

## 4.2 Fuel System Design

The plant conditions for design are divided into four categories.

- Condition I- normal operation and operational transients
- Condition II- events of moderate frequency
- Condition III- infrequent incidents
- Condition IV- limiting faults

Chapter 15 describes bases and plant operation and events involving each condition.

The reactor is designed so that its components meet the following performance and safety criteria:

- The mechanical design and physical arrangement of the reactor core components, together with corrective actions of the reactor control, protection, and emergency cooling systems (when applicable) provide that:
  - Fuel damage, that is, breach of fuel rod clad pressure boundary, is not expected during Condition I and Condition II events. A very small amount of fuel damage may occur. This is within the capability of the plant cleanup system and is consistent with the plant design bases.
  - The reactor can be brought to a safe state following a Condition III event with only a small fraction of fuel rods damaged. The fraction of fuel rods damaged must be limited to meet the dose guidelines of 10 CFR 100 although sufficient fuel damage might occur to preclude immediate resumption of operation.
  - The reactor can be brought to a safe state and the core kept subcritical with acceptable heat transfer geometry following transients arising from Condition IV events.
- The fuel assemblies are designed to withstand non-operational loads induced during shipping, handling, and core loading without exceeding the criteria of subsection 4.2.1.5.1.
- The fuel assemblies are designed to accept control rod insertions to provide the required reactivity control for power operations and reactivity shutdown conditions.
- The fuel assemblies have provisions for the insertion of in-core instrumentation.
- The reactor vessel and internals, in conjunction with the fuel assembly structure, directs reactor coolant through the core. Because of the resulting flow distribution and bypass flow, the heat transfer performance requirements are met for the modes of operation.

The following subsection provides the fuel system design bases and design limits. It is consistent with the criteria of the Standard Review Plan, Section 4.2.

Consistent with the growth in technology, Westinghouse modifies fuel system designs. These modifications utilize NRC approved methods. [*A set of design fuel criteria to be satisfied by new fuel designs was issued to the NRC in WCAP-12488-A (Reference 1)*]\* and also presented below in subsection 4.2.1.

#### 4.2.1 Design Basis

The fuel rod and fuel assembly design bases are established to satisfy the general performance and safety criteria presented in Section 4.2 of the Standard Review Plan. [*The design bases and acceptance limits used by Westinghouse are also described in the Westinghouse Fuel Criteria Evaluation Process, WCAP-12488-A (Reference 1)*].\*

The fuel rods are designed to satisfy the fuel rod design criteria for rod burnup levels up to the design discharge burnup using the extended burnup design methods described in the Extended Burnup Evaluation report, WCAP-10125-P-A (Reference 2).

The AP600 fuel rod design considers effects such as fuel density changes, fission gas release, clad creep, and other physical properties which vary with burnup. The integrity of the fuel rods is provided by designing to prevent excessive fuel temperatures as discussed in subsection 4.2.1.2.1; excessive internal rod gas pressures due to fission gas releases as discussed in subsections 4.2.1.3.1 and 4.2.1.3.2; and excessive cladding stresses, strains, and strain fatigue, as discussed in subsections 4.2.1.1.2 and 4.2.1.1.3. The fuel rods are designed so that the conservative design bases of the following events envelope the lifetime operating conditions of the fuel. For each design basis, the performance of the limiting fuel rod, with appropriate consideration for uncertainties, does not exceed the limits specified by the design basis. The detailed fuel rod design also establishes such parameters as pellet size and density, clad/pellet diametral gap, gas plenum size, and helium pre-pressurization level.

Integrity of the fuel assembly structure is provided by setting limits on stresses and deformations due to various loads and by preventing the assembly structure from interfering with the functioning of other components. Three types of loads are considered:

- Non-operational loads, such as those due to shipping and handling
- Normal and abnormal loads, which are defined for Conditions I and II
- Abnormal loads, which are defined for Conditions III and IV

The design bases for the in-core control components are described in subsection 4.2.1.6.

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\*NRC Staff approval is required prior to implementing a change in this material; see DCD Introduction Section 3.5.

#### 4.2.1.1 Cladding

##### 4.2.1.1.1 Mechanical Properties

The Zircaloy-4 cladding material combines neutron economy (low absorption cross-section); high corrosion resistance to coolant, fuel, and fission products; and high strength and ductility at operating temperatures. WCAP-8183 (Reference 3) documents the operating experience with Zircaloy-4 as a cladding material. Chemical and mechanical properties of the Zircaloy-4 cladding material are given in WCAP-9179 (Reference 4). ZIRLO™, an advanced zirconium based alloy, may also be used for cladding material. ZIRLO™ has the same or similar properties and advantages as Zircaloy-4 and was developed to support extended fuel burnup. See WCAP-12610-P-A (Reference 5) for a discussion of chemical and mechanical properties of the ZIRLO™ cladding material and a comparison to Zircaloy-4.

##### 4.2.1.1.2 Stress-Strain Limits

###### Clad Stress

*[The volume average effective stress calculated with the Von Mises equation (considering interference due to uniform cylindrical pellet-clad contact, caused by pellet thermal expansion, pellet swelling and uniform clad creep, and pressure differences) is less than the 0.2 percent offset yield stress with due consideration to temperature and irradiation effects for Condition I and II events, WCAP-12488-A (Reference 1).]\** While the clad has some capability for accommodating plastic strain, the yield stress has been accepted as a conservative design limit. The allowable stress limits due to Condition III and IV loadings, described in subsection 4.2.1.5.3, are also applied to the fuel rod.

###### Clad Strain

*[The total plastic tensile creep strain due to uniform clad creep, and uniform cylindrical fuel pellet expansion associated with fuel swelling and thermal expansion is less than one percent from the unirradiated condition, WCAP-12488-A (Reference 1).]\** The acceptance limit for fuel rod clad strain during Condition II events is that the total tensile strain due to uniform cylindrical pellet thermal expansion is less than one percent from the pre-transient value. These limits are consistent with proven practice.

##### 4.2.1.1.3 Fatigue and Vibration

###### Fatigue

*[The usage factor due to cycle fatigue is less than 1.0, WCAP-12488-A (Reference 1).]\** That is, for a given strain range, the number of strain fatigue cycles are less than those required for failure. The fatigue curve is based on a safety factor of two on the stress amplitude or a safety factor of 20 on the number of cycles, whichever is more conservative.

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## Vibration

Potential fretting wear due to vibration is prevented, giving confidence that the stress-strain limits are not exceeded during design life. Fretting of the clad surface can occur due to flow-induced vibration between the fuel rods and fuel assembly grid springs. Vibration and fretting forces may vary during the fuel life due to clad diameter creep down combined with grid spring relaxation.

### 4.2.1.1.4 Chemical Properties

Chemical properties of the cladding are discussed in WCAP-9179 (Reference 4).

### 4.2.1.2 Fuel Material

#### 4.2.1.2.1 Thermal-Physical Properties

The center temperature of the hottest pellet is below the melting temperature of the uranium dioxide. The melting temperature of unirradiated uranium dioxide, 5080°F, decreases by 58°F per 10,000 megawatt days per metric ton of uranium, as discussed in WCAP-9179 (Reference 4). A calculated fuel center-line temperature of 4700°F has been selected as an overpower limit to provide for no fuel melting. This provides sufficient margin for uncertainties as described in subsection 4.4.2.9.

The nominal design density of the fuel is 95 percent of the theoretical density. Additional information on fuel properties is provided in WCAP-9179 (Reference 4).

#### 4.2.1.2.2 Fuel Densification and Fission Product Swelling

The design bases and models used for fuel densification and swelling are provided in WCAP-8218-P-A (Reference 6), WCAP-10851-P-A (Reference 7), and WCAP-13589-A (Reference 8).

#### 4.2.1.2.3 Chemical Properties

WCAP-9179 (Reference 4) provides the basis for justifying that no adverse chemical interactions occur between the fuel and its adjacent material.

### 4.2.1.3 Fuel Rod Performance

#### 4.2.1.3.1 Fuel Rod Models

The basic fuel rod models and the ability to predict fuel rod operating characteristics are given in WCAP-10851-P-A (Reference 7) and subsection 4.2.3.

#### 4.2.1.3.2 Mechanical Design Limits

Cladding collapse is precluded during the fuel rod design lifetime. Current generation Westinghouse fuel is sufficiently stable with respect to fuel densification. Significant axial gaps in the pellet stack necessary for clad flattening do not occur and therefore, clad flattening will not occur, as described in WCAP-13589-A, (Reference 8).

The rod internal gas pressure remains below the value which causes the fuel/clad diametral gap to increase due to outward cladding creep during steady-state operation. Rod pressure is also limited such that extensive departure from nucleate boiling propagation does not occur as discussed in WCAP-8963-P-A (Reference 9).

#### 4.2.1.4 Spacer Grids

##### 4.2.1.4.1 Mechanical Limits and Materials Properties

The grid component strength criteria are based on experimental tests. The limit is established at the 95-percent confidence level on the true mean crush strength at operating temperature. This limit is sufficient to provide that, under worst-case combined seismic and pipe rupture event, the core will maintain a geometry amenable to cooling. As an integral part of the fuel assembly structure, the grids satisfy the applicable fuel assembly design bases and limits defined in subsection 4.2.1.5.

The grid material and chemical properties are given in WCAP-9179 (Reference 4).

##### 4.2.1.4.2 Vibration and Fatigue

The grids provide sufficient fuel rod support to limit fuel rod vibration and maintain clad fretting wear within acceptable limits (defined in subsection 4.2.1.1).

#### 4.2.1.5 Fuel Assembly Structural Design

As discussed in subsection 4.2.1, the structural integrity of the fuel assemblies is provided by setting design limits on stresses and deformations due to various non-operational, operational, and accident loads. These limits are applied to the design and evaluation of the top and bottom nozzles, guide thimbles, grids, and thimble joints. [*Design changes to the fuel assembly structure qualify for evaluation in WCAP-12488-A (Reference 1).*]\*

The design bases for evaluating the structural integrity of the fuel assemblies are discussed in subsections 4.2.1.5.1 through 4.2.1.5.3.

##### 4.2.1.5.1 Non-Operational

The non-operational load is a loading of 4 g axial (longitudinal) and 6 g lateral (transverse) with dimensional stability.

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\*NRC Staff approval is required prior to implementing a change in this material; see DCD Introduction Section 3.5.

#### 4.2.1.5.2 Normal Operation and Operational Transients (Condition I) and Events of Moderate Frequency (Condition II)

For the normal operation (Condition I) and upset (Condition II) conditions, the fuel assembly component structural design criteria are established for the two primary material categories, austenitic steels and zirconium alloys. The stress categories and strength theory presented in the ASME Code, Section III, are used as a general guide. The maximum shear theory (Tresca criterion) for combined stresses is used to determine the stress intensities for the austenitic steel components. The stress intensity is defined as the largest numerical difference between the various principal stresses in a three-dimensional field. The design stress intensity value,  $S_m$ , for austenitic steels and zirconium alloys is given by the lowest of the following:

- One-third of the specified minimum tensile strength or two-thirds of the specified minimum yield strength at room temperature
- One-third of the tensile strength or 90 percent of the yield strength at room temperature, but not to exceed two-thirds of the specified minimum yield strength at room temperature

The stress limits for the austenitic steel components are given below. Stress nomenclature follows the ASME Code, Section III.

##### Stress Intensity Limits

Categories	Limit
General primary membrane stress intensity	$S_m$
Local primary membrane stress intensity	$1.5 S_m$
Primary membrane plus bending stress intensity	$1.5 S_m$
Total primary plus secondary stress intensity	$3.0 S_m$

The zirconium alloy structural components, which consist of guide thimbles and fuel tubes, are in turn subdivided into two categories because of material difference and functional requirements. The fuel tube design criteria are covered separately in subsection 4.2.1.1. The maximum shear theory is used to evaluate the guide thimble design. For conservative purposes, the zirconium alloy unirradiated properties are used to define the stress limits.

#### 4.2.1.5.3 Infrequent Incidents (Condition III) and Limiting Faults (Condition IV)

Typical worst case abnormal loads during Conditions III and IV are represented by seismic and pipe rupture loadings. The design criteria for this category of loadings are as follows:

- Deflections or excessive deformation of components cannot interfere with capability of insertion of the control rods or emergency cooling of the fuel rods.
- The fuel assembly structural component stresses under faulted conditions are evaluated primarily using the methods outlined in Appendix F of the ASME Code, Section III. Since the current analytical methods use linear elastic analysis, the stress allowables are defined as the smaller value of  $2.4 S_m$  or  $0.70 S_u$  for primary membrane and  $3.6 S_m$  or  $1.05 S_u$  for primary membrane plus primary bending. For the austenitic steel fuel assembly components, the stress intensity is defined in accordance with the rules described in the previous section for normal operating conditions. For the zirconium alloy components, the stress intensity limits are set at two-thirds of the material yield strength,  $S_y$ , at reactor operating temperature. This results in zirconium alloy stress limits being the smaller value of  $1.6 S_y$  or  $0.70 S_u$  for primary membrane and  $2.4 S_y$  or  $1.05 S_u$  for primary membrane plus bending. For conservative purposes, the zirconium alloy unirradiated properties are used to define the stress limits.

The material and chemical properties of the fuel assembly components are given in WCAP-9179 (Reference 4). Subsection 4.2.3.4 discusses the spacer grid crush testing.

Thermal-hydraulic design is discussed in Section 4.4.

#### 4.2.1.6 In-core Control Components

The in-core control components are subdivided into permanent and temporary devices. The permanent components are the rod cluster control assemblies, gray rod cluster assemblies, and secondary neutron source assemblies. The temporary components are the burnable absorber assemblies and the primary neutron source assemblies, which are normally used only in the initial core. For some reloads, the use of burnable absorbers may be necessary for power distribution control and/or to achieve an acceptable moderator temperature coefficient throughout core life (See Subsection 4.3.1.2.2). [*Design changes to the in-core control components qualify for evaluation using the criteria defined in WCAP-12488-A (Reference 1).*]\*

Materials are selected for:

- Compatibility in a pressurized water reactor environment
- Adequate mechanical properties at room and operating temperatures
- Resistance to adverse property changes in a radioactive environment

\*NRC Staff approval is required prior to implementing a change in this material; see DCD Introduction Section 3.5.

- Compatibility with interfacing components

Material properties are given in WCAP-9179 (Reference 4).

The design bases for the in-core control components are given in subsections 4.2.1.6.1 through 4.2.1.6.3.

#### 4.2.1.6.1 Control Rods

For Conditions I and II, the stress categories and strength theory presented in the ASME Code, Section III, are used as a general guide in the design of the control rod assembly structural parts in addition to absorber cladding.

Design conditions considered under the ASME Code, Section III, are as follows:

- External pressure equal to the reactor coolant system operating pressure with appropriate allowance for overpressure transients
- Wear allowance equivalent to 1000 reactor trips
- Bending of the rod due to a misalignment in the guide thimble
- Forces imposed on the rods during rod drop
- Loads imposed by the accelerations of the control rod drive mechanism
- Radiation exposure during maximum core life. The absorber material temperature does not exceed its melting temperature (1454°F for silver-indium-cadmium [Ag-In-Cd]), (see WCAP-9179, Reference 4).
- Temperature effects at operating conditions

#### 4.2.1.6.2 Burnable Absorber Rods

For Conditions I and II, the stress categories and strength theory presented in the ASME Code, Section III, are used as a general guide in the design of the burnable absorber cladding. For abnormal loads during Conditions III and IV, code stresses are not considered limiting. Failures of the burnable absorber rods during these conditions must not interfere with reactor shutdown or emergency cooling of the fuel rods. The burnable absorber material is nonstructural. The structural elements of the burnable absorber rod are designed to maintain the absorber geometry even if the absorber material is fractured.

The wet annular burnable absorber material is boron carbide contained in an alumina matrix. Thermal-physical and gas release properties of alumina-boron carbide are described in WCAP-9179 (Reference 4) and WCAP-10021-P-A (Reference 10). Wet annular burnable absorber rods are designed so that the absorber temperature does not exceed 1200°F during



normal operation or an overpower transient. The 1200°F maximum temperature helium gas release in a wet annular burnable absorber rod will not exceed 30 percent of theoretical. See WCAP-10021-P-A (Reference 10).

#### 4.2.1.6.3 Neutron Source Rods

The neutron source rods are designed to withstand the following:

- The external pressure equal to reactor coolant system operating pressure with appropriate allowance for overpressure transients
- An internal pressure equal to the pressure generated by released gases over the source rod life

#### 4.2.1.7 Surveillance Program

Subsection 4.2.4.6 discusses the testing and fuel surveillance operation experience program that has been and is being conducted to verify the adequacy of the fuel performance and design bases. Fuel surveillance and testing results, as they become available, are used to improve fuel rod design and manufacturing processes and to confirm that the design bases and safety criteria are satisfied.

#### 4.2.2 Description and Design Drawings

The fuel assembly, fuel rod, and in-core control component design data is given in Table 4.3-1.

Each fuel assembly consists of 264 fuel rods, 24 guide thimbles, and 1 instrumentation tube arranged within a supporting structure. The instrumentation thimble is located in the center position and provides a channel for insertion of an in-core neutron detector, if the fuel assembly is located in an instrumented core position. The guide thimbles provide channels for insertion of either a rod cluster control assembly, a gray rod cluster assembly, a neutron source assembly, or burnable absorber assembly, depending on the position of the particular fuel assembly in the core. Figure 4.2-1 shows a cross-section of the fuel assembly array, and Figure 4.2-2 shows a fuel assembly full-length view.

The fuel rods are loaded into the fuel assembly structure so that there is clearance between the fuel rod ends and the top and bottom nozzles. The fuel rods are supported within the fuel assembly structure by nine grids. The top and bottom grids are fabricated from nickel-chromium-iron Alloy 718, while the intermediate grids are fabricated from Zircaloy-4. Top and intermediate grids may be fabricated from ZIRLO™. (See WCAP-12610-P-A, Reference 5.) Top, bottom, and intermediate grids provide axial and lateral support to the fuel rods. In addition, four to five intermediate flow mixer (IFM) grids located near the center of the fuel assembly and between the intermediate grids provide additional fuel rod restraint.

Fuel assemblies are installed vertically in the reactor vessel and stand upright on the lower core plate, which is fitted with alignment pins to locate and orient each assembly. After the fuel assemblies are set in place, the upper support structure is installed. Alignment pins, built into the upper core plate, engage and locate the upper ends of the fuel assemblies. The upper core plate then bears down against the hold-down springs on the top nozzle of each fuel assembly to hold the fuel assemblies in place.

Improper orientation of fuel assemblies within the core is prevented by the use of an indexing hole in one corner of the top nozzle top plate. The assembly is oriented with respect to the handling tool and the core by means of a pin inserted into this indexing hole. Visual confirmation of proper orientation is also provided by an engraved identification number on the opposite corner clamp.

#### 4.2.2.1 Fuel Rods

The fuel rods consist of uranium dioxide ceramic pellets contained in cold-worked and stress relieved Zircaloy-4 tubing, which is plugged and seal-welded at the ends to encapsulate the fuel. Zircaloy-4 is a zirconium alloy selected for its mechanical properties and low neutron absorption cross-section. ZIRLO™, an advanced zirconium based alloy, may also be used for cladding. (See WCAP-12610-P-A, Reference 5.) Figure 4.2-3 shows a schematic of the fuel rod. The fuel pellets are right circular cylinders consisting of slightly enriched uranium dioxide powder which has been compacted by cold pressing and then sintered to the required density. The ends of each pellet are dished slightly, to allow greater axial expansion at the pellet centerline and to increase the void volume for fission gas release. The ends of each pellet also have a small chamfer at the outer cylindrical surface which improves manufacturability, and mitigates potential pellet damage due to fuel rod handling.

Void volume and clearances are provided within the rods to accommodate fission gases released from the fuel, differential thermal expansion between the clad and the fuel, and fuel density changes during irradiation. To facilitate the extended burnup capability necessitated by longer operating cycles, the fuel rod is designed with two plenums (upper and lower) to accommodate the additional fission gas release. The upper plenum volume is maintained by a fuel pellet hold-down spring. The lower plenum volume is maintained by a standoff assembly.

Shifting of the fuel within the clad during handling or shipping, prior to core loading, is prevented by a stainless steel helical spring which bears on top of the fuel pellet stack. Assembly consists of plugging and welding the bottom of the cladding, installing the bottom plenum spacer assembly, fuel pellets and top plenum spring, and then plugging and welding the top of the rod. The solid bottom end plug has an internal grip feature and tapered end to facilitate fuel rod loading during fuel assembly fabrication and reconstitution. Additionally, the bottom end plug is designed to be sufficiently long to extend through the bottom grid. This precludes any breach in the fuel rod pressure boundary due to clad fretting wear induced by debris trapped at the bottom grid location.

The fuel rods are internally pressurized with helium during the welding process to minimize compressive clad stresses and prevent clad flattening under reactor coolant operating pressures. The fuel rods are pre-pressurized and designed so that:

- The internal gas pressure mechanical design limit referred to in subsection 4.2.1.3 is not exceeded
- The cladding stress-strain limits (subsection 4.2.1.1) are not exceeded for Condition I and II events
- Clad flattening will not occur during the fuel core life

The AP600 fuel rod design may also include axial blankets. The axial blankets consist of fuel pellets of a reduced enrichment at each end of the fuel rod pellet stack. Axial blankets reduce neutron leakage axially and improve fuel utilization. The axial blankets use chamfered pellets that are longer than the enriched pellets to help prevent accidental mixing during manufacturing. Furthermore, axial blankets have no impact on the source range detector response, since the reduction in power from the axial blanket is limited to the top and bottom 0.5 feet of the core, while the source range detectors are centered typically about three feet from the bottom of the core.

The AP600 fuel rods include integral fuel burnable absorbers. The integral fuel burnable absorber coated fuel pellets are identical to the enriched uranium dioxide pellets except for the addition of a thin boride coating less than 0.001 inch in thickness on the pellet cylindrical surface. Coated pellets occupy the central portion of the fuel column. The number and pattern of integral fuel burnable absorber rods within an assembly may vary depending on specific application. An evaluation and test program for the integral fuel burnable absorber design features are summarized in Section 2.5 of WCAP-8183 (Reference 3).

#### **4.2.2.2 Fuel Assembly Structure**

As shown in Figure 4.2-2, the fuel assembly structure consists of a bottom nozzle, top nozzle, fuel rods, guide thimbles, and grids.

##### **4.2.2.2.1 Bottom Nozzle**

The bottom nozzle serves as the bottom structural element of the fuel assembly and directs the coolant flow distribution to the assembly. The nozzle is fabricated from Type 304 stainless steel and consists of a perforated plate, and casting which incorporates a skirt and four angle legs with bearing pads. Figure 4.2-2 illustrates this concept. The legs and skirt form a plenum to direct the inlet coolant flow to the fuel assembly. The perforated plate also prevents accidental downward ejection of the fuel rods from the fuel assembly. The bottom nozzle is fastened to the fuel assembly guide thimbles by locked thimble screws, which penetrate through the nozzle and engage with a threaded plug in each guide thimble.

Coolant flows from the plenum in the bottom nozzle, upward through the penetrations in the plate, to the channels between the fuel rods. The penetrations in the plate are positioned between the rows of the fuel rods.

In addition to serving as the bottom structural element of the fuel assembly, the bottom nozzle also functions as a debris filter. The bottom nozzle perforated plate contains a multiplicity of flow holes which are sized to minimize passage of detrimental debris particles into the active fuel region of the core while maintaining sufficient hydraulic and structural margins. Furthermore, the skirt provides improved bottom nozzle structural stability and increased design margins to reduce damage due to abnormal handling.

Axial loads (from top nozzle hold-down springs) imposed on the fuel assembly and the weight of the fuel assembly are transmitted through the bottom nozzle to the lower core plate. Indexing and positioning of the fuel assembly is controlled by alignment holes in two diagonally opposite bearing pads that mate with locating pins in the lower core plate. Lateral loads on the fuel assembly are transmitted to the lower core plate through the locating pins.

The AP600 bottom nozzle also has a reconstitution design feature which facilitates the easy removal of the nozzle from the fuel assembly. This design incorporates a thimble screw with a circular locking cup located around the screw head. The locking cup is crimped into a local spherical radius relief on the bottom nozzle. To remove the bottom nozzle, a counterclockwise torque is applied to the thimble screw until the locking cup (detents) are relaxed and the thimble screw removed. This reconstitutable design permits the remote unlocking, the removal, and the relocking of the thimble screws, as the same or a new bottom nozzle is reattached to the fuel assembly.

#### 4.2.2.2.2 Top Nozzle

The reconstitutable top nozzle functions as the upper structural component of the fuel assembly and, in addition, provides a partial protective housing for the rod cluster control assembly, wet annular burnable absorber, or other core components. The basic components of the welded top nozzle include the adapter plate, enclosure, and top plate. As shown in Figure 4.2-2, the top nozzle assembly includes four sets of hold-down springs and associated spring screws and clamps, which are secured to the top nozzle top plate. The springs are made of nickel-chromium-iron Alloy 718. The spring screws are made of nickel-chromium-iron Alloy 600. The other top nozzle components are made of Type 304 stainless steel.

The adapter plate is provided with round penetrations and slots (with semicircular ends) to permit the flow of coolant upward through the top nozzle. Other round holes are provided in the adapter plate to accept (guide thimble) inserts which are mechanically locked to the adapter plate using a lock tube. The unique design of the insert joint and lock tube are the key design features of the reconstitutable top nozzle.

The ligaments in the adapter plate cover the top of the fuel rods precluding any upward ejection of the fuel rods from the fuel assembly. The enclosure is a box-like structure which establishes the distance between the adapter plate and the top plate. The top plate has a large

square hole in the center to permit access for the rod cluster control assembly, burnable absorber assembly, or other components. Hold-down springs are mounted on the top plate and are retained by spring screws located at diagonally opposite corners of the top plate.

The top plate also contains integral pads located on the two remaining top nozzle corners. The pads include alignment holes which, when fully engaged with the reactor internals upper core plate guide pins, provide proper alignment to the fuel assembly, reactor internals, and rod control cluster assembly.

As shown in Figure 4.2-4, to remove the top nozzle assembly a tool is first inserted through a lock tube and expanded radially to engage the bottom edge of the tube. An axial force is then exerted on the tool which overrides local lock tube deformations and withdraws the lock tubes from the inserts. After the lock tubes have been removed, the nozzle assembly is removed by raising it off the upper slotted ends of the nozzle inserts, which deflect inwardly under the axial lift load.

With the top nozzle assembly removed, direct access is provided for fuel rod examination or replacement. Reconstitution is completed by the remounting of the nozzle assembly and the insertion of lock tubes. Details of this design feature, the design bases and evaluation of the reconstitutable top nozzle are given in WCAP-10444-P-A (Reference 11).

#### 4.2.2.2.3 Guide Thimbles and Instrument Tube

The guide thimbles are structural members that provide channels for the neutron absorber rods, burnable absorber rods, neutron source rods, or other assemblies. Each guide thimble is fabricated from Zircaloy-4 or ZIRLO™ tubing having two different diameters. The larger tube diameter at the top section provides a relatively large annular area necessary to permit rapid control rod insertion during a reactor trip, as well as to accommodate the flow of coolant during normal operation. Holes are provided on the guide thimble above the dashpot to reduce the rod drop time. The lower portion of the guide thimble is swaged to a smaller diameter, which results in a dashpot action near the end of the control rod travel during normal trip operation. The dashpot is closed at the bottom by means of an end plug, which is provided with a small flow port to avoid fluid stagnation in the dashpot volume during normal operation.

As stated previously, the AP600 fuel assembly includes a reconstitutable top nozzle as a standard feature. To accommodate the reconstitutable feature, the top end of the zirconium alloy guide thimble is fastened to a tubular sleeve, or insert, by a three tier expansion bulge joint. An expansion tool is inserted inside the nozzle insert and guide thimble to the proper elevation. The four lobes on the expansion tool force the guide thimble and insert outward locally to a predetermined diameter, therefore joining the two components.

Upon installation of the top nozzle assembly, the bulge near the top of the nozzle insert is captured in a corresponding groove in the hole of the top nozzle adapter plate. As shown in Figure 4.2-4, the mechanical connection between the nozzle insert-guide thimble and top nozzle is made by insertion of a lock tube into the insert. The design of the top grid sleeve-

guide thimble and top nozzle insert-guide thimble bulge joint connections have been mechanically tested and found to meet applicable design criteria.

The fuel rod support grids, with exception noted for the bottom nickel-chromium-iron Alloy 718 grid, are secured to the guide thimbles using a similar bulge joint connection to create an integral structure. Attachment of the intermediate mixing vane and intermediate flow mixer (IFM) zirconium alloy grids to the guide thimbles is performed using the fastening technique depicted in Figures 4.2-5 and 4.2-6.

The intermediate mixing vane and intermediate flow mixer grids employ a single tier bulge connection between the grid sleeve and guide thimble as compared to the three tier bulge connection used for the top grid. The design of the single tier bulge joint connection has also been mechanically tested and meets the design requirements.

The lower end of the guide thimble is fitted with a welded end plug. The bottom nickel-chromium-iron Alloy 718 grid is secured to the guide thimble assembly by austenitic stainless steel inserts that are spot-welded to the grid. As shown in Figure 4.2-7, the insert is captured between the guide thimble end plug and the bottom nozzle by means of a (thimble) locking screw.

The described methods of grid fastening are standard and have been used successfully since the introduction of zirconium alloy guide thimbles in 1969.

The central instrumentation tube in each fuel assembly is constrained by seating in counterbores located in both top and bottom nozzles. The instrumentation tube has a constant diameter and provides an unrestricted passageway for the in-core neutron detector which enters the fuel assembly from the top nozzle. Furthermore, the instrumentation tube is secured to the top and mid-grids with bulge joint connections similar to those previously discussed for securing the grids to the guide thimbles.

#### 4.2.2.2.4 Grid Assemblies

As shown in Figure 4.2-2, the fuel rods are supported at intervals along their lengths by grid assemblies which maintain the lateral spacing between the rods throughout the design life of the assembly. Each fuel rod is given support at six contact points within each grid by the combination of support dimples and springs. The grid assembly consists of individual slotted straps assembled and interlocked into an egg-crate type arrangement with the straps permanently joined at their points of intersection. The straps may contain springs, support dimples, and mixing vanes; or any such combination.

Two types of structural grid assemblies are used on the AP600 fuel assembly. One type, with mixing vanes projecting from the edges of the straps into the coolant stream, is used in the high heat flux region of the fuel assemblies to promote mixing of the coolant. The other type, located at the top and bottom of the assembly, does not contain mixing vanes on the internal straps. The outside straps on the grids contain mixing vanes that, in addition to their mixing

function, aid in guiding the grids and fuel assemblies past projecting surfaces during handling or during loading and unloading of the core.

Because of its corrosion resistance and high strength properties, the bottom grid material chosen for the AP600 fuel assembly design is nickel-chromium-iron Alloy 718. The top grid may be fabricated from nickel-chromium-iron Alloy 718, or ZIRLO™. The magnitude of the grid restraining force on the fuel rod is set high enough to minimize possible fretting, without overstressing the cladding at the points of contact between the grids and fuel rods. The grid assemblies are designed to allow axial thermal expansion of the fuel rods without imposing restraint sufficient to develop buckling or distortion of the fuel rods.

The seven intermediate (mixing vane), or structural grids on the AP600 fuel assembly are made of Zircaloy-4 or ZIRLO™. This material was selected to take advantage of the material's inherent low neutron capture cross-section. The zirconium alloy grids have thicker straps than the nickel-chromium-iron alloy grids. The zirconium alloy grid incorporates the same grid cell support configuration as the nickel-chromium-iron alloy grid. The zirconium alloy interlocking strap joints and grid/sleeve joints are fabricated by laser welding, whereas the nickel-chromium-iron alloy grid joints are brazed. The mixing vanes incorporated in the zirconium alloy intermediate grids induce additional flow mixing among the various flow channels in a fuel assembly as well as between adjacent fuel assemblies. This additional flow mixing enhances thermal performance.

As shown in Figure 4.2-2, the intermediate flow mixer grids are located at selected spans between the zirconium alloy mixing vane structural grids and incorporate a similar mixing vane array. Their prime function is mid-span flow mixing in the hotter fuel assembly spans. Each intermediate flow mixer grid cell contains four dimples that are designed to prevent mid-span channel closure in the spans containing intermediate flow mixers and fuel rod contact with the mixing vanes. This simplified cell arrangement allows short grid cells so that the intermediate flow mixer grid can accomplish its flow mixing objective with minimal pressure drop.

The intermediate flow mixer grids, like the mixing vane grids, are fabricated from Zircaloy-4 or ZIRLO™. The intermediate flow mixer grids are manufactured using the same basic techniques as the zirconium alloy structural grid assemblies and are joined to the guide thimbles via sleeves which are welded at the bottom of appropriate grid cells.

Grid impact testing has been performed on zirconium alloy structural grids and the intermediate flow mixer grids indicative of the AP600 design. The purpose of the testing was to determine the dynamic buckling, or crush, strength of the grids. The grid impact testing was performed at an elevated temperature of 600°F. This temperature is a conservative value representing the core average temperature at the mid-grid locations.

The intermediate flow mixer grids are not intended to be structural members. The intermediate flow mixer grids do, however, share the loads of the structural grids during faulted loading and, as such, contribute to enhance the load carrying capability of the AP600 fuel assembly.

The dynamic crush strength of the AP600 structural grids and intermediate flow mixer grids envelope the calculated grid impact loading during combined seismic and pipe rupture events. A coolable geometry is, therefore, provided at the intermediate flow mixer grid elevations, as well as at the structural grid elevations.

#### 4.2.2.3 In-core Control Components

Reactivity control is provided by neutron absorbing rods, gray rods, burnable absorber rods, and a soluble chemical neutron absorber (boric acid). The boric acid concentration is varied to control long-term reactivity changes such as:

- Fuel depletion and fission product buildup
- Cold to hot, zero power reactivity changes
- Reactivity change produced by intermediate-term fission products such as xenon and samarium
- Burnable absorber depletion

The chemical and volume control system, which is used to adjust the level of boron in the coolant, is discussed in Section 9.3.

The rod cluster control assemblies provide reactivity control for:

- Shutdown
- Reactivity changes due to coolant temperature changes in the power range
- Reactivity changes associated with the power coefficient of reactivity
- Reactivity changes due to void formation

A negative power coefficient is maintained at hot, full-power conditions throughout the entire cycle to reduce possible deleterious effects caused by a positive coefficient during pipe rupture or loss-of-flow accidents. The first fuel cycle needs more excess reactivity than subsequent cycles due to the loading of fresh (unburned) fuel. Since soluble boron alone is insufficient to provide a negative moderator coefficient, burnable absorber assemblies are also used. Use of burnable absorber assemblies during reloads is discussed in subsection 4.3.1.2.2.

The most effective reactivity control components are the rod cluster control assemblies and the corresponding drive rod assemblies which, along with the gray rod cluster assemblies, are the only kinetic parts in the reactor. Figure 4.2-8 identifies the rod cluster control and drive rod assembly, in addition to the arrangement of these components in the reactor relative to the interfacing fuel assembly, guide thimbles, and control rod drive mechanism. The arrangement for the gray rod cluster assemblies is the same.



As shown in Figure 4.2-8, the guidance system for the rod cluster control assembly is provided by the guide thimbles. The guide thimbles provide two regimes of guidance: First, in the lower section, a continuous guidance system provides support immediately above the core, which protects the rod against excessive deformation and wear caused by hydraulic loading. Second, the region above the continuous section provides support and guidance at uniformly spaced intervals.

As shown in Figure 4.2-9, the envelope of support is determined by the pattern of the control rod cluster. The guide thimbles provides alignment and support of the control rods, spider body, and drive rod while maintaining trip times at or below required limits.

Subsections 4.2.2.3.1 through 4.2.2.3.4 describe each reactivity control component in detail. The control rod drive mechanism assembly is described in subsection 3.9.4. The neutron source assemblies provide a means of monitoring the core during periods of low neutron activity.

#### 4.2.2.3.1 Rod Cluster Control Assemblies

The rod cluster control assemblies are divided into two categories: control and shutdown. The control groups compensate for reactivity changes due to variations in operating conditions of the reactor, that is, power and temperature variations. Two nuclear design criteria have been employed for selection of the control group. First, the total reactivity worth must be adequate to meet the nuclear requirements of the reactor. Second, in view of the fact that these rods may be partially inserted at power operation, the total power peaking factor should be low enough to confirm that the power capability is met. The control and shutdown groups provide adequate shutdown margin.

As illustrated in Figure 4.2-9, a rod cluster control assembly is comprised of a group of individual neutron absorber rods fastened at the top end to a common spider assembly.

The absorber material used in the control rods is silver-indium-cadmium alloy, which is essentially "black" to thermal neutrons and has sufficient additional resonance absorption to significantly increase worth. As shown in Figure 4.2-10, the absorber material is in the form of solid bars sealed in cold-worked stainless steel tubes. Sufficient diametral and end clearance is provided to accommodate relative thermal expansions.

The control rods have bottom plugs with bullet-like tips to reduce the hydraulic drag during reactor trip and to guide smoothly into the dashpot section of the fuel assembly guide thimbles.

The material used in the absorber rod end plugs is Type 308 stainless steel. The design stresses used for the Type 308 material are the same as those defined in the ASME Code, Section III, for Type 304 stainless steel. At room temperature, the yield and ultimate stresses per ASTM 580 (Reference 12) are exactly the same for the two alloys. In view of the similarity of composition of the alloys, the temperature dependence of strength for the two materials is expected to be the same.

The allowable stresses used as a function of temperature are listed in Table I-1.2 of the ASME Code, Section III. The fatigue strength for the Type 308 material is based on the S-N curve for austenitic stainless steels in Figure I-9.2 of the ASME Code, Section III.

The spider assembly is in the form of a central hub with radial vanes containing cylindrical fingers from which the absorber rods are suspended. Internal groove-like profiles to facilitate handling tool and drive rod assembly connection are machined into the upper end of the hub. Coil springs inside the spider body absorb the impact energy at the end of a trip insertion. The radial vanes are joined to the hub by welding and brazing, and the fingers are joined to the vanes by brazing. A bolt which holds the springs and retainer is threaded into the hub within the skirt and welded to prevent loosening while in service.

The components of the spider assembly are made from Types 304 and 308 stainless steel except for the retainer, which is of 17-4 PH material, and the springs, which are nickel-chromium-iron Alloy 718.

The absorber rods are fastened securely to the spider. The rods are first threaded into the spider fingers and then pinned to maintain joint tightness. The pins are then welded in place. The end plug below the pin position is designed with a reduced section to permit flexing of the rods to correct for small operating or assembly misalignments.

The overall length of the rod cluster control assembly is such that, when the assembly is withdrawn through its full travel, the tips of the absorber rods remain engaged in the guide thimbles so that alignment between rods and thimbles is always maintained. Since the rods are long and slender, they are relatively free to conform to any small misalignments with the guide thimble.

#### 4.2.2.3.2 Gray Rod Cluster Assemblies

The mechanical design of the gray rod cluster assemblies plus the control rod drive mechanism and the interface with the fuel assemblies and guide thimbles are identical to the rod cluster control assembly.

As shown in Figure 4.2-11, the gray rod cluster assemblies consist of 24 rodlets fastened at the top end to a common hub or spider. Geometrically, the gray rod cluster assembly is the same as a rod cluster control assembly except that 20 of the 24 rodlets are stainless steel while the remaining four contain the same silver-indium-cadmium absorber material clad with stainless steel as the rod cluster control assemblies.

The gray rod cluster assemblies are used in load follow maneuvering and provide a mechanical shim to replace the use of changes in the concentration of soluble boron, that is, a chemical shim, normally used for this purpose. The AP600 uses 45 rod cluster control assemblies and 16 gray rod cluster assemblies.

#### 4.2.2.3.3 Burnable Absorber Assembly

Each burnable absorber assembly consists of wet annular burnable absorber rods attached to a hold-down assembly. Figure 4.2-12 shows the burnable absorber assemblies. When needed for nuclear considerations, burnable absorber assemblies are inserted into selected thimbles within fuel assemblies.

The wet annular burnable absorber rods consist of annular pellets of alumina-boron carbide material contained within two concentric zirconium alloy tubes. These zirconium alloy tubes, which form the inner and the outer clad for the wet annular burnable absorber rod, are plugged, pressurized with helium, and seal-welded at each end to encapsulate the annular stack of absorber material. The absorber stack length, shown in Figure 4.2-12, is positioned axially within the wet annular burnable absorber rod by the use of a zirconium alloy bottom-end spacer. An annular plenum is provided within the rod to accommodate and retain the helium gas released from the absorber material as it depletes during irradiation. The reactor coolant flows inside the inner tube and outside the outer tube of the annular rod. Figure 4.2-13 shows longitudinal and cross-sectional views of a typical wet annular burnable absorber rod. Additional design details are given in Section 3.0 of WCAP-10021-P-A (Reference 10).

The burnable absorber rods in each fuel assembly are grouped and attached together at the top end of the rods to a hold-down assembly by a flat, perforated retaining plate, which fits within the fuel assembly top nozzle and rests on the adapter plate.

The retaining plate and the burnable absorber rods are held down and restrained against vertical motion through a spring pack which is attached to the plate and is compressed by the upper core plate when the reactor upper internals assembly is lowered into the reactor. With this arrangement, the burnable absorber rods cannot be ejected from the core by flow forces. Each rod is attached to the baseplate by a nut that is crimped into place.

#### 4.2.2.3.4 Neutron Source Assemblies

The purpose of a neutron source assembly is to provide a base neutron level to give confidence that the detectors are operational and responding to core multiplication neutrons. For the first core, a neutron source is placed in the reactor to provide a positive neutron count of at least two counts per second on the source range detectors attributable to core neutrons. The detectors, called source range detectors, are used primarily during subcritical modes of core operation.

The source assembly also permits detection of changes in the core multiplication factor during core loading, refueling, and approach to criticality. This can be done since the multiplication factor is related to an inverse function of the detector count rate. Changes in the multiplication factor can be detected during addition of fuel assemblies while loading the core, changes in control rod positions, and changes in boron concentration.

Both primary and secondary neutron source rods are used. The primary source rod, containing a radioactive material, spontaneously emits neutrons during initial core loading,

reactor startup, and initial operation of the first core. After the primary source rod decays beyond the desired neutron flux level, neutrons are then supplied by the secondary source rod. The secondary source rod contains a stable material, which is activated during reactor operation. The activation results in the subsequent release of neutrons.

Four source assemblies are typically installed in the reactor core: two primary source assemblies and two secondary source assemblies. Each primary source assembly contains one primary source rod and a number of burnable absorber rods. Each secondary source assembly contains a symmetrical grouping of four to six secondary source rods. Figure 4.2-14 shows the primary source assembly. Figure 4.2-15 shows the secondary source assembly.

Neutron source assemblies are employed at opposite sides of the core. The source assemblies are inserted into the rod cluster control guide thimbles in fuel assemblies at selected locations.

As shown in Figures 4.2-14 and 4.2-15, the source assemblies contain a hold-down assembly identical to that of the burnable absorber assembly.

The primary and secondary source rods both use the same cladding material as the absorber rods. The secondary source rods contain antimony-beryllium pellets stacked to a height of approximately 88 inches. The primary source rods contain capsules of californium (plutonium-beryllium possible alternate) source material and alumina spacer to position the source material within the cladding. The rods in each assembly are fastened at the top end to a hold-down assembly.

The other structural members, except for the springs, are constructed of Type 304 stainless steel. The springs exposed to the reactor coolant are nickel-chromium-iron Alloy 718.

### 4.2.3 Design Evaluation

*[The fuel assemblies, fuel rods, and in-core control components are designed to satisfy the performance and safety criteria of]\** Section 4.2 of the Standard Review Plan, the mechanical design bases of subsection 4.2.1 and *[the Fuel Criteria Evaluation Process per WCAP-12488-A (Reference 1)]\**, and other interfacing nuclear and thermal and hydraulic design bases specified in Sections 4.3 and 4.4.

Effects of Conditions II, III, IV or anticipated transients without trip on fuel integrity are presented in Chapter 15.

The initial step in fuel rod design evaluation for a region of fuel is to determine the limiting rod(s). Limiting rods are defined as those rods whose predicted performance provides the minimum margin to each of the design criteria. For a number of design criteria, the limiting rod is the lead burnup rod of a fuel region. In other instances, it may be the maximum power or the minimum burnup rod. For the most part, no single rod is limiting with respect to all the design criteria.

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\*NRC Staff approval is required prior to implementing a change in this material; see DCD Introduction Section 3.5.

After identifying the limiting rod(s), an analysis is performed to consider the effects of rod operating history, model uncertainties, and dimensional variations. To verify adherence to the design criteria, the evaluation considers the effects of postulated transient power changes during operation consistent with Conditions I and II. These transient power increases can affect both rod average and local power levels. Parameters considered include rod internal pressure, fuel temperature, clad stress, and clad strain. In fuel rod design analyses, these performance parameters provide the basis for comparison between expected fuel rod behavior and the corresponding design criteria limits.

Fuel rod and assembly models used for the performance evaluations are documented and maintained under an appropriate control system. Material properties used in the design evaluations are given in WCAP-9179 (Reference 4).

#### 4.2.3.1 Cladding

##### 4.2.3.1.1 Vibration and Wear

Fuel rod vibrations are flow induced. The effect of vibration on the fuel assembly and individual fuel rods is minimal. The cyclic stress range associated with deflections of such small magnitude is insignificant and has no effect on the structural integrity of the fuel rod.

The reaction force on the grid supports, due to rod vibration motions, is also small and is much less than the spring preload. Adequate fuel clad spring contact is maintained. No significant wear of the clad or grid supports is predicted during the life of the fuel assembly based on out-of-pile flow tests, performance of similarly designed fuel in operating reactors, and design analyses.

Clad fretting and fuel vibration has been experimentally investigated, as shown in WCAP-8278 (Reference 13).

##### 4.2.3.1.2 Fuel Rod Internal Pressure and Cladding Stresses

A burnup-dependent fission gas release model WCAP-10851-P-A (Reference 7) is used in determining the internal gas pressure as a function of irradiation time. The plenum volume of the fuel rod has been designed to provide that the maximum internal pressure of the fuel rod will not exceed the value which would cause:

- The fuel/clad diametral gap to increase during steady-state operation
- Extensive departure from nucleate boiling propagation to occur

The clad stresses at a constant local fuel rod power are low. Compressive stresses are created by the pressure differential between the coolant pressure and the rod internal gas pressure. Because of the pre-pressurization with helium, the volume average effective stresses are always less than approximately 12,500 psi at the pressurization level used in the AP600 fuel rod design. Stresses due to the temperature gradient are not included in this average effective

stress because thermal stresses are, in general, negative at the clad inside diameter and positive at the clad outside diameter, and their contribution to the clad volume average stress is small. Furthermore, the thermal stress decreases with time during steady-state operation due to stress relaxation. The stress due to pressure differential is highest in the minimum power rod at beginning-of-life due to low internal gas pressure and decreases as rod power increases. Thermal stresses are maximum in the maximum power rod due to the larger temperature gradient and decrease as the rod power is decreased.

The internal gas pressure at beginning-of-life ranges from approximately 450 to 1050 psi for typical lead burnup fuel rods. The total tangential stress at the clad inside diameter at beginning-of-life is approximately 17,500 psi compressive (approximately 16,400 psi due to  $\Delta P$  and approximately 1,100 due to  $\Delta T$ ) for a low-power rod operating at four kilowatts/foot. Total tangential stress is approximately 18,200 psi compressive (approximately 15,700 psi due to  $\Delta P$  and approximately 2,500 psi due to  $\Delta T$ ) for a high-power rod operating at 10 kilowatts/foot. However, the volume average effective stress at beginning-of-life is between approximately 10,000 psi (high-power rod) and approximately 12,500 psi (low-power rod). These stresses are substantially below even the unirradiated clad yield strength (approximately 55,500 psi) at a typical clad mean operating temperature of 700°F.

Tensile stresses could be created once the clad has come in contact with the pellet. These stresses would be induced by the fuel pellet swelling during irradiation. Swelling of the fuel pellet can result in small clad strains (less than one percent) for expected discharge burnups, but the associated clad stresses are very low because of clad creep (thermal- and irradiation-induced creep). The one percent strain criterion is extremely conservative for fuel-swelling driven clad strain because the strain rate associated with solid fission products swelling is very slow. A detailed discussion of fuel rod performance is given in subsection 4.2.3.3.

#### 4.2.3.1.3 Material and Chemical Evaluation

Zircaloy-4 clad has a high corrosion resistance to the coolant, fuel, and fission products. As shown in WCAP-8183 (Reference 3), there is considerable pressurized water reactor operating experience on the capability of Zircaloy-4 as a clad material. ZIRLO™, an advanced zirconium based alloy may also be used. The corrosion resistance of ZIRLO™ has equal or better corrosion resistance than Zircaloy-4. (See WCAP-12610-P-A, Reference 5.) Controls on fuel fabrication specify maximum moisture levels to preclude clad hydriding.

Metallographic examination of irradiated commercial fuel rods has shown occurrences of fuel/clad chemical interaction. Reaction layers of less than one mil in thickness have been observed between fuel and clad at limited points around the circumference. Metallographic data indicates that this interface layer remains very thin even at high burnup. Thus, there is no indication of propagation of the layer and eventual clad penetration.

Stress corrosion cracking is another postulated phenomenon related to fuel/clad chemical interaction. Out-of-pile tests have shown that in the presence of high clad tensile stresses, large concentrations of iodine can chemically attack the zirconium alloy tubing and may lead to eventual clad cracking. Extensive post-irradiation examination has produced no evidence

that this mechanism has been operative in Westinghouse commercial pressurized water reactor fuel.

#### 4.2.3.1.4 Rod Bowing

WCAP-8691 (Reference 14) presents the model used for evaluation of AP600 fuel rod bowing. This model has been used for bow assessment in 14x14, 15x15, and 17x17 type cores.

#### 4.2.3.1.5 Consequences of Power Coolant Mismatch

Consequences of power coolant mismatch are discussed in Chapter 15.

#### 4.2.3.1.6 Creep Collapse and Creepdown

This subject and the associated irradiation stability of cladding have been evaluated. In WCAP-13589-A (Reference 8), it is shown that current generation Westinghouse fuel is sufficiently stable with respect to fuel densification. Significant axial gaps do not form in the pellet stack, preventing clad collapse from occurring. The design basis of no clad collapse during planned core life is therefore satisfied.

#### 4.2.3.2 Fuel Materials Considerations

Sintered, high-density uranium dioxide fuel reacts only slightly with the clad at core operating temperatures and pressures. In the event of clad defects, the high resistance of uranium dioxide to attack by water protects against fuel deterioration, although limited fuel erosion can occur. The consequences of defects in the clad are greatly reduced by the ability of uranium dioxide to retain fission products, including those which are gaseous or highly volatile.

Observations from several early Westinghouse pressurized water reactors as discussed in WCAP-8218-P-A (Reference 6) have shown that fuel pellets can densify under irradiation to a density higher than the manufactured values. Fuel densification and subsequent settling of the fuel pellets can result in local and distributed gaps in the fuel rods. The densification process is related to the elimination of very small as-fabricated porosity in the fuel during irradiation. Early fuels were intentionally manufactured to low initial density and were undersintered, which resulted in a large fraction of very small pores. Densification behavior in current fuel is controlled by improved manufacturing process controls and by specifying a nominal 95 percent initial fuel density, which results in reduced levels of small, densifying porosity.

The evaluation of fuel densification effects and the treatment of fuel swelling and fission gas release are described in WCAP-13589-A (Reference 8) and WCAP-10851-P-A (Reference 7).

#### 4.2.3.3 Fuel Rod Performance

In the calculation of the steady-state performance of a nuclear fuel rod, the following interacting factors are considered:

- Clad creep and elastic deflection
- Pellet density changes, thermal expansion, gas release, and thermal properties as a function of temperature and fuel burnup
- Internal pressure as a function of fission gas release, rod geometry, and temperature distribution

These effects are evaluated using fuel rod design models, as discussed in WCAP-10851-P-A (Reference 7), that include appropriate models for time dependent fuel densification. With these interacting factors considered, the model determines the fuel rod performance characteristics for a given rod geometry, power history, and axial power shape. In particular, internal gas pressure, fuel and clad temperatures, and clad deflections are calculated. The fuel rod is divided into several axial sections and radially into a number of annular zones. Fuel density changes are calculated separately for each segment. The effects are integrated to obtain the internal rod pressure.

The initial rod internal pressure is selected to delay fuel/clad mechanical interaction and to avoid the potential for clad flattening. It is limited, however, by the design criteria for the rod internal pressure, as discussed in subsection 4.2.1.3.

The gap conductance between the pellet surface and the clad inner diameter is calculated as a function of the composition, temperature and pressure of the gas mixture, and the gap size or contact pressure between the clad and pellet. After computing the fuel temperature for each pellet zone, the fractional fission gas release is assessed using an empirical model derived from experimental data, as detailed in WCAP-10851-P-A (Reference 7). The total amount of gas released is based on the average fractional release within each axial and radial zone and the gas generation rate, which, in turn, is a function of burnup. Finally, the gas released is summed over the zones, and the pressure is calculated.

The model shows close agreement in fit for a variety of published and proprietary data on fission gas release, fuel temperatures, and clad deflections, as detailed in WCAP-10851-P-A (Reference 7). These data include variations in power, time, fuel density, and geometry.

##### 4.2.3.3.1 Fuel/Cladding Mechanical Interaction

One factor in fuel element duty is potential mechanical interaction of the fuel and clad. This fuel/clad interaction produces cyclic stresses and strains in the clad, and these, in turn, reduce clad life. The reduction of fuel/clad interaction is therefore a goal of design. The technology for using pre-pressurized fuel rods in Westinghouse pressurized water reactors has been developed to further this objective.



The gap between the fuel and clad is initially sufficient to prevent hard contact between the two. However, during power operation a gradual compressive creep of the clad onto the fuel pellet occurs due to the external pressure exerted on the rod by the coolant. Clad compressive creep eventually results in fuel/clad contact. Once fuel/clad contact occurs, changes in power level result in changes in clad stresses and strains. By using pre-pressurized fuel rods to partially offset the effect of the coolant external pressure, the rate of clad creep toward the surface of the fuel is reduced. Fuel rod pre-pressurization delays the time at which fuel/clad contact occurs and, hence, significantly reduces the extent of cyclic stresses and strains experienced by the clad both before and after fuel/clad contact. These factors result in an increase in the fatigue life margin of the clad and lead to greater clad reliability.

A two-dimensional  $(r,\theta)$  finite element model has been established to investigate the effects of radial pellet cracks on stress concentrations in the clad. Stress concentration herein is defined as the difference between the maximum clad stress in the  $\theta$  direction and the mean clad stress. The first case has the fuel and clad in mechanical equilibrium; and, as a result, the stress in the clad is close to zero. In subsequent cases the pellet power is increased in steps and the resultant fuel thermal expansion imposes tensile stress in the clad.

In addition to uniform clad stresses, stress concentrations develop in the clad adjacent to radial cracks in the pellet. These radial cracks have a tendency to open during a power increase, but the frictional forces between fuel and clad oppose the opening of these cracks and result in localized increases in clad stress. As the power is further increased, large tensile stresses exceed the ultimate tensile strength of uranium dioxide and additional cracks in the fuel pellet are created, limiting the magnitude of the stress concentration in the clad.

As part of the standard fuel rod design analysis, the maximum stress concentration evaluated from finite element calculations is added to the volume-averaged effective stress in the clad as determined from one-dimensional stress/strain calculations. The resultant clad stress is then compared to the temperature-dependent Zircaloy-4 yield stress to confirm that the stress/strain criteria are satisfied.

The transient evaluation method is described in the following paragraphs.

Pellet thermal expansion due to power increases is considered the only mechanism by which significant stresses and strains can be imposed on the clad.

Power increases in commercial reactors can result from fuel shuffling (for example, region 3 positioned near the core center for cycle 2 operation after operating near the periphery during cycle 1), reactor power escalation following extended reduced power operation, and full-length control rod movement. In the mechanical design model, lead rods are depleted using best-estimate power histories as determined by core physics calculations. During burnup, the amount of diametral gap closure is evaluated based upon the pellet expansion cracking model, clad creep model, and fuel swelling model. At various times during the depletion, the power is increased locally in the rod to the burnup-dependent attainable power density as determined by core physics calculations. The radial, tangential, and axial clad stresses resulting from the power increase are combined into a volume average effective clad stress.

The von Mises criterion is used to determine whether the clad yield stress has been exceeded. This criterion states that an isotropic material in multi-axial stress will begin to yield plastically when the effective stress exceeds the yield stress as determined by an axial tensile test. The yield stress correlation is that for irradiated cladding, since fuel/clad interaction occurs at high burnup. In applying this criterion, the effective stress is increased by an allowance which accounts for stress concentrations in the clad adjacent to radial cracks in the pellet, prior to the comparison with the yield stress. This allowance was evaluated using a two-dimensional  $(r,\theta)$  finite element model.

Slow transient power increases can result in large clad strains without exceeding the clad yield stress because of clad creep and stress relaxation. Therefore, in addition to the yield stress criterion, a criterion on allowable clad strain is necessary. Based upon high strain rate burst and tensile test data on irradiated tubing, one percent strain was determined to be a conservative lower limit on irradiated clad ductility and that was adopted as a design criterion.

In addition to the mechanical design models and design criteria, the AP600 fuel rod design relies on performance data accumulated through transient power test programs in experimental and commercial reactors, and through normal operation in commercial reactors.

It is recognized that a possible limitation to the satisfactory behavior of the fuel rods in a reactor subjected to daily load follow is the failure of the cladding by low-cycle strain fatigue. During their normal residence time in the reactor, the fuel rods may be subjected to on the order of 1000 load follow cycles, with typical changes in power level from 50 to 100 percent of their steady-state values.

The assessment of the fatigue life of the fuel rod cladding is subjected to considerable uncertainty because of the difficulty of evaluating the strain range which results from the cyclic interaction of the fuel pellets and cladding. This difficulty arises, for example, from such highly unpredictable phenomena as pellet cracking, fragmentation, and relocation. Westinghouse investigated this particular phenomenon both analytically and experimentally. Strain fatigue tests on irradiated and nonirradiated hydrided Zircaloy-4 cladding were performed. These tests permitted the definition of a conservative fatigue-life limit and recommendation of a methodology to treat the strain fatigue evaluation of the Westinghouse-referenced fuel rod designs. (See WCAP-9500-P-A, Reference 15.)

Successful load follow operation has been performed on several reactors. There was no significant coolant activity increase that could be associated with the load follow mode of operation.

The Westinghouse analytical approach to strain fatigue is based on a comprehensive review of the available strain fatigue models. The review included the Langer-O'Donnell model (Reference 16) the Yao-Munse model, and the Manson-Halford model. Upon completion of this review, and using the results of the Westinghouse experimental programs as documented in WCAP-9500-P-A (Reference 15), it was concluded that the approach defined by Langer-O'Donnell would be retained and the empirical factors of their correlation modified to conservatively bound the results of the Westinghouse testing program.

The design equations followed the concept for the fatigue design criterion according to the ASME Code, Section III:

- The calculated pseudo stress amplitude ( $S_a$ ) has to be multiplied by a factor of two to obtain the allowable number of cycles ( $N_f$ ).
- The allowable cycles for a given  $S_a$  is five percent of  $N_f$  or a safety factor of 20 on cycles.

The lesser of the two allowable numbers of cycles is selected. The cumulative fatigue life fraction is then computed as:

$$\sum_1^k \frac{n_k}{N_{f k}} \leq 1$$

where:

$n_k$  = number of diurnal cycles of mode k.  
 $N_{f k}$  = number of allowable cycles.

#### 4.2.3.3.2 Irradiation Experience

Westinghouse fuel operational experience is presented in WCAP-8183 (Reference 3). Additional test assembly and test rod experience is given in WCAP-10125-P-A (Reference 2).

#### 4.2.3.3.3 Fuel and Cladding Temperature

The methods used for evaluation of fuel rod temperatures are presented in subsection 4.4.2.11.

#### 4.2.3.3.4 Potentially Damaging Temperature Effects During Transients

The fuel rod experiences many operational transients (intentional maneuvers) during its residence in the core. A number of thermal effects must be considered when analyzing the fuel rod performance.

The clad can be in contact with the fuel pellet at some time in the fuel lifetime. Clad/pellet interaction occurs if the fuel pellet temperature is increased after the clad is in contact with the pellet. Clad/pellet interaction is discussed in subsection 4.2.3.3.1.

Clad flattening has been observed in some operating power reactors. This is no longer a concern because clad flattening is precluded during the fuel residence in the core (subsection 4.2.3.1) by the use of stable fuel.

Potential differential thermal expansion between the fuel rods and the guide thimbles during a transient is considered in the design. Excessive bowing of the fuel rods is precluded

because the grid assemblies allow axial movement of the fuel rods relative to the grids. Specifically, thermal expansion of the fuel rods is considered in the grid design so that axial loads imposed on the fuel rods during a thermal transient will not result in excessively bowed fuel rods.

#### **4.2.3.3.5 Fuel Element Burnout and Potential Energy Release**

As discussed in subsection 4.4.2.2, the core is protected from departure from nucleate boiling over the full range of possible operating conditions. In the extremely unlikely event that departure from nucleate boiling should occur, the clad temperature will rise due to the steam blanketing at the rod surface and the consequent degradation in heat transfer. During this time there is a potential for chemical reaction between the cladding and the coolant. However, because of the relatively good film boiling heat transfer following departure from nucleate boiling, the energy release resulting from this reaction is insignificant compared to the power produced by the fuel.

#### **4.2.3.3.6 Coolant Flow Blockage Effects on Fuel Rods**

The coolant flow blockage effects on fuel rods are presented in subsection 4.4.4.7.

#### **4.2.3.4 Spacer Grids**

The coolant flow channels are established and maintained by the structure composed of grids and guide thimbles. The lateral spacing between fuel rods is provided and controlled by the support dimples of adjacent grid cells. Contact of the fuel rods on the dimples is maintained through the clamping force of the grid springs. Lateral motion of the fuel rods is opposed by the spring force and the internal moments generated between the spring and the support dimples. Grid testing is discussed in WCAP-8236 (Reference 17) and WCAP-10444-P-A (Reference 11).

#### **4.2.3.5 Fuel Assembly**

##### **4.2.3.5.1 Stresses and Deflections**

The fuel assembly component stress levels are limited by the design. For example, stresses in the fuel rod due to thermal expansion and zirconium alloy irradiation growth are limited by the relative motion of the rod as it slips over the grid spring and dimple surfaces. Clearances between the fuel rod ends and nozzles are provided so that zirconium alloy irradiation growth does not result in rod end interference. Stresses in the fuel assembly caused by tripping of the rod cluster control assembly have little influence on fatigue usage margin because of the small number of events during the life of an assembly. Assembly components and prototype fuel assemblies made from production parts have been subjected to structural tests to verify that the design bases requirements are met.

The fuel assembly design loads for shipping have been established at 4 g axial and 6 g lateral. Accelerometers are permanently placed in the shipping cask to monitor and detect fuel

assembly accelerations that would exceed the criteria. Experience indicates that loads that exceed the allowable limits rarely occur. Exceeding the limits requires reinspection of the fuel assembly for damage. Tests on various fuel assembly components, such as the grid assembly, sleeves, inserts, and structure joints, have been performed to confirm that the shipping design limits do not result in impairment of fuel assembly function. Seismic analysis methodology of the fuel assembly is presented in WCAP-8236 (Reference 17), WCAP 9401-P-A (Reference 18), and WCAP-10444-P-A (Reference 11).

To demonstrate that the fuel assemblies will maintain a geometry that is capable of being cooled under the worst-case accident Condition IV event, a plant specific or bounding seismic analysis is performed.

The fuel assembly response resulting from safe shutdown earthquake condition is analyzed using time-history numerical techniques. The vessel motion for this type of event primarily causes lateral loads on the reactor core. Consequently, the methodology and analytical procedures as described in WCAP-8236 (Reference 17) and WCAP-9401-P-A (Reference 18) are used to assess the fuel assembly deflections and impact forces.

The motions of the reactor internals upper and lower core plates and the core barrel at the upper core plate elevation, which are simultaneously applied to simulate the reactor core input motion, are obtained from the time-history analysis of the reactor vessel and internals. The fuel assembly response, namely the displacements and impact forces, is obtained with the reactor core model. Similar dynamic analyses of the core were performed using reactor internals motions indicative of the postulated pipe rupture. Scenarios regarding breaches in the pressure boundary are investigated to determine the most limiting structural loads for the fuel assembly. The application of leak-before-break limits the size of the pipe rupture loads for which the fuel assemblies must be analyzed. The pipe rupture used in the fuel assembly analysis is the largest pipe connected to the reactor coolant system which does not satisfy the leak-before-break criteria. Subsection 3.6.3 discusses mechanistic pipe break.

#### 4.2.3.5.1.1 Grid Analyses

The maximum grid impact force obtained from seismic analyses is less than the allowable grid strength. With respect to the guidelines of Appendix A of the Standard Review Plan, Section 4.2, Westinghouse has demonstrated that a simultaneous safe shutdown earthquake and pipe rupture event is highly unlikely. The fatigue cycles, crack initiation, and crack growth due to normal operating and seismic events will not realistically lead to a pipe rupture. More information is available in WCAP-9283 (Reference 19).

Based on the deterministic fracture mechanics evaluation of small flaws in piping components, Westinghouse has demonstrated that the dynamic effects of a large pipe rupture in the primary coolant piping system for the AP600 design does not have to be considered.

A design basis for the piping design in the AP600 is that the reactor coolant loop and surge lines will satisfy the leak-before-break criteria for mechanistic pipe break. In addition, the piping connected to the reactor coolant system that is four inch nominal diameter or larger is

evaluated for leak-before-break. The result of a pipe leakage event consistent with the mechanistic pipe break evaluation would be to impose insignificant asymmetric loadings on the reactor core system. Thus, fuel assembly grid loads due to large pipe ruptures are unrealistic and, as such, are not included in the analysis.

The pressure boundary integrity for numerous branch lines is analyzed to determine the most limiting break of a line not qualified for leak-before-break for the dynamic loading of the reactor core. Grid loads resulting from a combined seismic and pipe rupture event do not cause unacceptable grid deformation as to preclude a core coolable geometry.

#### **4.2.3.5.1.2 Nongrid Analyses**

The stresses induced in the various fuel assembly nongrid components are assessed based on the most limiting seismic condition. The fuel assembly axial forces resulting from the hold-down spring load together with its own weight distribution are the primary sources of the stresses in the guide thimbles and fuel assembly nozzles. The fuel rod accident induced stresses, which are generally very small, are caused by bending due to the fuel assembly deflections during a seismic event. The seismic-induced stresses are compared with the allowable stress limits for the fuel assembly major components. The component stresses, which include normal operating stresses, are below the established allowable limits. Consequently, the structural designs of the fuel assembly components are acceptable for the design basis accident conditions for the AP600.

#### **4.2.3.5.2 Dimensional Stability**

Localized yielding and slight deformation in some fuel assembly components are allowed to occur during a Condition III or IV event. The maximum permanent deflection, or deformations, do not result in any violation of the functional requirements of the fuel assembly.

#### **4.2.3.6 Reactivity Control Assemblies and Burnable Absorber Rods**

##### **4.2.3.6.1 Internal Pressure and Cladding Stresses during Normal, Transient, and Accident Conditions**

The designs of the burnable absorber and source rods provide a sufficient cold void volume to accommodate the internal pressure increase during operation. This is not a concern for the rod cluster control assembly absorber rod or gray rod cluster assembly rodlets because no gas is released by the silver-indium-cadmium absorber material.

For the wet annular burnable absorber rod, there is sufficient cold void volume to limit the internal pressure to a value which satisfies the design criteria. For the source rods, a void volume is provided within the rod to limit the maximum internal pressure increase at end-of-life. Figures 4.2-14 and 4.2-15 detail the primary and secondary source assemblies.

During normal transient and accident conditions, the void volume limits the internal pressures to values that satisfy the criteria in subsection 4.2.1.6. These limits are established not only to prevent the peak stresses from reaching unacceptable values, but also to limit the amplitude of the oscillatory stress component in consideration of the fatigue characteristics of the materials.

Rod, guide thimble, and dashpot flow analyses indicate that the flow is sufficient to prevent coolant boiling within the guide thimble. Therefore, clad temperatures at which the clad material has adequate strength to resist coolant operating pressures and rod internal pressures are maintained.

#### **4.2.3.6.2 Thermal Stability of the Absorber Material, Including Changes and Thermal Expansion**

The radial and axial temperature profiles within the source and absorber rods are determined by considering gap conductance, thermal expansion, neutron or gamma heating of the contained material as well as gamma heating of the clad.

The maximum temperatures of the silver-indium-cadmium control rod absorber material are calculated and found to be significantly less than the material melting point and found to occur axially at only the highest flux region. The mechanical and thermal expansion properties of the silver-indium-cadmium absorber material are discussed in WCAP-9179 (Reference 4).

The maximum temperature of the alumina-boron carbide burnable absorber pellet is calculated to be less than 1200°F which takes place following the initial power ascent. As the operating cycle proceeds, the burnable absorber pellet temperature decreases due to a reduction in heat generation due to boron depletion and better gap conduction as the helium produced diffuses into the gap.

Sufficient diametral and end clearances have been provided in the neutron absorber, burnable absorber, and source rods to accommodate the relative thermal expansions between the enclosed material and the surrounding clad and end plug.

#### **4.2.3.6.3 Irradiation Stability of the Absorber Material, Taking into Consideration Gas Release and Swelling**

The irradiation stability of the silver-indium-cadmium absorber material is discussed in WCAP-9179 (Reference 4). Irradiation produces no deleterious effects in the absorber material.

As mentioned in subsection 4.2.3.6.1, gas release is not a concern for the control rod material because no gas is produced by the absorber material. Sufficient diametral and end clearances are provided to accommodate any potential expansion and/or swelling of the absorber material.

The alumina-boron carbide wet annular burnable absorber pellets are designed such that gross swelling or crumbling of the pellets is not predicted to occur during reactor operation. Some

minor cracking of the pellets may occur, but this cracking should not affect the overall absorber and stack integrity.

#### **4.2.3.6.4 Potential for Chemical Interaction, Including Possible Waterlogging Rupture**

The structural materials selected have good resistance to irradiation damage and are compatible with the reactor environment.

Corrosion of the materials exposed to the coolant is quite low, and proper control of chloride and oxygen in the coolant minimizes potential for the occurrence of stress corrosion. The potential for the interference with rod cluster control assembly movement due to possible corrosion phenomena is very low.

Waterlogging rupture is not a failure mechanism associated with the AP600 control rods. Furthermore, a breach of the cladding for any postulated reason does not result in serious consequences.

The silver-indium-cadmium absorber material is relatively inert and will remain inert even when subjected to high coolant velocity regions. Rapid loss of reactivity control material will not occur. Test results detailed in WCAP-9179 (Reference 4) concluded that additions of indium and cadmium to silver, in the amounts to form the silver-indium-cadmium absorber material composition, result in small corrosion rates.

For the wet annular burnable absorber, in the unlikely event that the zirconium alloy clad is breached, the boron carbide in the affected rod(s) could be leached out by the coolant water. If this occurred early, in-core instruments could detect large peaking factor changes, and corrective action would be taken, if warranted. A postulated clad breach after substantial irradiation would have no significant effect on peaking factors since the boron will have been depleted. Breaching of the zirconium alloy clad by internal hydriding is not expected due to moisture controls employed during fabrication. Rods of this design have performed very well with no failures observed.

### **4.2.4 Testing and Inspection Plan**

#### **4.2.4.1 Quality Assurance Program**

The Quality Assurance Program Plan of the Westinghouse Commercial Nuclear Fuel Division for the AP600 is summarized in WCAP-8370/7800 (see Chapter 17).

The program provides for control over activities affecting product quality, commencing with design and development and continuing through procurement, materials handling, fabrication, testing and inspection, storage, and transportation. The program also provides for the indoctrination and training of personnel and for the auditing of activities affecting product quality through a formal auditing program.



Westinghouse drawings and product, process, and material specifications identify the inspections to be performed.

#### **4.2.4.2 Quality Control**

Quality control philosophy is generally based on the following inspections being performed to a 95 percent confidence that at least 95 percent of the product meets specification, unless otherwise noted.

##### **4.2.4.2.1 Fuel System Components and Parts**

The characteristics inspected depend on the component parts. The quality control program includes dimensional and visual examinations, check audits of test reports, material certification, and nondestructive examination, such as X-ray and ultrasonic.

The material used in the AP600 core is accepted and released by Quality Control.

##### **4.2.4.2.2 Pellets**

Inspection is performed for dimensional characteristics such as diameter, density, length, and squareness of ends. Additional visual inspections are performed for cracks, chips, and surface conditions according to approved standards.

Density is determined in terms of weight per unit length and is plotted on zone charts used in controlling the process. Chemical analyses are taken on a specified sample basis throughout pellet production.

##### **4.2.4.2.3 Rod Inspection**

Fuel rod, rod cluster control rod, wet annular burnable absorber rod, and source rod inspections consists of the following nondestructive examination techniques and methods, as applicable:

- Each rod is leak tested using a calibrated mass spectrometer, with helium being the detectable gas.
- Rod welds are inspected by ultrasonic test or X-ray in accordance with a qualified technique and Westinghouse specifications meeting the requirements of ASTM-E-142-86 (Reference 20).
- Rods are dimensionally inspected prior to final release. The requirements include such items as length, camber, and visual appearance.
- Fuel rods are inspected by gamma scanning or other approved methods, as discussed in subsection 4.2.4.5, to confirm proper plenum dimensions.

- Fuel rods are inspected by gamma scanning, or other approved methods, as discussed in subsection 4.2.4.5, to confirm that no significant gaps exist between pellets.
- Fuel rods are active gamma scanned to verify enrichment control prior to acceptance for assembly loading.
- Traceability of rods and associated rod components is established by quality control.

#### 4.2.4.2.4 Assemblies

Each fuel rod, control rod, wet annular burnable absorber rod, and source rod assembly is inspected for compliance with drawing and/or specification requirements. Other in-core control component inspection and specification requirements are given in subsection 4.2.4.4.

#### 4.2.4.2.5 Other Inspections

The following inspections are performed as part of the routine inspection operation:

- Tool and gauge inspection and control, including standardization to primary and/or secondary working standards. Tool inspection is performed at prescribed intervals on serialized tools. Complete records are kept of calibration and conditions of tools.
- Audits are performed of inspection activities and records to confirm that prescribed methods are followed and that records are correct and properly maintained.
- Surveillance inspection, where appropriate, and audits of outside contractors are performed to confirm conformance with specified requirements.

#### 4.2.4.2.6 Process Control

To prevent the possibility of mixing enrichments during fuel manufacture and assembly, strict enrichment segregation and other process controls are exercised.

The uranium dioxide powder is kept in sealed containers. The contents are fully identified both by descriptive tagging and preselected color coding. A quality control identification tag completely describing the contents is affixed to the containers before transfer to powder storage. Isotopic content is confirmed by analysis.

Powder withdrawal from storage can be made by only one authorized group, which directs the powder to the correct pellet production line. The pellet production lines are physically separated from each other, and pellets of only a single nominal enrichment and density are produced in a given production line at any given time.

Finished pellets are placed on trays identified with the same color code as the powder containers and transferred to segregated storage racks within the confines of the pelleting area. Samples from each pellet lot are tested for isotopic content and impurity levels prior to

acceptance by quality control. Physical barriers are used to prevent mixing of pellets of different nominal densities and enrichments in the pellet storage area. Unused powder and substandard pellets are returned to storage in the original color-coded containers.

Loading of pellets into the clad is performed in isolated production lines; only one density and enrichment (with possible exception for top and bottom (axial blanket) zones) are loaded on a line at a time.

A serialized traceability code is placed on each fuel tube, which identifies the contract and enrichment. The end plugs are inserted and then welded (in an inert gas atmosphere) to seal the tube. The fuel tube remains coded and traceability identified until just prior to installation in the fuel assembly.

At the time of installation into an assembly, the traceability codes are removed and a matrix is generated to identify each rod in its position within a given assembly. The top nozzle is inscribed with a permanent identification number providing traceability to the fuel contained in the assembly.

Similar traceability is provided for wet annular burnable absorber, source, and control rods, as required.

#### **4.2.4.3 Letdown Radiation Monitoring**

One function of the chemical and volume control system letdown monitor is to monitor the chemical and volume control system letdown and to provide indication of abnormal activity levels in the reactor coolant system. This monitor may also be used to indicate a breach in the fuel rod clad pressure boundary. A breach in the fuel rod pressure boundary would be a cause of a sudden increase in coolant activity. However, confirmation of the cause of any abnormal activity levels is made by laboratory analysis of the primary coolant. For a discussion of the letdown monitor, refer to information provided on liquid process and effluent monitors presented in subsection 9.3.6, Section 11.5, and Table 11.5-1.

#### **4.2.4.4 In-core Control Component Testing and Inspection**

Tests and inspections are performed on each reactivity control component to verify the mechanical characteristics. In the case of the rod cluster control assembly, prototype testing has been conducted. Manufacturing test/inspections and functional testing at the plant site are both performed.

During the component manufacturing phase, the following requirements apply to the reactivity control components to provide the proper functioning during reactor operation:

- Materials are procured to specifications to attain the desired standard of quality.
- Spider assemblies are proof-tested by applying a 5000-pound load to the spider body, so that approximately 310 pounds is applied to each vane. This proof load provides a

bending moment at the spider body approximately equivalent to 1.4 times the load caused by the acceleration imposed by the control rod drive mechanism.

- Rods are checked for integrity by the applicable nondestructive methods described in subsection 4.2.4.2.3.
- To confirm proper fit with the fuel assembly, the rod cluster control, wet annular burnable absorber, and source assemblies are installed in the fuel assembly and checked for binding in the dry condition.

The rod cluster control assemblies and gray rod cluster assemblies are also functionally tested, following core loading but prior to criticality, to demonstrate reliable operation of the assemblies. Each assembly is operated (and tripped) one time at full-flow/hot conditions. In addition, any assembly that has a drop time greater than a two sigma limit from the average rod drop time is subjected to additional rod drops to confirm drop time. Thus, each assembly is sufficiently tested to confirm proper functioning and operation.

To demonstrate continuous free movement of the rod cluster control assemblies, and gray rod cluster assemblies, and to provide acceptable core power distributions during operations, partial movement checks are performed on every assembly as required by the technical specifications. In addition, periodic drop tests of the assemblies are performed at each refueling shutdown to demonstrate continued ability to meet trip time requirements

If a rod cluster control assembly and/or gray rod cluster assembly cannot be moved by its mechanism, adjustments in the boron concentration of the coolant provide that adequate shutdown margin will be achieved following a trip. Thus, inability to move one assembly can be tolerated. More than one inoperable assembly could be tolerated but would impose additional demands on the plant operator. Therefore, the number of inoperable assemblies has been limited to one.

#### 4.2.4.5 Tests and Inspections by Others

For tests and inspections performed by others, Westinghouse reviews and approves the quality control procedures, and inspection plans to be utilized to confirm that they are equivalent to the description provided in subsections 4.2.4.1 through 4.2.4.4 and are performed properly to meet Westinghouse requirements.

#### 4.2.4.6 Inservice Surveillance

As detailed in WCAP-8183 (Reference 3), significant 17x17 fuel assembly operating experience has been obtained. A surveillance program is expected to be established for the AP600 for inspection of post-irradiated fuel assemblies. This surveillance program will establish the schedule, guidelines, and inspection criteria for conducting visual inspection of post-irradiated fuel assemblies and/or insert components. The surveillance program includes a quantitative visual examination of some discharged fuel assemblies from each refueling. This program also includes criteria for additional inspection requirements for post-irradiated

fuel assemblies if unusual characteristics are noticed in the visual inspection or if plant instrumentation and subsequent laboratory analysis indicates gross failed fuel. The post-irradiated fuel surveillance program will address disposition of fuel assemblies and/or insert components receiving an unsatisfactory visual inspection. Those post-irradiated fuel assemblies receiving an unsatisfactory visual inspection are not reinserted into the core until a more detailed inspection and/or evaluation can be performed. Normally the fuel assemblies are taken to the spent fuel inspection station.

#### **4.2.4.7 Onsite Inspection**

Written procedures are used for the post-shipment inspection of the new fuel assemblies in addition to reactivity control and source components. Fuel handling procedures specify the sequence in which handling and inspection take place.

Loaded fuel containers, when received onsite, are externally inspected to confirm that labels and markings are intact and security seals are unbroken. After the containers are opened, the shock indicators attached to the suspended internals are inspected to determine whether movement during transit exceeded design limitations.

Following removal of the fuel assembly from the container in accordance with detailed procedures, the fuel assembly plastic wrapper is examined for evidence of damage. The polyethylene wrapper is then removed, and a visual inspection of the entire fuel assembly is performed.

Control rod, gray rod, secondary source rod, and wet annular burnable absorber rod assemblies are usually shipped in fuel assemblies. They are inspected prior to removal of the fuel assembly from the container. The control rod assembly is withdrawn a few inches from the fuel assembly to confirm free and unrestricted movement, and the exposed section is visually inspected for mechanical integrity, replaced in the fuel assembly, and stored with the fuel assembly. Control rod, secondary source, or wet annular burnable absorber assemblies may be stored separately or within fuel assemblies in the new fuel storage area.

#### **4.2.5 Combined License Information**

Combined License applicants referencing the AP600 certified design will address changes to the reference design of the fuel, burnable absorber rods, rod cluster control assemblies, or initial core design from that presented in the DCD.

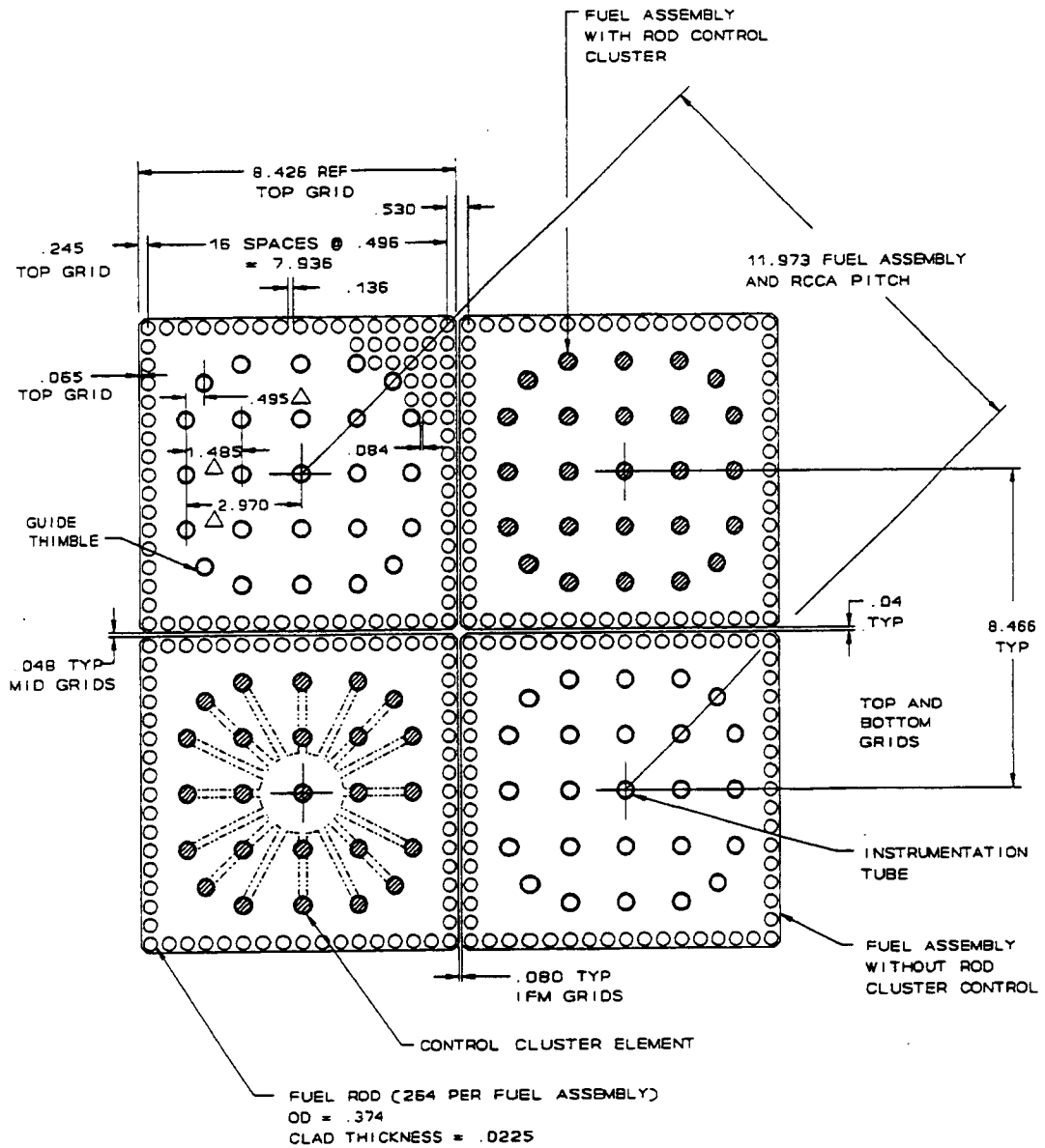
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\*NRC Staff approval is required prior to implementing a change in this material; see DCD Introduction Section 3.5.

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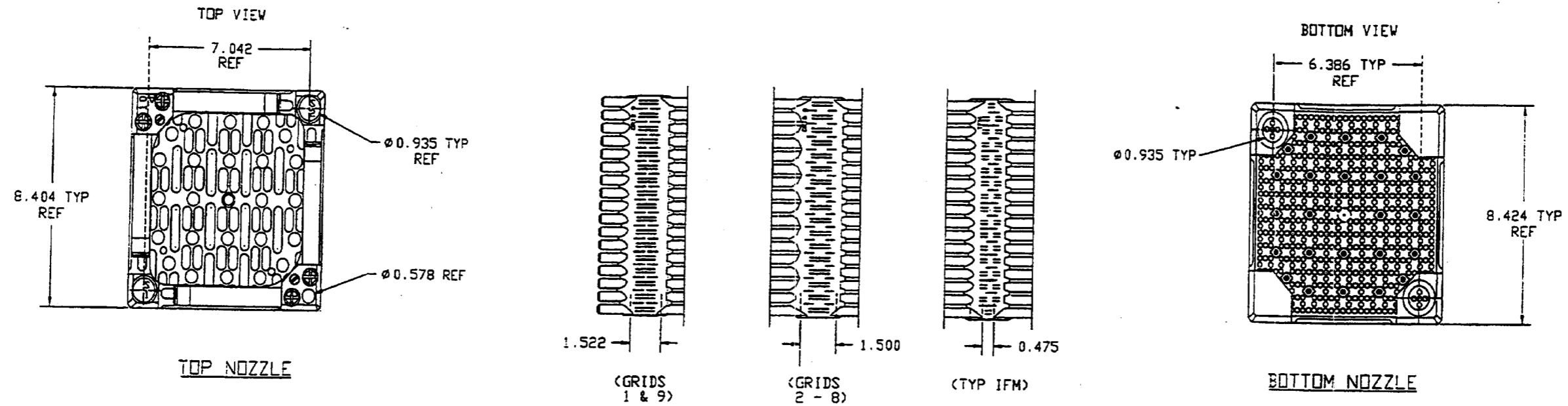
DIMENSIONS ARE IN INCHES (NOMINAL)

△ GUIDE THIMBLE DIMENSIONS AT TOP NOZZLE ADAPTOR PLATE

Figure 4.2-1

Fuel Assembly Cross-Section





DIMENSIONS ARE IN INCHES (NOMINAL)

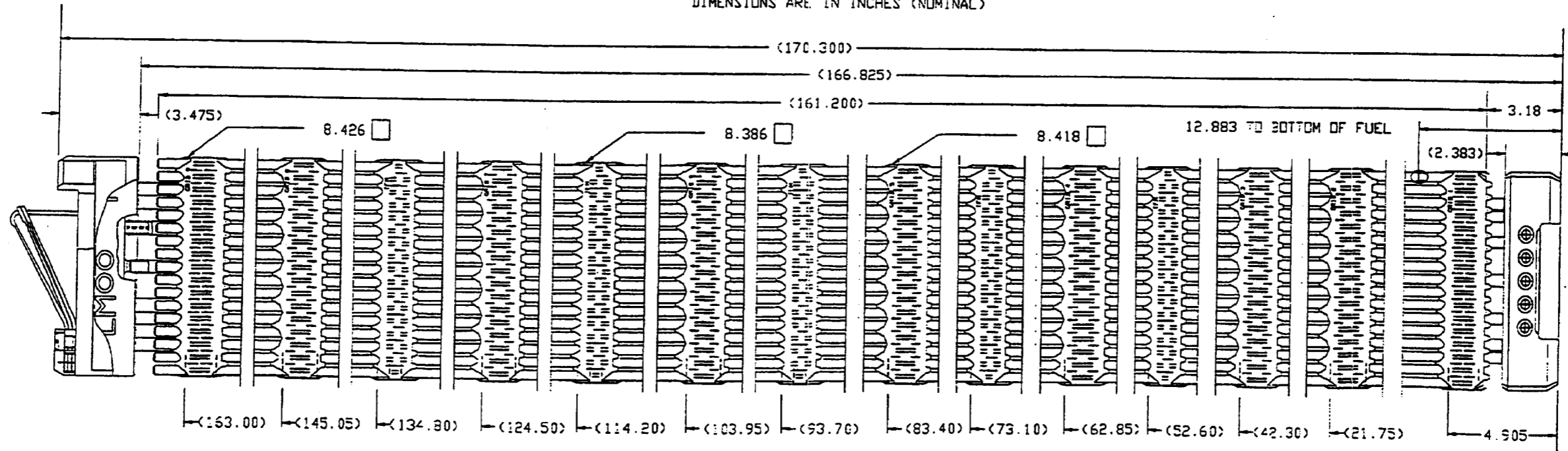
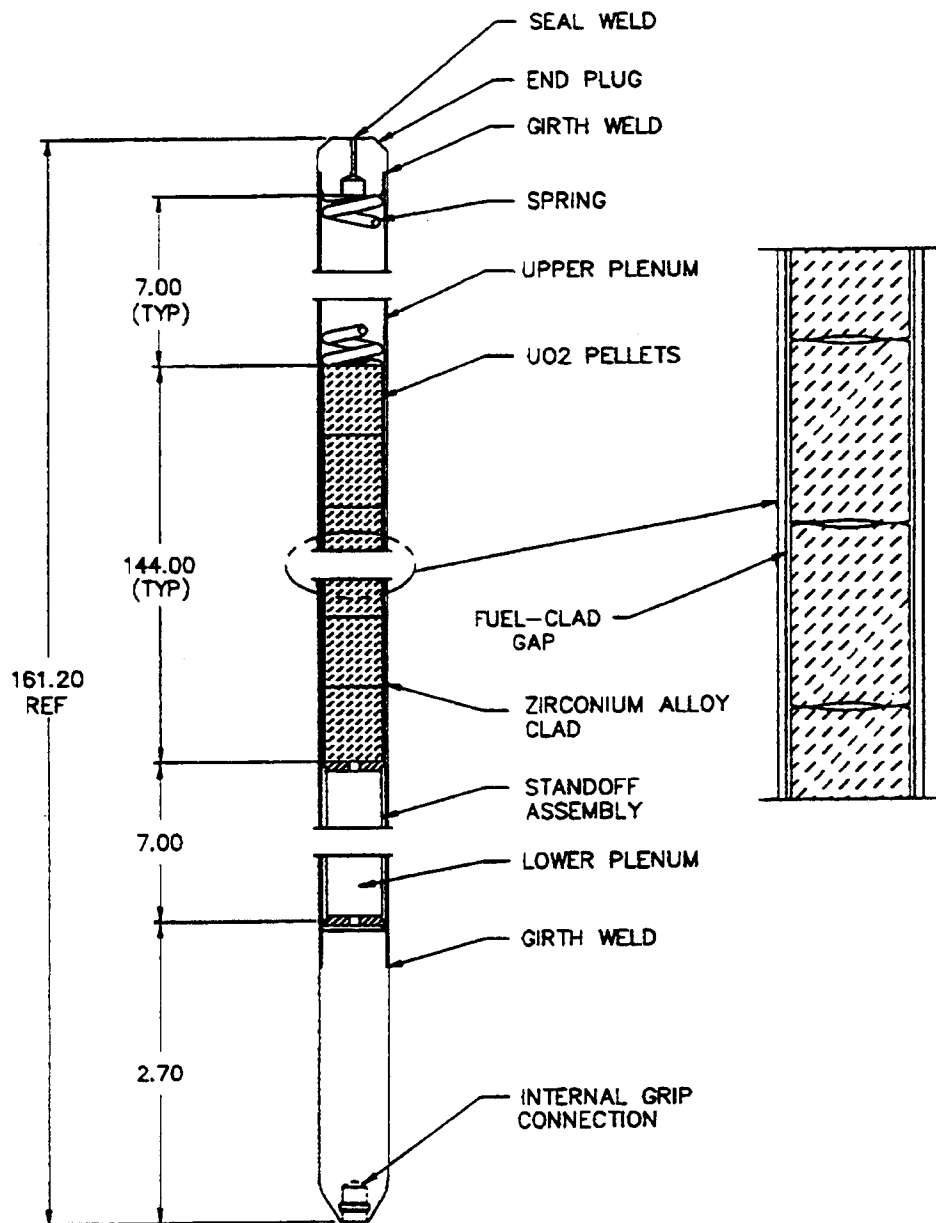


Figure 4.2-2

Fuel Assembly Outline



SPECIFIC DIMENSIONS DEPEND ON DESIGN VARIABLES SUCH AS PRE-PRESSURIZATION, POWER HISTORY, AND DISCHARGE BURNUP

Figure 4.2-3

Fuel Rod Schematic

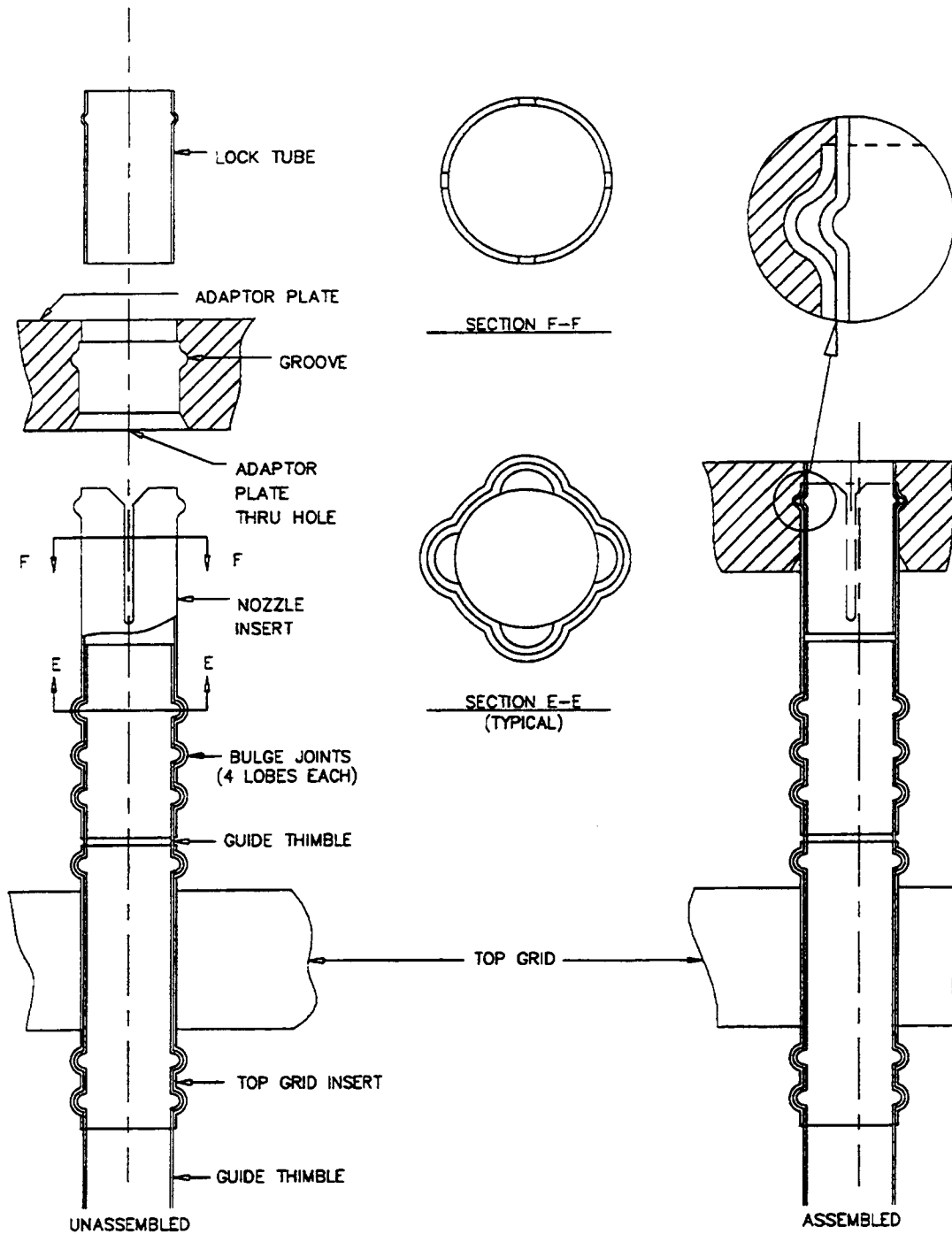
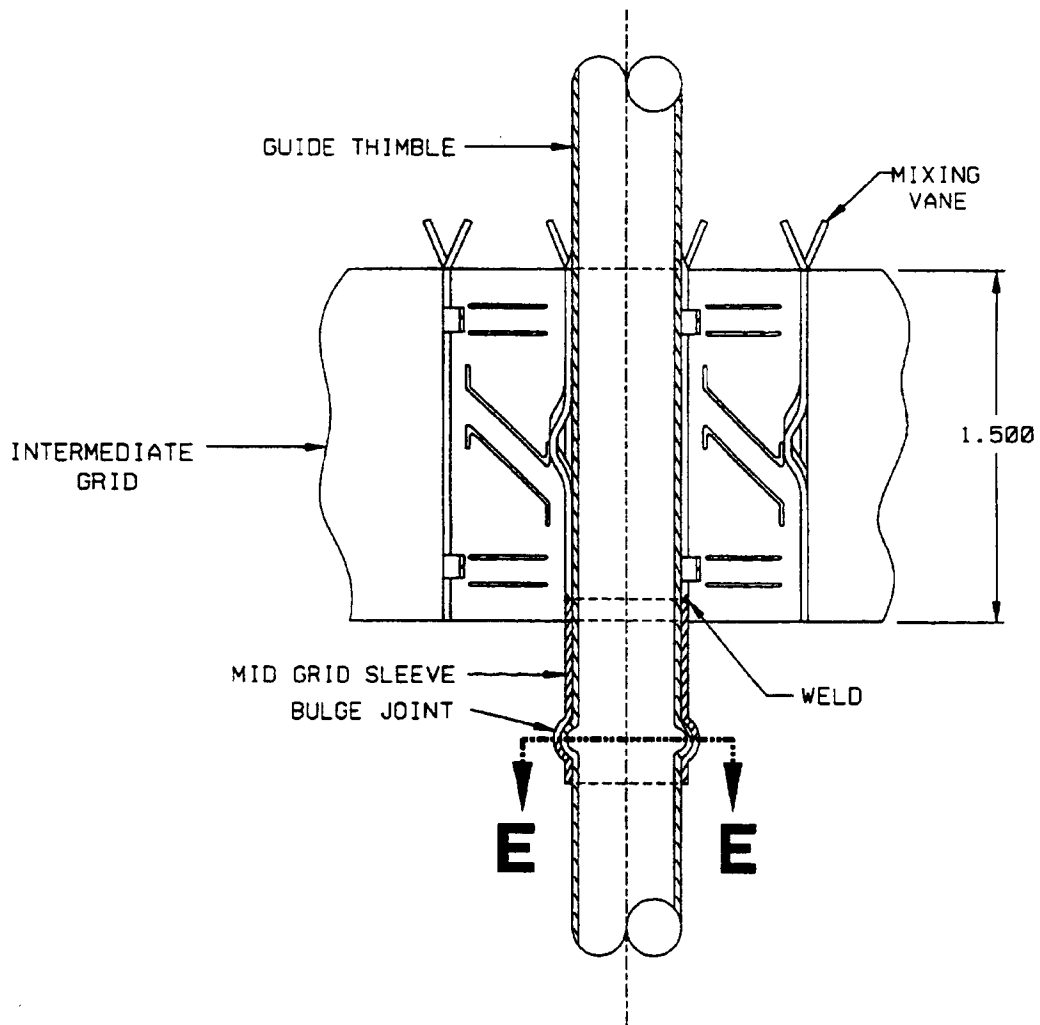
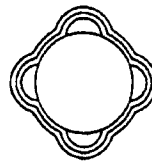


Figure 4.2-4

Top Grid Sleeve Detail



DIMENSIONS ARE IN INCHES (NOMINAL)



SECTION E-E  
(TYPICAL)

Figure 4.2-5

Intermediate Grid to Thimble Attachment Joint

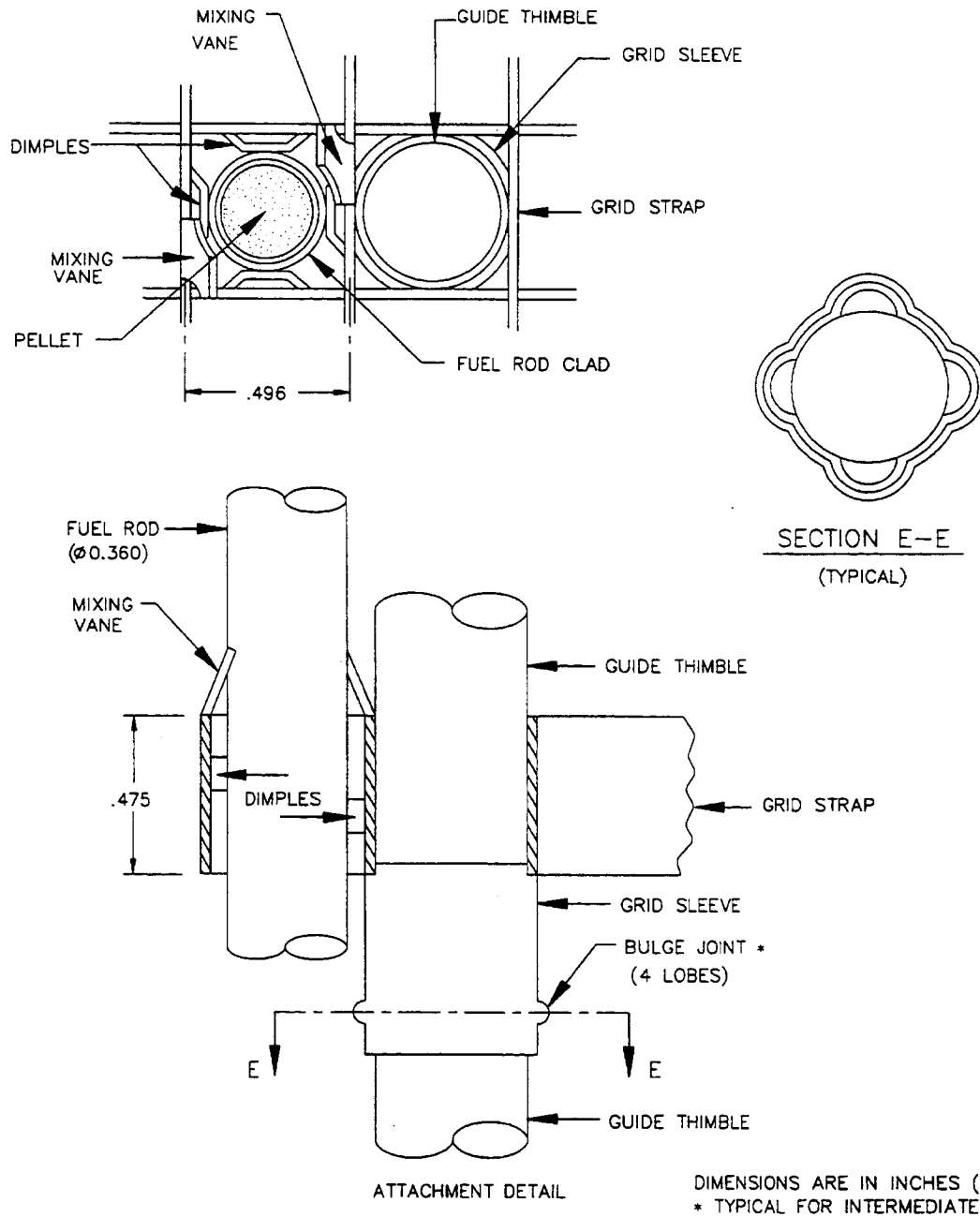


Figure 4.2-6

**Intermediate Flow Mixer  
Grid to Thimble Attachment**

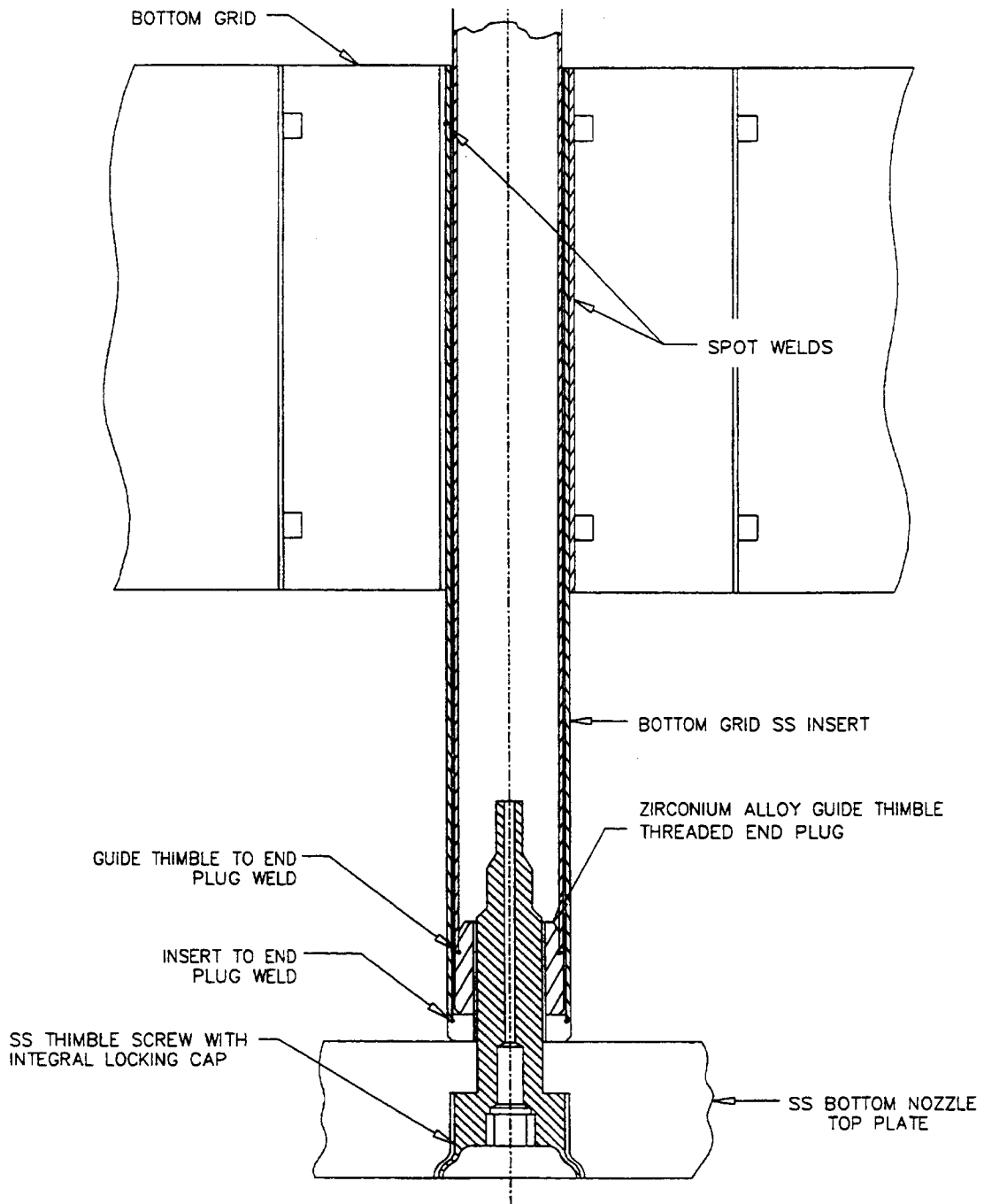


Figure 4.2-7

Grid Thimble to Bottom Nozzle Joint

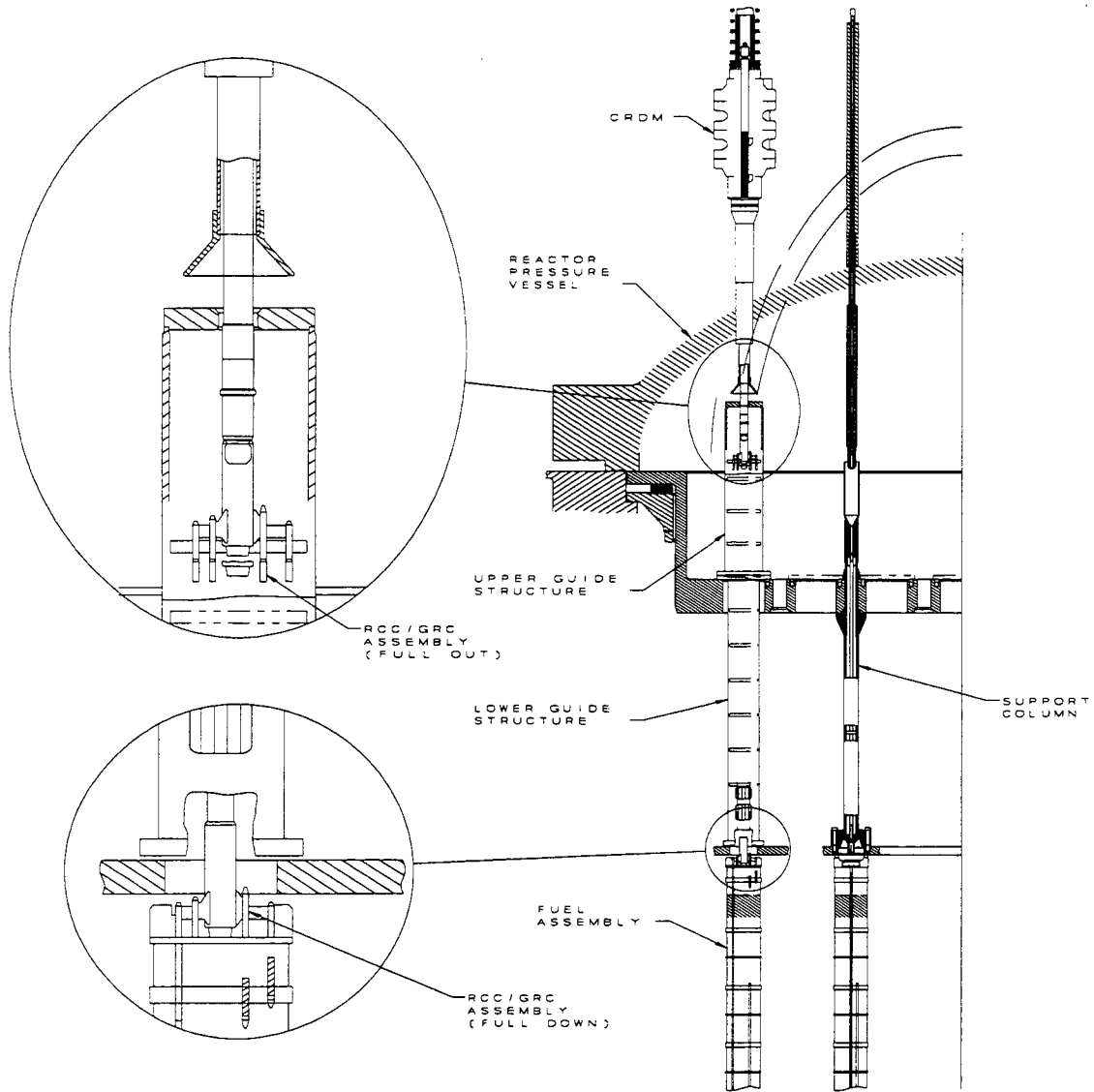


Figure 4.2-8

**Rod Cluster Control and Drive Rod Assembly With Interfacing Components**

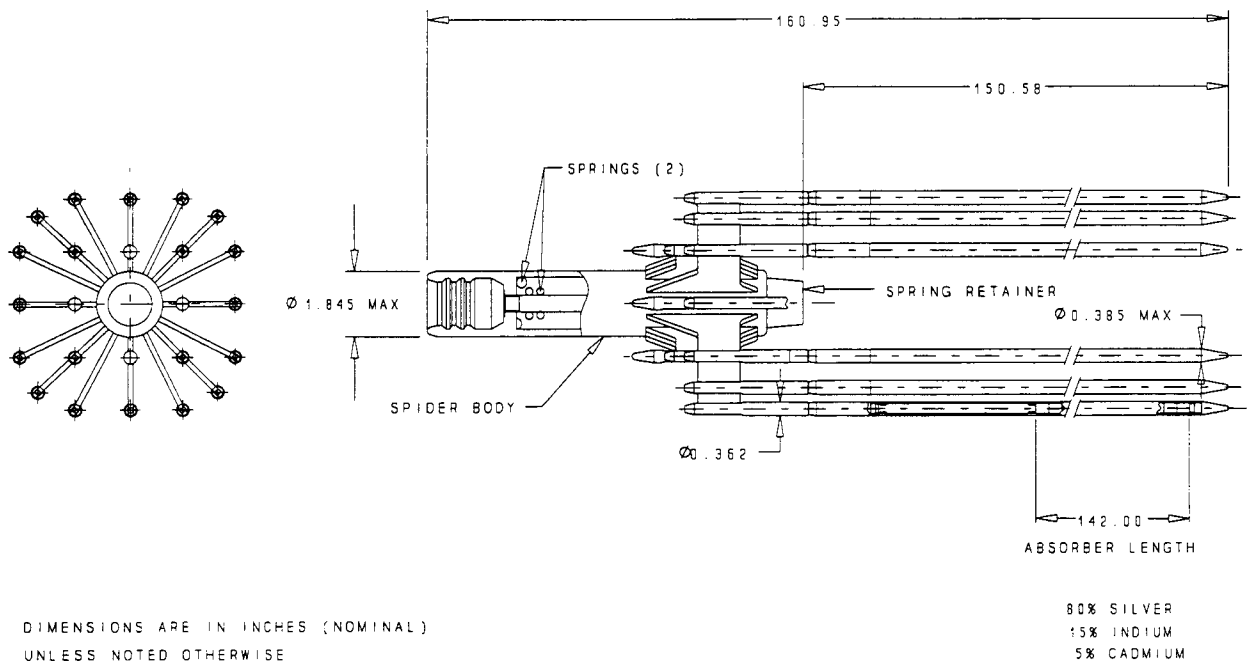
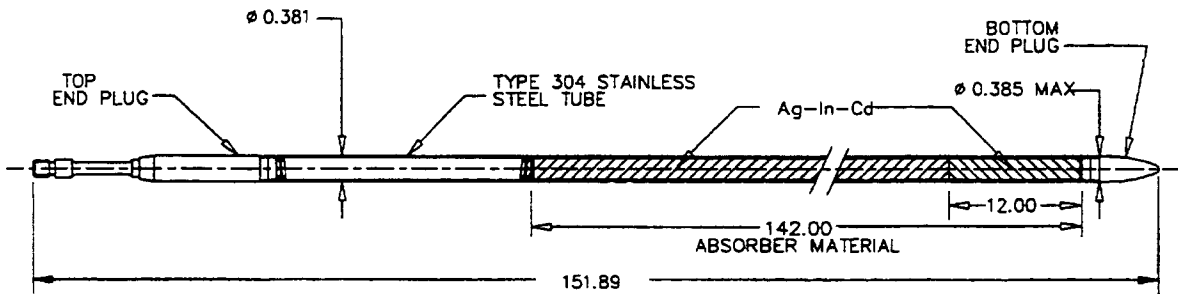


Figure 4.2-9

Rod Cluster Control Assembly





DIMENSIONS ARE IN INCHES (NOMINAL)  
UNLESS OTHERWISE NOTED

Figure 4.2-10

Absorber Rod Detail

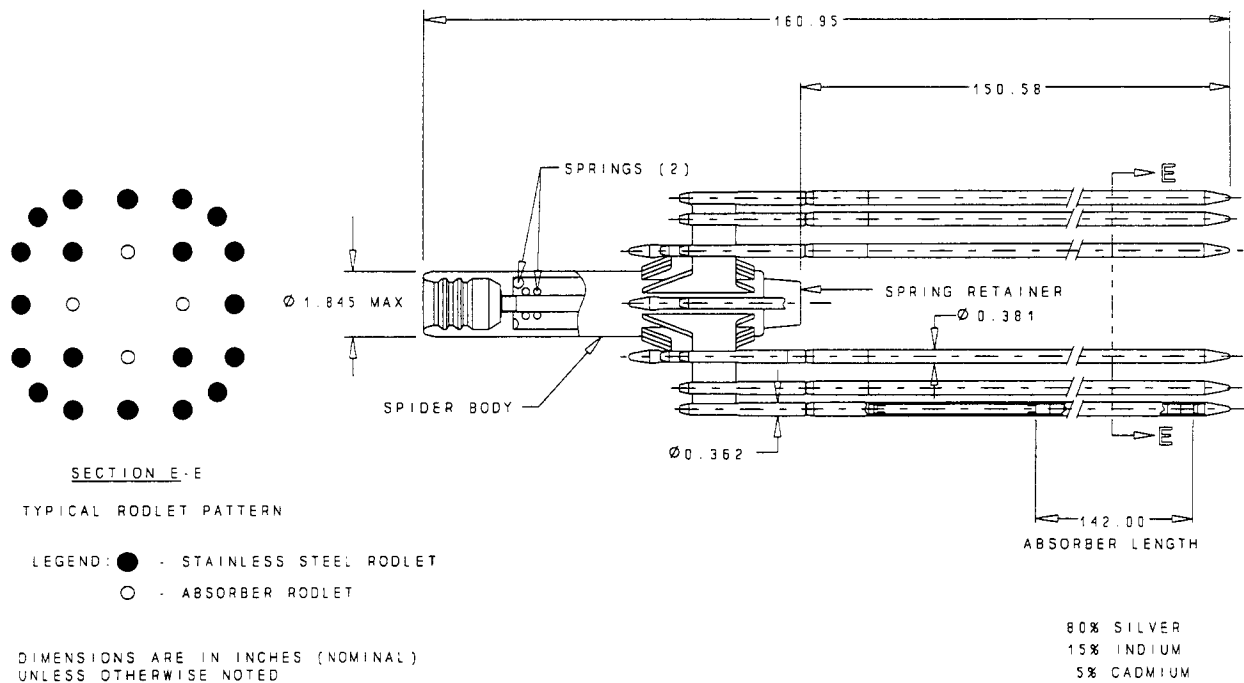


Figure 4.2-11

Gray Rod Cluster Assembly

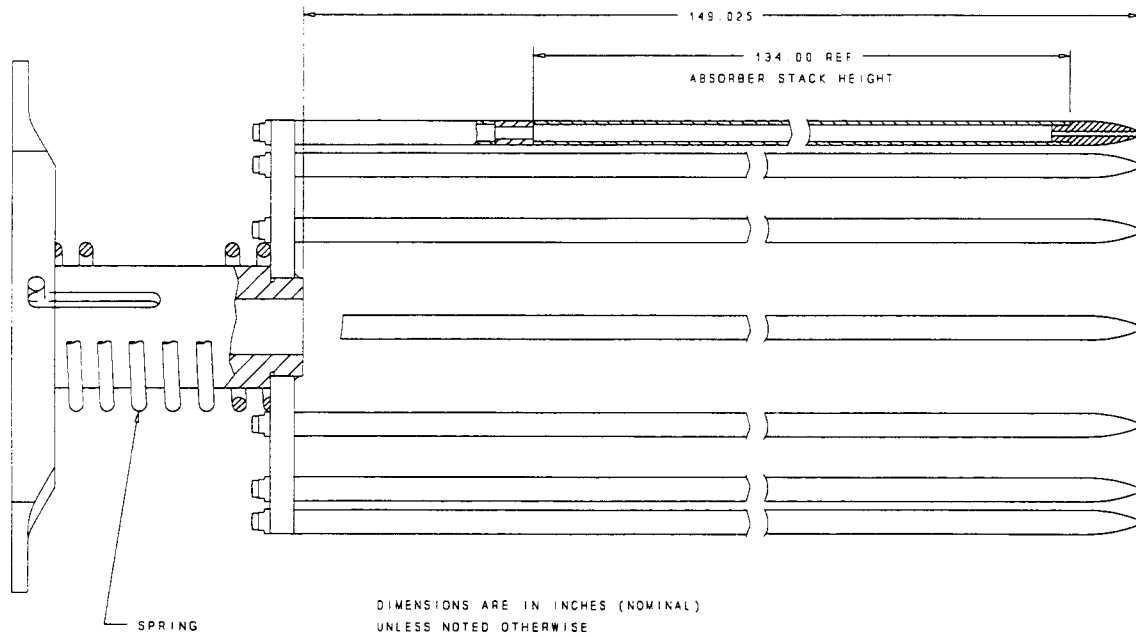


Figure 4.2-12

Wet Annular Burnable Absorber Assembly

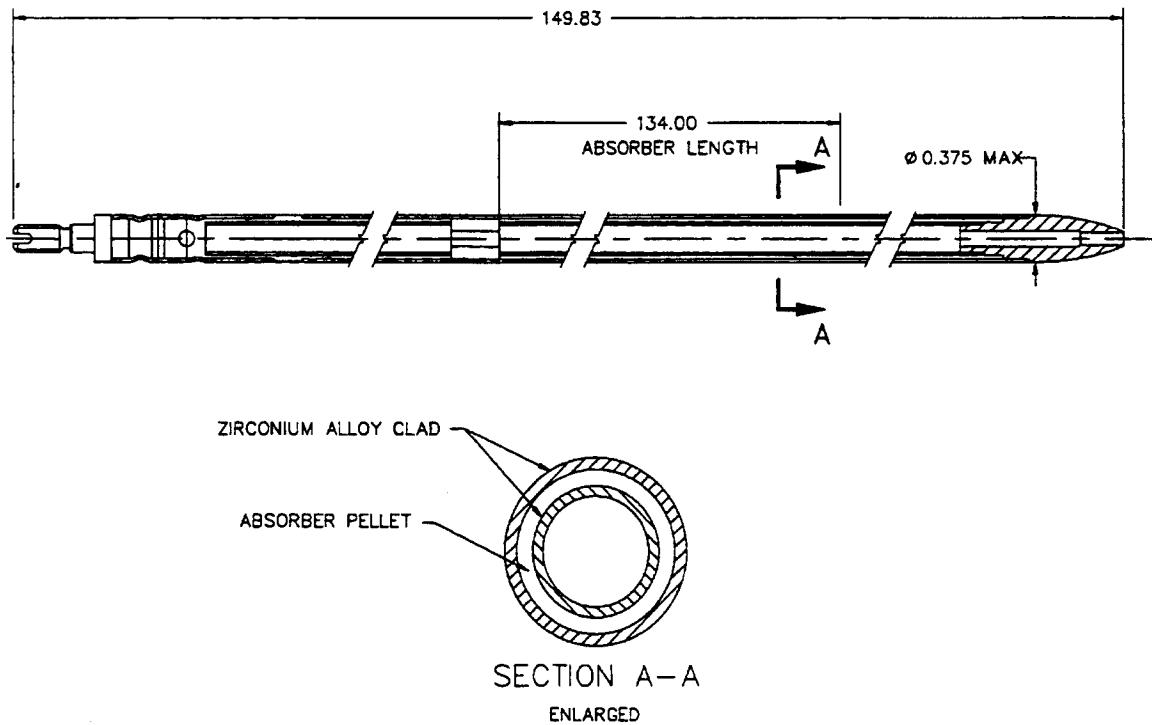


Figure 4.2-13

Wet Annular Burnable Absorber Rod Detail

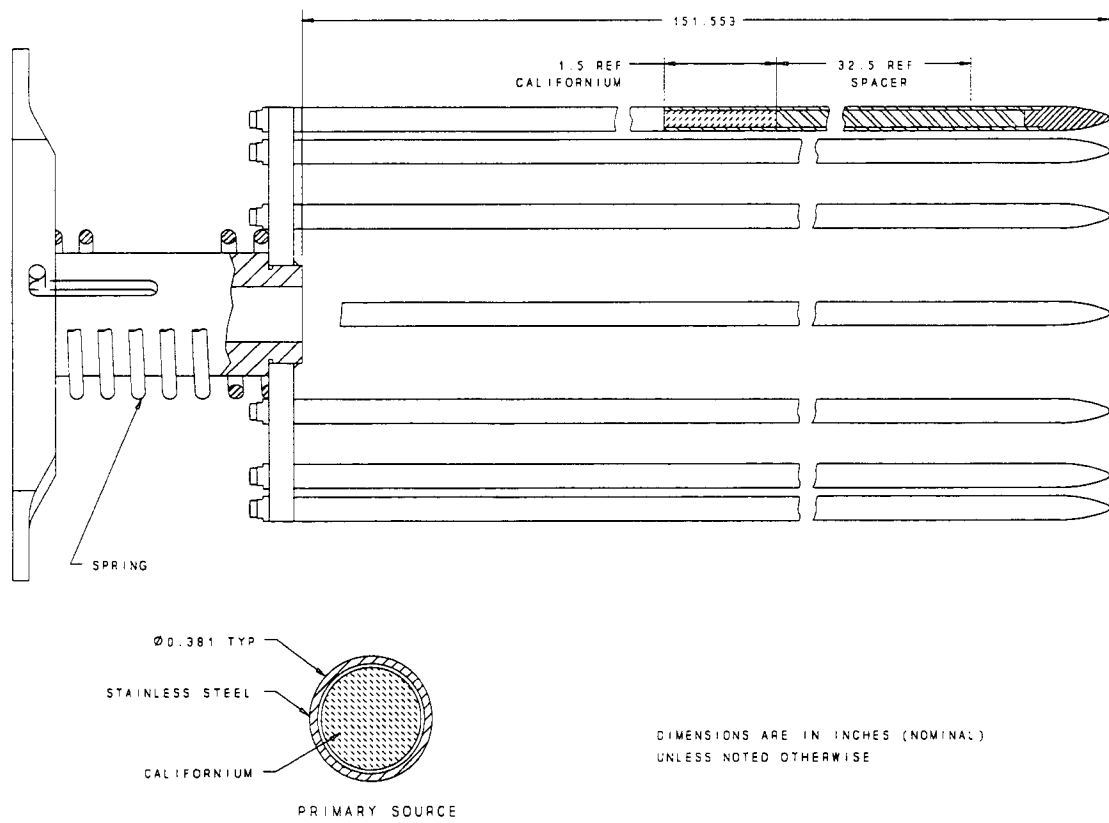


Figure 4.2-14

Primary Source Assembly

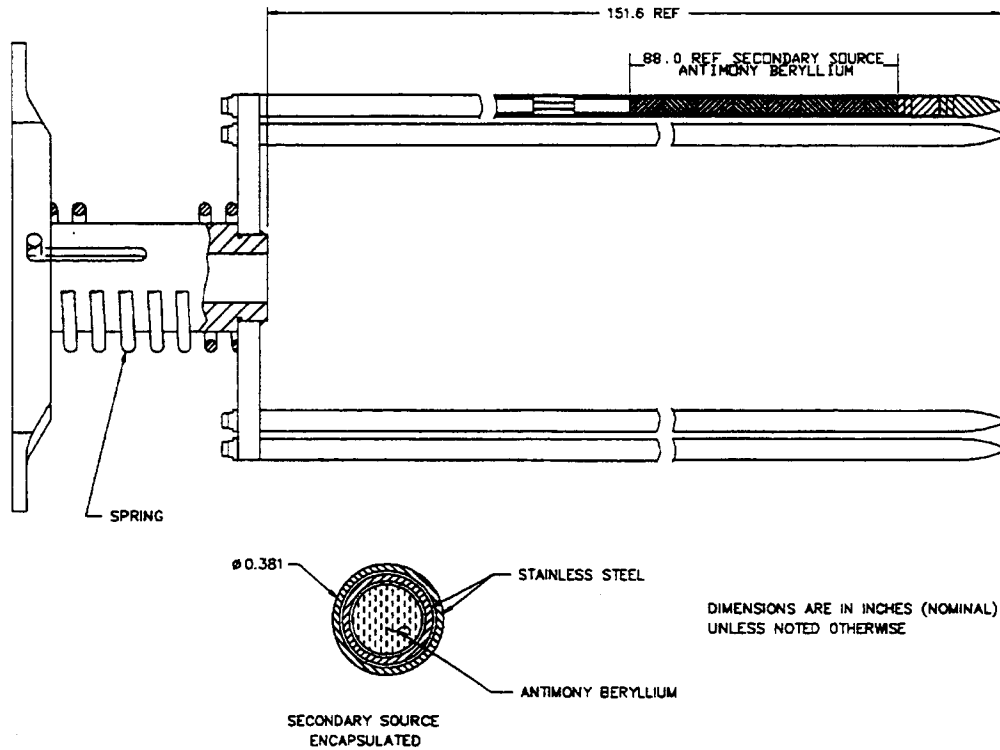


Figure 4.2-15

Secondary Source Assembly