

From: Doug Broaddus
To: Cain, Chuck, Costello, Frank, Decker, Thomas, H...
Date: Fri, Jan 7, 2000 7:06 AM
Subject: Important Alert Concerning General License Reporting

It has come to our attention that some 10 CFR 31.5 general licensees and vendors of generally licensed devices (GL vendors) have been sending their required transfer reports to the regional offices, rather than to headquarters. These reports include:

- (1) quarterly transfer reports (required in accordance with 10 CFR 32.52) submitted by manufacturers and initial transferors of devices distributed to persons generally licensed;
- (2) 10 CFR 31.5(c)(8) reports of transfers of devices from general licensees to specific licensees; and
- (3) 10 CFR 31.5(c)(9) reports of transfers of devices from one general licensee to another general licensee where the device remains in use at a particular location.

It is important that headquarters receive these reports so that we may ensure that General License Tracking System (GLTS), currently being developed to replace the General License Database (GLDB), contains the most complete and accurate information.

This issue recently surfaced when an Agreement State GL vendor indicated that they had been submitting their required quarterly transfer reports to an NRC regional office. It was determined that a number of other GL vendors and general licensees may be sending these reports to all the regions, as well. Although a number of these reports may just be copies of reports submitted to headquarters by the licensees, a significant number of the reports may not have been submitted to headquarters by the licensee.

In order to ensure that the data contained in these reports is not lost, we would request that all regions that receive GL transfer reports as discussed above hold on to these reports while we determine the best course of action to resolve this situation and to get the information into the database. We ask that you hold on to any future reports that you receive, as well as to any reports that you have received in the past.

Please note that general licensees are currently also required to report certain incidents concerning their devices to the Ops Center and the regional offices in accordance with 10 CFR 20.2201 and 20.2202. Although these reports may also indicate the status of a general licensee's devices (i.e., that they no longer possess the device), these are not the reports to which this e-mail refers.

Please forward this message to any of your staff or management who may need to receive this information. If you have any questions or need clarification concerning this situation, please do not hesitate to contact me either via e-mail or at (301) 415-5847.

Thank you,

Doug Broaddus

CC: Cool, Donald, Hickey, John, Moore, Scott, Picco...

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