



THE SECRETARY OF EDUCATION
WASHINGTON, D.C. 20202

November 15, 1999

Honorable Greta Joy Dicus
Chairman
Nuclear Regulatory Commission
7735 Old Georgetown Road
Bethesda, Maryland 20555

Dear Ms. Dicus:

On November 1, 1993, President Clinton issued Executive Order 12876, which directs the heads of federal departments and agencies to increase opportunities for America's 105 Historically Black Colleges and Universities (HBCUs) to participate in and benefit from federally funded programs and projects. The office of the White House Initiative on Historically Black Colleges and Universities assists me in my role as the lead Cabinet member designated to implement the Executive Order's provisions. The Director of the Office of Management and Budget (OMB) is responsible for overseeing compliance with Executive Order 12876. A copy of the Executive Order is enclosed.

Executive Order 12876 requires each federal agency to submit to the Department of Education and OMB an Annual Performance Report on efforts to assist HBCUs. The instructions for the Fiscal Year 1999 report have already been mailed to your agency's representatives. I am writing now to request your assistance in ensuring that all relevant data and information are reported and that the Annual Report form is returned to OMB and the White House Initiative office by January 31, 2000.

The documents received from the participating agencies will be compiled into a single Annual Federal Performance Report.

President Clinton is committed to ensuring that our nation's HBCUs receive the support necessary to enhance their ability to provide excellence in education. I appreciate your continued efforts to assist in planning strategies to support these institutions.

Yours sincerely,

Richard W. Riley
Richard W. Riley

Enclosures

**ANNUAL FEDERAL PERFORMANCE REPORT
ON
EXECUTIVE AGENCY ACTIONS TO ASSIST
HISTORICALLY BLACK COLLEGES AND UNIVERSITIES**

FISCAL YEAR 1999

INSTRUCTIONS

Prepared by

**The White House Initiative on
Historically Black Colleges and Universities
Under Executive Order 12876**

**Elements of the Federal Agency FY 1999
Performance Report**

- A. Executive Summary
- B. Summary of Total Agency Awards, by Category
- C. Table of FY 99 Agency Awards to HBCUs, by Institution and by Category
- D. FY 99 Awards to HBCUs and Narrative Information

Definition of Terms

List of HBCUs

**WHITE HOUSE INITIATIVE ON
HISTORICALLY BLACK COLLEGES AND UNIVERSITIES
FISCAL YEAR 1999 ANNUAL FEDERAL PERFORMANCE REPORT ON EXECUTIVE
AGENCY ACTIONS TO ASSIST HISTORICALLY BLACK COLLEGES AND UNIVERSITIES**

INSTRUCTIONS AND GUIDELINES

A. Executive Summary

Develop a one or two-page summary of your agency's performance during FY 1999 regarding:

- 1) Goals and measurable objectives your agency sought to achieve during the fiscal year;
- 2) Exemplary HBCU programs or practices that could be replicated in other agencies;
- 3) Total awards to HBCUs during FY 1999;
- 4) The amount of increase in awards to HBCUs during FY 1999 over the total awards to these institutions during FY 1997 or, if applicable, the amount of decrease in total awards to HBCUs during FY 1999 under awards to HBCUs during FY 1997 including the reason for the decrease.

B. Summary of Total Agency Awards, by Category

This form is provided for reporting total funding, by category, that resulted from agency initiatives to strengthen HBCUs. Report only those categories your agency supported during FY 1999.

These categories are:

- (1) Research and Development
- (2) Program Evaluation
- (3) Training
- (4) Facilities and Equipment
- (5) Fellowships, Internships, Traineeships, Recruitment, and Arrangements under the Intergovernmental Personnel Act (IPAs)
- (6) Student Tuition Assistance, Scholarships, and Other Aid
- (7) Direct Institutional Subsidies
- (8) Third-Party Awards
- (9) Private Sector Involvement
- (10) Administrative Infrastructure
- (11) Other Activities

To avoid duplication, information you give on funding amounts for any one program should be reported in only one program category. In cases of interagency transfers of funds, all agencies that are contributing to the Interagency Agreement should report in section (11) under "Other Activities."

C. Table of FY 1999 Agency Awards to HBCUs, by Institution and by Category

Part C is a table for listing HBCUs and the amount your agency awarded to these institutions. This table should be used to record the total award to each HBCU, by institution and by category.

D. Awards to HBCUs and Narrative Information

For each of the 11 program categories named in Part I, briefly list activities carried out during FY 1999. List program or activity, amount of award, and HBCU recipient.

By **January 31, 2000**, a copy of your performance report should be received in the following offices:

Ms. Catherine W. LeBlanc
Executive Director
White House Initiative on HBCUs
U.S. Department of Education
600 Independence Avenue, SW
The Portals Building, Room 605
Washington, D.C. 20202-5120
Tel: 202/708-8667
Fax: 202/708-7872

Ms. Jennifer Kron
Program Examiner
Office of Management & Budget
725 17th Street, NW
Room 8236
Washington, D.C. 20503
Tel: 202/395-7767
Fax: 202/395-4875

PART I SUMMARY OF TOTAL AWARDS TO HBCUs, BY CATEGORY: FY 1999

1. _____
 AGENCY

2. _____ TITLE
 NAME/SIGNATURE OF REPRESENTATIVE

3. TOTAL AMOUNT OF FUNDS FOR INSTITUTIONS OF HIGHER EDUCATION (IHEs) \$ _____

CATEGORY	AWARDS TO IHEs	AWARDS TO HBCUs	AWARDS TO HBCUs AS PERCENTAGE OF TOTAL AWARDS TO IHEs
1. Research & Development			
2. Program Evaluation			
3. Training			
4. Facilities and Equipment			
5. Fellowships, Traineeships, Internships, Recruitment, and IPAs			
6. Student Tuition Assistance, Scholarships, and Other Aid			
7. Direct Institutional Subsidies			
8. Third-Party Awards			
9. Private-Sector Involvement			
10. Administrative Infrastructure			
11. Other Activities			
Total			

 Agency Head (Typed)

 AGENCY HEAD SIGNATURE

PART II: Awards and Narrative Information

CATEGORY 1: RESEARCH AND DEVELOPMENT

List each program or activity, HBCU recipient and award.

CATEGORY 2: PROGRAM EVALUATION

List each program or activity, HBCU recipient and award.

CATEGORY 3: TRAINING

List each program or activity, HBCU recipient and award.

CATEGORY 4: FACILITIES AND EQUIPMENT

List each program or activity, HBCU recipient and award.

CATEGORY 5: FELLOWSHIPS, INTERNSHIPS, TRAINEESHIPS, RECRUITMENT, AND IPAs

List each program or activity, HBCU recipient and award.

CATEGORY 6: STUDENT TUITION ASSISTANCE, SCHOLARSHIPS, AND OTHER AID

List each program or activity, HBCU recipient and award.

CATEGORY 7: DIRECT INSTITUTIONAL SUBSIDIES

List each program or activity, HBCU recipient and award.

CATEGORY 8: THIRD-PARTY AWARDS

List each program or activity, HBCU recipient and award.

CATEGORY 9: PRIVATE SECTOR INVOLVEMENT

List each program or activity, HBCU recipient and award.

CATEGORY 10: ADMINISTRATIVE INFRASTRUCTURE

List each program or activity, HBCU recipient and award.

CATEGORY 11: OTHER ACTIVITIES

List each program or activity, HBCU recipient and award.

Definition of Terms

The following definitions should apply for the purpose of reporting the FY 1999 Annual Federal Performance Report:

Administrative Infrastructure: The management and administrative framework of an institution of higher education.

Agency: All executive departments, establishments and agencies of the federal government.

Award: Funds that an agency provides to an institution of higher education under a grant, contract, or other assistance.

Contract: A legal instrument reflecting a relationship between a federal agency and an institution of higher education where the principal purpose is to acquire -- by purchase, lease, or barter -- goods or services.

Direct Institutional Subsidies: Federal financial support to institutions of higher education for education and general expenses where the federal government either places no restrictions on the uses to which the funds may be put or provides a broad range of allowable activities within which the institution has discretion to use the funds.

Facilities and Equipment: Structures, works, fixed equipment, major repairs, or alterations to: structures, works, fixed equipment, facilities, or land for use by an institution of higher education. Also included in this category are major repairs and alterations to these sites.

Fellowships, Internships, Recruitment, Traineeships, and Arrangements under the Intergovernmental Personnel Act (IPAs): Cooperative education, student and faculty internships, visiting professorships, and personnel and student recruitment at institutions of higher education.

Grant: The method of transferring property, money, services, or anything of value to a recipient in order to accomplish a public purpose authorized by statute when the agency is not anticipated to be substantially involved in performing the activity.

Historically Black Colleges and Universities (HBCUs): The Higher Education Act of 1965, as amended, defines an HBCU as: "...any historically black college or university that was established prior to 1964, whose principal mission was, and is, the education of black Americans, and that is accredited by a nationally recognized accrediting agency or association determined by the Secretary [of Education] to be a reliable authority as to the quality of training offered or is, according to such an agency or association, making reasonable progress toward accreditation."

Institution of Higher Education (IHE): Any postsecondary education institution in the United States or its territories that offers at least two years of college-level studies. Qualified institutions are listed in the Education Directory: Colleges and Universities, published by the National Center for Education Statistics.

Measurable Objectives: Agency activities or support for HBCUs that can be quantitatively measured in dollar amounts or in discrete, non-financial units of measure.

Program Evaluation: Actions to assess the effectiveness of institutional programs and activities, as well as the effectiveness of federal programs and activities. In many cases, program evaluation activities are conducted on a continuous basis and are frequently included as salaries or other budgetary expenses.

Research and Development (R&D): Studies, observations, and other activities concerned with the identification, description, experimental investigation, and theoretical explanation of social, physical, and behavioral phenomena. Implementation activities are also included in R&D.

Student Tuition Assistance, Scholarships, and Other Aid: Federal funds awarded to an institution of higher education for students or awarded directly to students for payment of such charges as tuition and room and board.

Technical Assistance: Direct help or services to institutions of higher education in key areas such as writing proposals, negotiating awards, managing programs and finances.

Third-Party Awards: Organizations or other entities receiving federal awards on behalf of one or more historically black colleges or universities. Examples of such entities include: the National Association for Equal Opportunity in Higher Education (NAFEO); The College Fund/UNCF; National Laboratories, such as Los Alamos or Lawrence Livermore; and various foundations and associations.

Training: Using professional personnel (federal and non-federal) to acquire or enhance knowledge or skills at an HBCU.



EXECUTIVE ORDER

12876

HISTORICALLY BLACK COLLEGES AND UNIVERSITIES

By the authority vested in me as President by the Constitution and the laws of the United States of America, in order to advance the development of human potential, to strengthen the capacity of historically Black colleges and universities to provide quality education, and to increase opportunities to participate in and benefit from Federal programs, it is hereby ordered as follows:

Section 1. There shall be established in the Department of Education the President's Board of Advisors on Historically Black Colleges and Universities ("Board of Advisors" or "Board"), a Presidential advisory committee. The Board of Advisors shall issue an annual report to the President on participation by historically Black colleges and universities in federally sponsored programs. The Board of Advisors will also provide advice to the Secretary of Education ("Secretary") and in the annual report to the President on how to increase the private sector role in strengthening historically Black colleges and universities, with particular emphasis on enhancing institutional infrastructure and facilitating planning, development, and the use of new technologies to ensure the goal of long-term viability and enhancement of these institutions. Notwithstanding the provisions of any other Executive order, the responsibilities of the President under the Federal Advisory Committee Act, as amended (5 U.S.C. App. 2), which is applicable to the Board of Advisors, shall be performed by the Secretary, in accordance with the guidelines and procedures established by the Administrator of General Services.

Sec. 2. The members of the Board of Advisors shall be appointed by the President. The Board shall include representatives of historically Black colleges and universities, other institutions of higher education, business and financial institutions, private foundations, and secondary education.

Sec. 3. The White House Initiative on Historically Black Colleges and Universities, housed in the Department of Education, shall: (1) provide the staff, resources, and assistance for the Board of Advisors; (2) assist the Secretary in the role of liaison between the executive branch and historically Black colleges and universities; and (3) serve the Secretary in carrying out his responsibilities under this order.

Sec. 4. To carry out the purposes of this order, each executive department and each agency designated by the Secretary shall, consistent with applicable law, enter into appropriate grants, contracts, or cooperative agreements with historically Black colleges and universities. The head of each agency subject to this order shall establish an annual goal for the amount of funds to be awarded in grants, contracts, or cooperative agreements to historically Black colleges and universities. Consistent with the funds available to the agency, the goal shall be an amount above the actual amount of such awards from the previous fiscal year and shall represent a substantial effort to increase the amounts available to historically Black colleges and universities for grants, contracts, or cooperative agreements. In order to facilitate the attainment of the goals established by this section, the head of each agency subject to this order shall provide technical assistance and information to historically Black colleges and universities regarding the program activities of the agency and the preparation of applications or proposals for grants, contracts, or cooperative agreements.

Sec. 5. Each executive department and designated agency shall appoint a senior official, who is a full-time officer of the Federal Government and who is responsible for management or program administration, to report directly to the department or agency head or designated agency representative on department or agency activity under this order and to serve as liaison to the Board and White House Initiative. To the extent permitted by law and regulation, each executive department and designated agency shall provide appropriate information requested by the Board and the White House Initiative staff pursuant to this order.

Sec. 6. Each executive department and designated agency shall develop an annual plan for, and shall document, the agency's effort to increase the ability of historically Black colleges and universities to participate in federally sponsored programs. These plans shall describe the measurable objectives for proposed agency actions to fulfill this order and shall be submitted at such time and in such form as the Secretary shall designate. In consultation with participating agencies, the Secretary shall review these plans and develop, with the advice of the Board of

Advisors, an integrated Annual Federal Plan for Assistance to Historically Black Colleges and Universities for consideration by the President. The Secretary shall ensure that each president of a historically Black college or university is given the opportunity to comment on the proposed Annual Federal Plan prior to consideration by the President. Each participating agency shall submit to the Secretary and the Director of the Office of Management and Budget, an Annual Performance Report that shall measure each agency's performance against the objectives set forth in its annual plan. The Director of the Office of Management and Budget shall be responsible for overseeing compliance with the Annual Federal Plan.

Sec. 7. Each year the Board of Advisors shall report to the President on the progress achieved in enhancing the role and capabilities of historically Black colleges and universities, including findings and recommendations on the Annual Performance Reports, described in Section 6, submitted by the participating agencies. The Secretary shall disseminate the annual report to appropriate members of the executive branch and make every effort to ensure that findings of the Board of Advisors are taken into account in the policies and actions of every executive agency.

Sec. 8. The Department of Education, along with other Federal departments or agencies, shall work to encourage the private sector to assist historically Black colleges and universities through increased use of such devices and activities as: (1) private sector matching funds to support increased endowments; (2) private sector task forces for institutions in need of assistance; and (3) private sector expertise to facilitate the development of more effective ways to manage finances, improve information management, strengthen facilities, and improve course offerings. These steps will be taken with the goals of enhancing the career prospects of graduates of historically Black colleges and universities and increasing the number of such graduates with degrees in science and technology.

Sec. 9. In all its recommendations, the Board of Advisors shall emphasize ways to support the long-term development plans of each historically Black college and university. The Board of Advisors shall recommend alternative sources of faculty talent, particularly in the fields of science and technology, including faculty exchanges and referrals from other institutions of higher education, private sector retirees, Federal employees and retirees, and emeritus faculty members at other institutions of higher education.

Sec. 10. The Board of Advisors, through the White House Initiative, shall provide advice on how historically Black colleges and universities can achieve greater financial security. To the maximum extent possible, the Board of Advisors shall consider how such institutions can enlist the resources and experience of the private sector to achieve such security.

Sec. 11. The Director of the Office of Personnel Management, in consultation with the Secretary and the Secretary of Labor, shall develop a program to improve recruitment and participation of graduates and undergraduate students of historically Black colleges and universities in part-time, summer and permanent positions in the Federal Government.

Sec. 12. Administration: (a) Members of the Board of Advisors shall serve without compensation, but shall be allowed travel expenses, including per diem in lieu of subsistence, as authorized by law for persons serving intermittently in the Government service, (5 U.S.C. 5701-5707).

b) The Board of Advisors and the White House Initiative shall obtain funding for their activities from the Department of Education.

(c) The Department of Education shall provide such administrative services for the Board as may be required.

Sec. 13. Executive Order No. 12677 of April 28, 1989, is hereby revoked.

William Clinton

THE WHITE HOUSE,

November 1, 1993.

FEDERAL AGENCY AWARDS TO INSTITUTIONS (cont'd)

STATE/INSTITUTION	R&D	PE	TRAINING	F&E	FELLOWS	SFA	DIS	TPA	PSI	AI	OTHER	TOTALS
VIRGINIA												
HAMPTON UNIV.												
NORFOLK STATE												
SAINT PAUL'S												
VIRGINIA STATE												
VIRGINIA UNION												
WEST VIRGINIA												
BLUEFIELD STATE												
W. VIRGINIA STATE												
U.S. VIRGIN ISLANDS												
UNIV. OF THE V.I.												
GRAND TOTAL												

CATEGORIES:

R&D	RESEARCH AND DEVELOPMENT
PE	PROGRAM EVALUATION
	TRAINING
F&E	FACILITIES AND EQUIPMENT
FELLOWS	FELLOWSHIP, RECRUITMENT, IPA
SFA	STUDENT FINANCIAL ASSISTANCE
DIS	DIRECT INSTITUTIONAL SUBSIDIES
TPA	THIRD PARTY AWARDS
PSI	PRIVATE SECTOR INVOLVEMENT
AI	ADMINISTRATIVE INFRASTRUCTURE