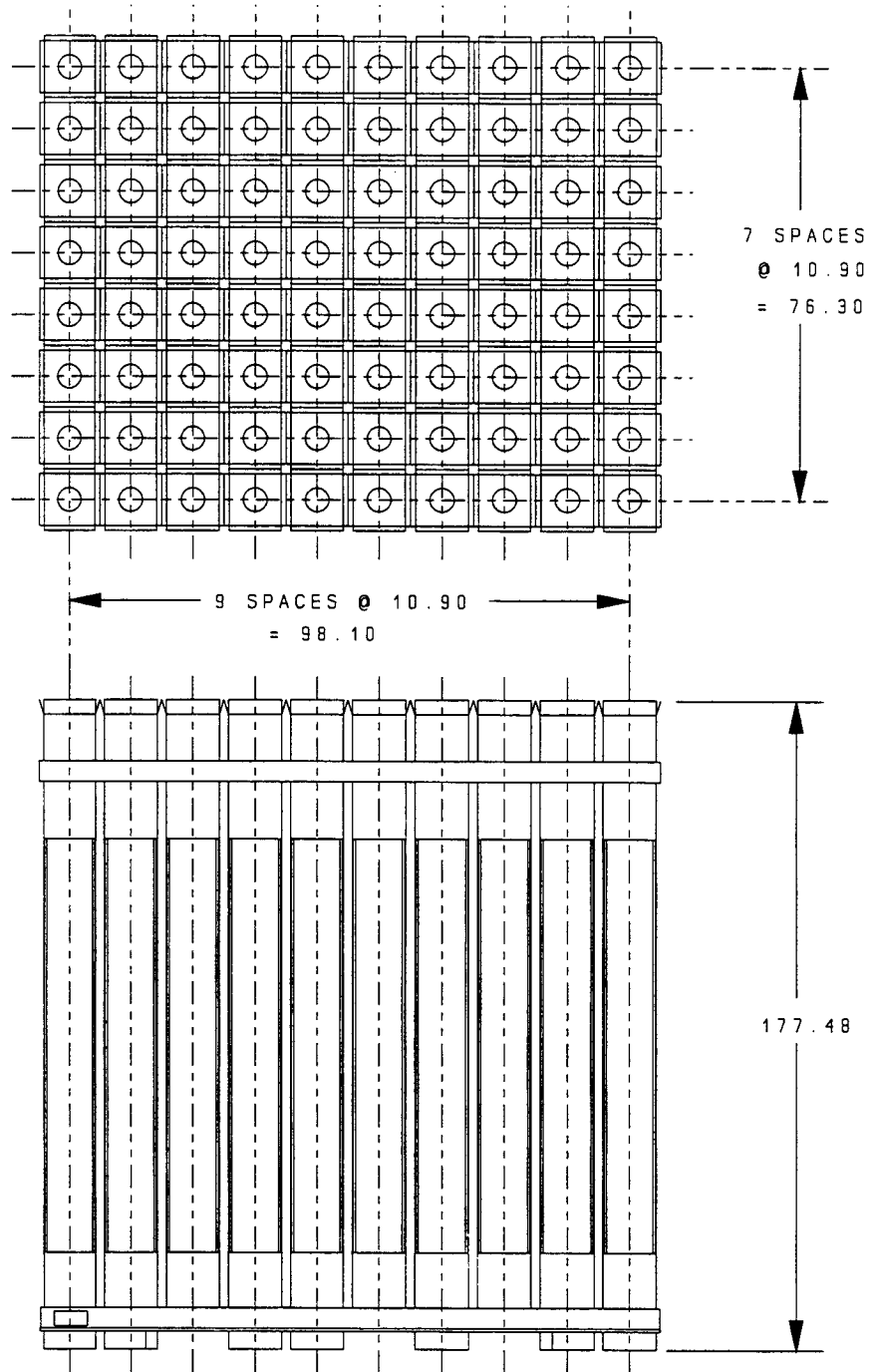


Figure 9.1-2 (Sheet 1 of 2)

Spent Fuel Storage Rack Array

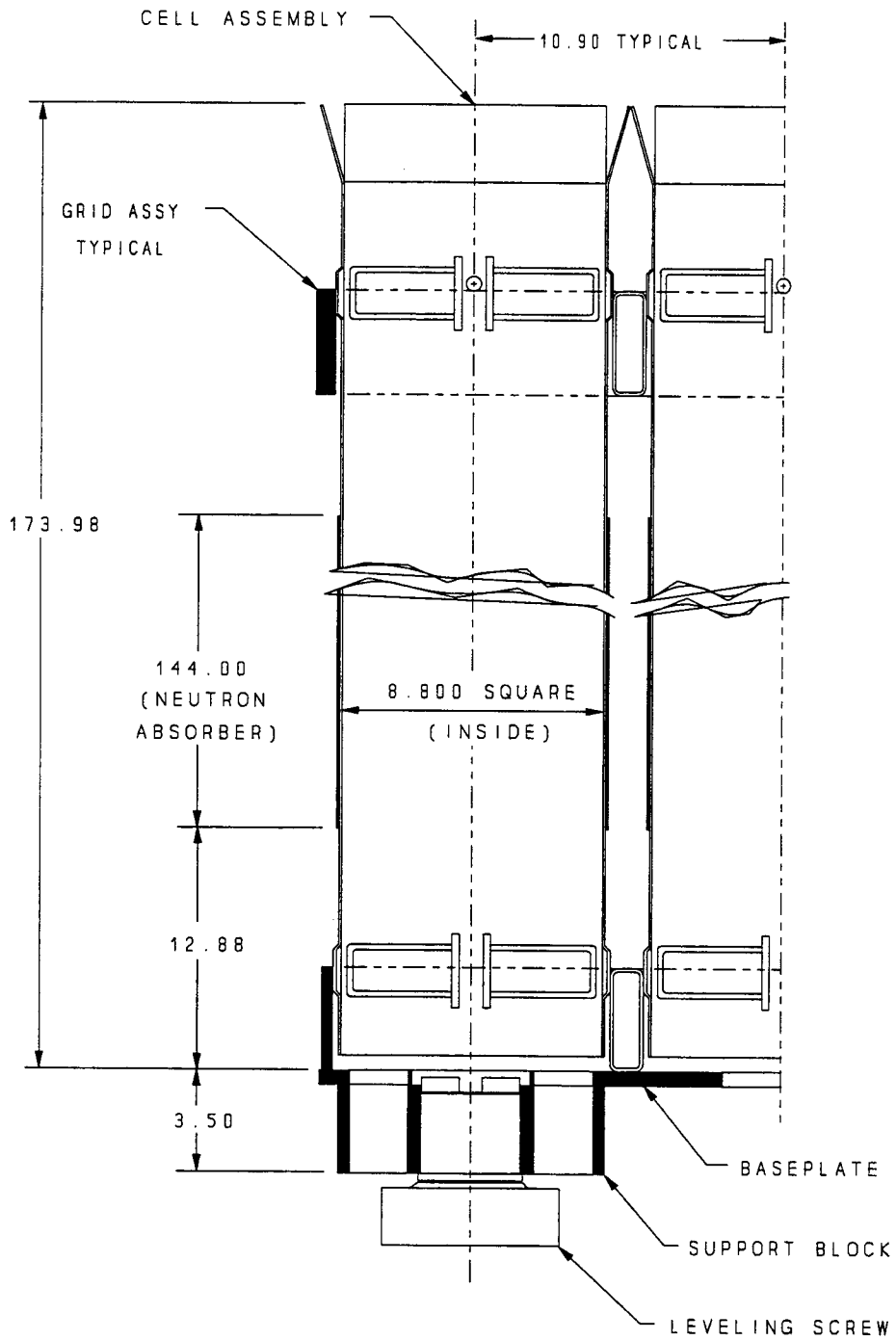


Figure 9.1-2 (Sheet 2 of 2)

Spent Fuel Storage Rack Array, Cross Section

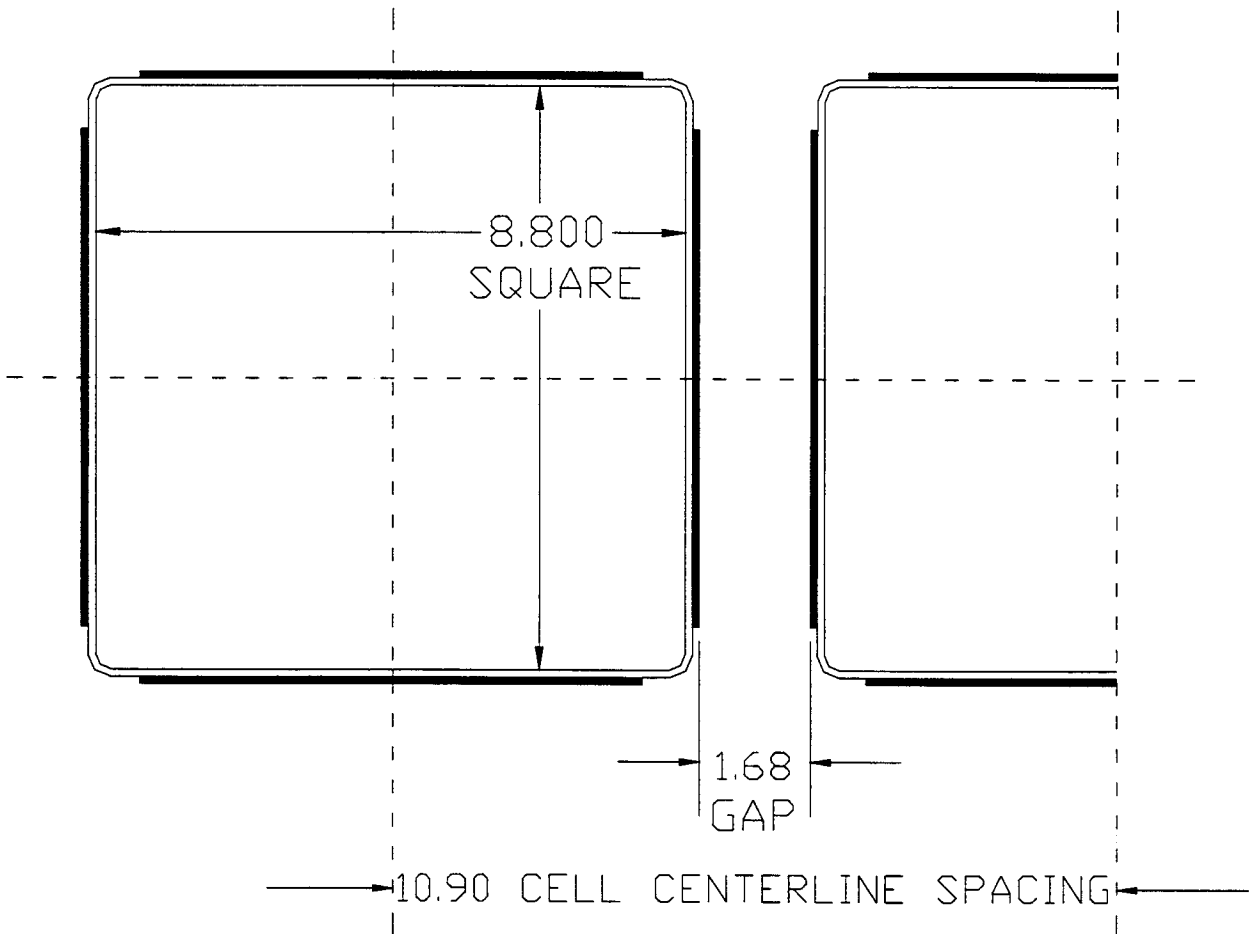


Figure 9.1-3

Spent Fuel Storage Rack Nominal Dimensions

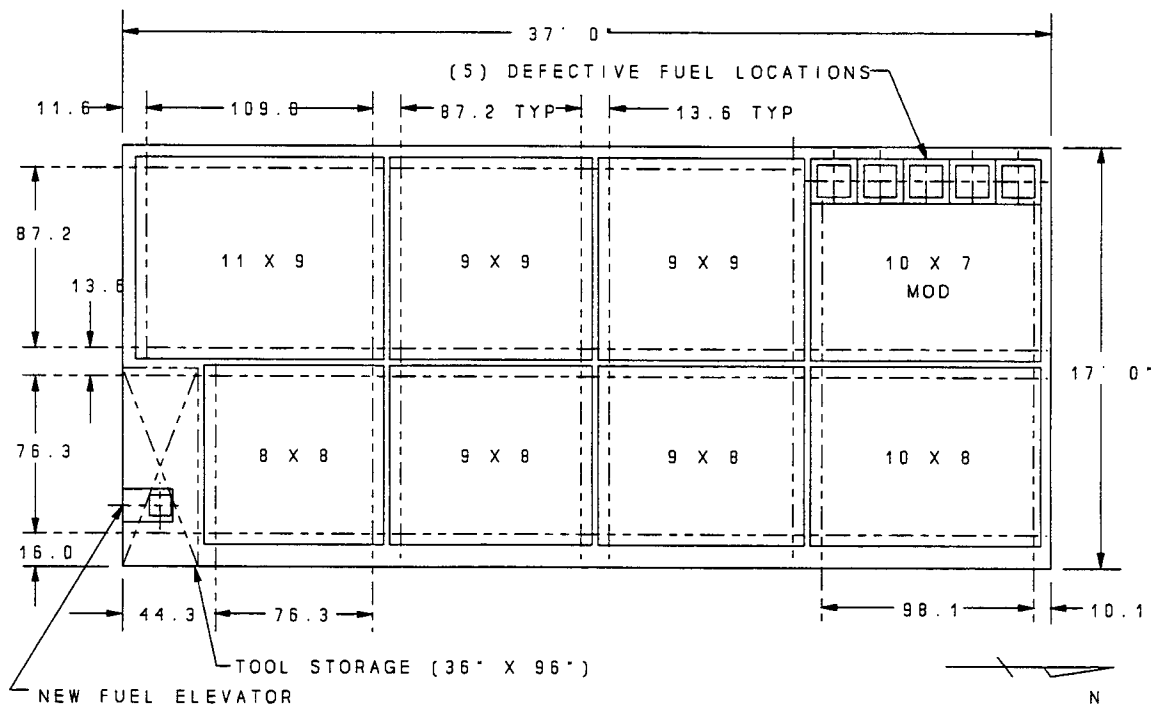


Figure 9.1-4

Spent Fuel Storage Pool Layout

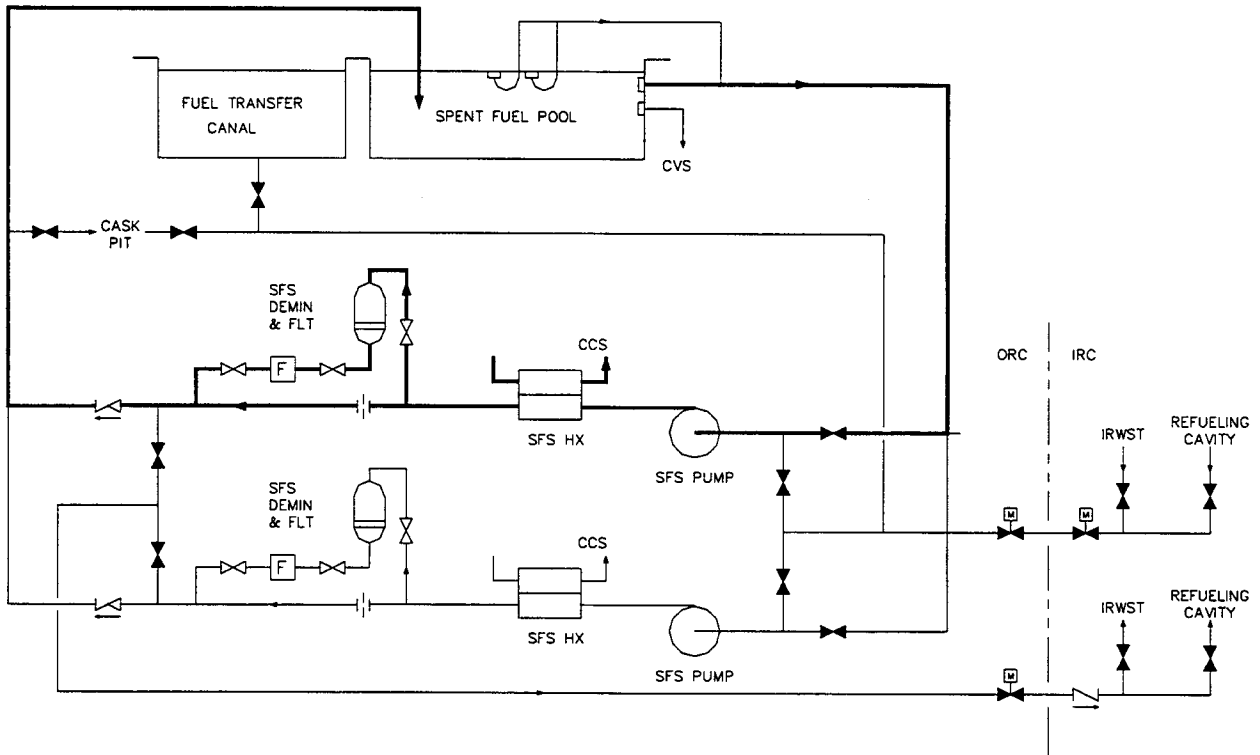
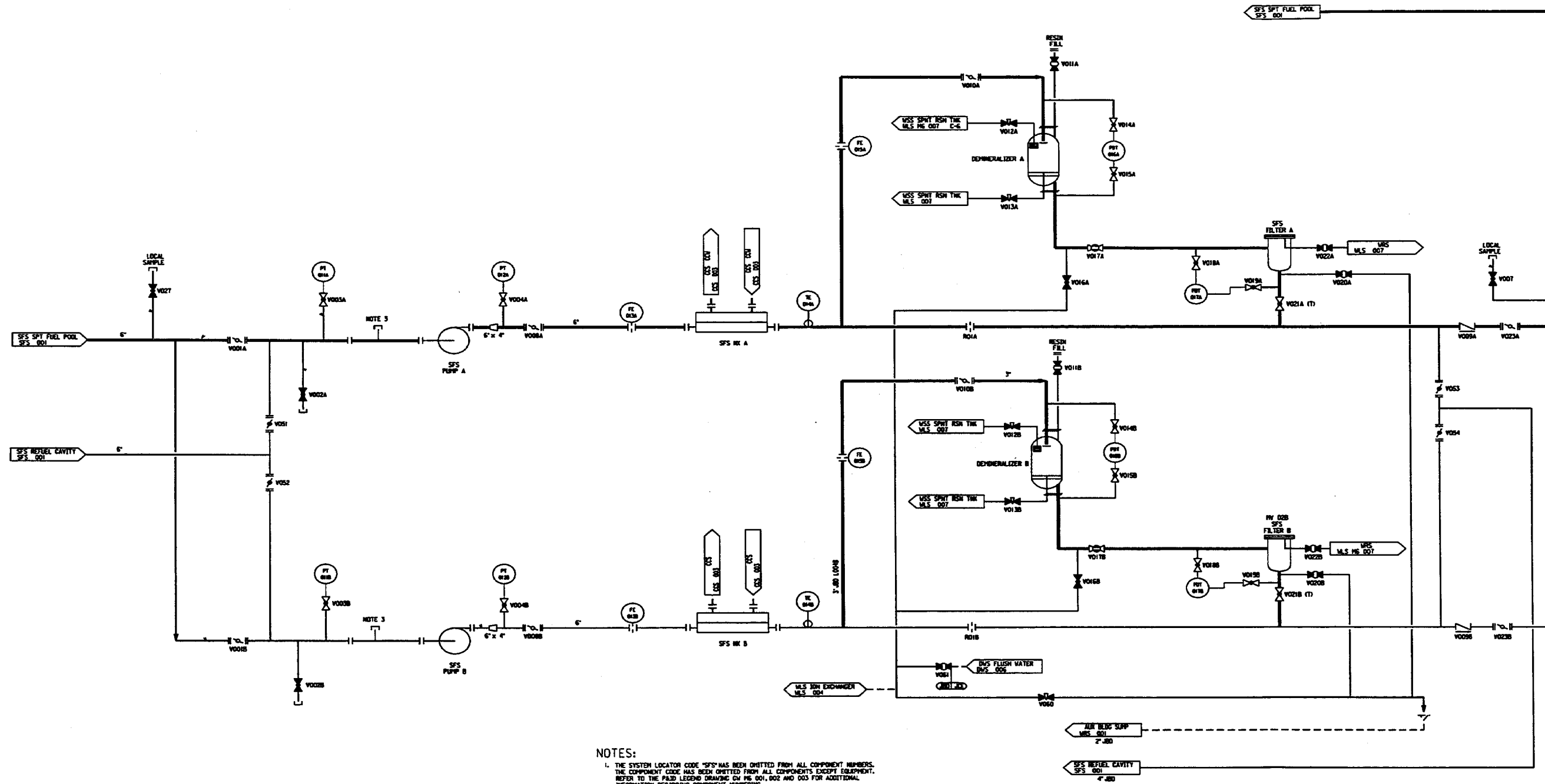


Figure 9.1-5

**Spent Fuel Pool Cooling System
(Normal Operation)**

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- NOTES:
1. THE SYSTEM LOCATOR CODE "SFS" HAS BEEN OMITTED FROM ALL COMPONENT NUMBERS. THE COMPONENT CODE HAS BEEN OMITTED FROM ALL COMPONENTS EXCEPT EQUIPMENT. REFER TO THE PAID LEGEND DRAWING ON PG. 001, 002 AND 003 FOR ADDITIONAL INFORMATION REGARDING COMPONENT NUMBERING.
 2. REFER TO SFS SYSTEM SPECIFICATION DOCUMENT FOR DETAILED DESCRIPTION OF INSTRUMENTATION CONTROLS AND INTERLOCKS.
 3. TEMPORARY STRAINER PLACED IN SPOOL PIECE FOR PRE-OPERATION FLUSHING. STRAINER AND SPOOL PIECE FURNISHED BY OTHERS. CAPPED LINE IS CONNECTED TO PRESSURE GAUGE DURING FLUSHING OPERATIONS.

Figure 9.1-6 (Sheet 2 of 2)
 Spent Fuel Pool Cooling System
 Piping and Instrumentation Diagram
 (REF) SFS 002